

ABIZ 3560 Agribusiness Portfolio Management

(Includes *Canadian Securities Course*, 3 Credits, Fall 2023)

Course Outline

Objectives: The objectives and learning outcomes are 1) to gain an understanding of investment and capital markets 2) to understand various investment choices, and 3) to gain business knowledge through passing the *Canadian Securities Course* (CSC). (It is required by many financial institutions and other firms).

Information: Amit Sethi, Instructor. Course is fall semester Tuesday Evening 6-9pm.
Building & Room #: Animal Science – Room # 107
Office hours: following class, or by appointment.
Email: amit.sethi@umanitoba.ca
Tel: 474 9384 – Reception, University of Manitoba.

Students should spend at least 12-15 hours per week for studying.

Students who need permission to take the course should send an email to course coordinator: Milton.Boyd@umanitoba.ca, with GPA, major, number of credits taken, and brief information on their educational and career background relating to the course. Those who have not previously enrolled at the University need to contact Ms. Surinder Kamboz at 474 9384 for registration details, e.g. should apply for U of M Admission by July 1, then registration about August 15).

Approximate Cost: Approximately \$500 University Tuition plus approximately \$1100 (and tax) Canadian Securities Course (CSC) Fee, subject to change. The CSC registration and fee payment is explained by the instructor in the first night of class, and the fee covers CSC texts, materials, and exam fee.

A GPA above 2.8-3.0, lighter course load, and limited outside activities are recommended if taking the course. Students need 60% or higher on each of the two exams to get CSC certificate. Missed exams receive zero.

University policy prohibits "plagiarism and cheating," as explained in University Calendar. Late assignments will not be accepted. Attendance is required.

Class lecture and materials are the property of the instructor, and/or the Canadian Securities Institute or others, and it is illegal (copyright violation) for students to transfer these to parties outside the class or post on the internet.

For frequently asked questions on the course, see link below:

https://www.umanitoba.ca/faculties/afs/dept/agribusiness/media/pdf/FAQ_ABIZ_3560.pdf

Text: Canadian Securities Course (CSC) texts. Available after you register for CSC at www.csi.ca

Grading:	Portfolio Management Research Project	10%
	Participation	10%
	CSC Score (2 Exams: 40%, 40%)	<u>80%</u>
	Total	100%

Topics covered in 2 CSC Books:

Part I: (First Exam Topics)

Section 1: Canadian Investment Market Place

1. Canadian Securities Industry
2. Capital Markets
3. Canadian Regulatory Environment

Section 2: The Economy

4. Overview of Economics
5. Economic Policy

Section 3: Investment Products

6. Fixed Income Securities: Features and Types
7. Fixed Income Securities: Pricing and Trading
8. Equity Securities: Common and Preferred Shares
9. Equity Securities: Equity Transactions
10. Derivatives

Section 4: The Corporation

11. Corporations and Their Financial Statements
12. Financing and Listing Securities

Part II: (Second Exam Topics)

Section 5: Investment Analysis

13. Fundamental and Technical Analysis
14. Company Analysis

Section 6: Portfolio Management Process

15. Introduction to the Portfolio Approach
16. The Portfolio Management Process

Section 7: Analysis of Managed and Structured Products

17. Mutual Funds: Structure and Regulation
18. Mutual Funds: Types and Features
19. Exchange Traded Funds
20. Alternative Investments: Benefits, Risks, and Structure
21. Alternative Investments: Strategies and Performance
22. Other Managed Products
23. Structured Products

Section 8: Working with the Client

24. Canadian Taxation
25. Fee-Based Accounts
26. Working with the Retail Client
27. Working with the Institutional Client