

# BOARD OF GOVERNORS

The material contained in this document is the Agenda for the next meeting of the Board of Governors.

**Tuesday, May 22, 2012**

**Alan A. Borger Sr. Executive Conference Room  
E1-270 Engineering Information and Technology Complex  
4:00 p.m.**

## OPEN SESSION

Please call regrets to: 474-6165 no later than 9:00 a.m. the day of the meeting.

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**OFFICE OF THE UNIVERSITY SECRETARY**



UNIVERSITY  
OF MANITOBA

# BOARD OF GOVERNORS

Alan A. Borger Sr. Executive Conference Room (E1-270 EITC)

Tuesday, May 22, 2012

4:00 p.m.

# OPEN SESSION

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## AGENDA

- |                  | <b>Presenter</b> |
|------------------|------------------|
| 1. ANNOUNCEMENTS | Chair            |

### FOR ACTION

- |  |       |
|--|-------|
| 2. APPROVAL OF THE AGENDA  | Chair |
| 3. MINUTES (Open Session)  |       |
| 3.1 <a href="#">Minutes from the March 20, 2012 meeting</a>            | Chair |
| 3.2 <a href="#">Business Arising – Safe Disclosure Policy Question</a> |       |
| 4. UNANIMOUS CONSENT AGENDA  | Chair |

*If any member of the Board wants to ask a question, discuss or oppose an item that is marked for the consent agenda, the member can have an item removed from the consent agenda by contacting the Secretary of the Board prior to the meeting or by asking that it be removed before the Chair calls for a mover and seconder for the motion to approve or receive, by unanimous consent, the items listed.*

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|--|-------------|
| 5. <a href="#">REPORT FROM THE PRESIDENT</a>   | President   |
| 6. FROM FINANCE, ADMINISTRATION AND HUMAN RESOURCES COMMITTEE  |             |
| 6.1 <a href="#">Operating Budget for 2012 – 2013</a>   | Ctte. Chair |
|  6.2 Student Referendums  | Ctte. Chair |
| 6.2.1 <a href="#">Faculty of Kinesiology &amp; Recreation Management</a>   |             |
| 6.2.2 <a href="#">Faculty of Science</a>   |             |
| 6.2.3 <a href="#">Faculty of Dentistry</a>   |             |
| 6.3 <a href="#">Policy – Institutional Costs of Research</a>   | Ctte. Chair |
| 7. FROM EXECUTIVE COMMITTEE  |             |
|  7.1 <a href="#">Report of the Senate Committee on Awards (dated February 17, 2012)</a> | Chair       |
|  7.2 <a href="#">Proposal to Establish Centre of Human Rights Research</a>              | Chair       |

**MOTION TO MOVE TO CLOSED AND CONFIDENTIAL SESSION**



**Minutes of the Meeting of the Board of Governors  
Open Session  
March 20, 2012**

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The meeting was held at 4:00 p.m. in the Alan A. Borger Sr. Executive Conference Room, E1-270 EITC.

Present: J. Lederman, Chair  
J. Leclerc, University Secretary

D. Barnard A. Berg T. Bock P. Bovey E. Bowness R. Dhalla  
M. Forsen N. Halden G. Hatch M. Labine P. Nawrot B. Passey  
N. Rashid M. Robertson D. Sauer H. Secter C. Tapp M. Whitmore  
R. Zegalski

Assessors Present:

B. McKenzie

Regrets:

J. Embree R. Howard S. Jasper

Officials Present:

J. Doering S. Foster S. Gottheil D. Jayas J. Kearsey J. Keselman  
D. McCallum

**1. Announcements**

Ms. Lederman welcomed Bilan Arte, President-elect of UMSU and Jennifer Black, Vice-President (Advocacy)-elect of UMSU. Ms. Lederman then welcomed Susan Gottheil, Vice-Provost (Students), who would be presenting to the Board of Governors, and Jay Doering, Dean of the Faculty of Graduate Studies.

**2. PRESENTATION**

SEM Update, Susan Gottheil, Vice-Provost (Students)

Ms. Gottheil presented an update of the status of the Strategic Enrolment Management process at the University of Manitoba.

A number of questions were asked by members of the Board:

Dr. McKenzie asked whether the projected numbers include Distance Education or Online Learning. Ms. Gottheil responded that they are included, but are not broken down. The projections are global, so online and distance education programs will need to be looked at. Ms. Lederman asked Dr. Barnard to speak to where this fits in relation to the overall planning and decision making framework to set the context for the discussion.

Dr. Barnard stated that the University has not consciously tried to manage enrolment in the past. He added that more graduate students and more Indigenous students are needed in order to serve the province's needs, particularly as they relate to economy and innovation. Graduate students are tied into the innovation agenda in countries that are trying to grow. He then stated that key decisions needed to be made about what we want the enrolment profile to look like, and that these decisions will result in various entailments. He suggested that feedback from Board members would be helpful to the Executive team in initially determining those targets. He indicated that it seemed to make sense to move toward a target of 32,000 students as this would allow room to grow some of the components we wish to grow without turning away students from our local area. A totally different strategy, Dr. Barnard offered, would be to stay at the same size and grow those components which we think should grow. This alternative would mean that some local students would have to be turned away as we would reduce our domestic undergraduate intake. There may be good reason to do it, but there would be risks as well. We could lose ground to our competitors. We could give away a market that we owned in order to pursue a market that we can't effectively pursue. The proportion of graduate, international, and Indigenous students is the issue we need to look at today.

Dr. Keselman indicated that this project began as a component part of the OARS project, to strive to use our resources effectively. If the University brings in any students who come to the door and those students don't succeed and leave; that is not a very good use of resources. It is a move from recruiting by chance to recruiting by choice and recruiting to retain with a focus on student success. The question is, what choice does the University make, what kind of institution do we say we are and what does that mean for the choices we make. This translates into what actions we take around the kind of students we think are best suited for our university – how many and what numbers, and then get into the bigger question of overall goals.

Ms. Bovey asked what percentage of graduate students did their undergraduate degree at the University of Manitoba and how many graduated elsewhere. Dr. Doering responded that he did not have exact numbers at hand but he suspected it was approximately a 50/50 split.

Chancellor Sexter stated that this issue may be more difficult and potentially dangerous than it appears to be. If this plan were implemented it would only work if we were to shrink the existing non-Aboriginal, non-international student body. His sense is that, from a political point of view, if the University of Manitoba is seen as not accommodating local, non-Indigenous students, the government may decide that those students should be accommodated elsewhere and would likely adjust post-secondary funding accordingly. It's potentially self-defeating. Some of the issues are very nuanced and the potential unintended consequences may be very significant for

the future of this institution. Dr. Barnard responded that this was a very good point, although he noted that more Indigenous students would mean more Manitoba students. However, he asked, are those we are admitting at the low end of the mark range being successful? The University's policy has been to accept everyone, but without consideration of whether or not they are successful. The University may not be serving people well by letting those individuals fail out. Dr. Sexter suggested that a medical/doctoral university might be justified, as part of a Manitoba University System, in redirecting those students to other institutions. Dr. Sexter indicated his sense that this type of idea had not been well received by government in the past, but that it may be time to revisit it as part of an overall provincial system. Dr. Barnard stated that, in reality, in recent history, funding has not been tied to student enrolment, so any government preferences have not been stated or operationalized.

Dr. Whitmore asked how the students do in general. How successful are they? If success is defined as graduating, does it vary with the incoming average? He stated that, of those students coming in with low marks, only 30% appear to graduate.

Ms. Lederman asked if there is any awareness of the way the province is impacted by a low number of graduate students being trained. The province would need to know this information in order to make their funding decisions. Dr. Barnard indicated his sense that this was not generally well understood. Ms. Bovey stated that in her experience with hiring employees she has at time found it necessary to hire individuals from out of province because of a lack of qualified local graduates. She added that this phenomenon likely plays out sector by sector.

Dr. Keselman stated that there would have to be an acknowledgement that the University of Manitoba plays a unique role in the province. The University would be fulfilling what it has always said the mandate is, but it hinges on the public and the other institutions accepting this. Mr. Robertson asked why, as an institution, we would want a higher percentage of graduate students. Dr. Keselman responded that graduate students are the engine of the research enterprise and that our research programs suffer because of insufficient numbers of graduate students. Dr. Jayas stated that a knowledge economy requires graduates with both Masters and Doctorate level degrees, referring to the work of Richard Florida. If Manitobans want to live in a knowledge economy the University needs to produce the graduates to support it and meet the needs of the province.

Ms. Labine asked if the number of graduate students who stay in the province is known and whether there are positions for these graduates in the province. Dr. Doering responded that there is no evidence that the majority of Ph.D.'s aren't employed up to their skill level. Because Manitoba has been kicking below its weight it is not surprising that employers must go outside of our borders for qualified people. If we produce the graduates, they will be absorbed into a global knowledge economy. Dr. Whitmore observed that top faculty are attracted to thriving research programs with sufficient graduate students. Also, a strong graduate research program supports the inclusion of research opportunities in the undergraduate curriculum.

Dr. McKenzie suggested that though there is a need to grow graduate enrolment, if that is done at the expense of the undergraduate population it may play against another priority which is to increase the number of undergraduate Indigenous students. This needs to be given careful thought. Dr. Barnard replied that he feels that both can be accomplished at the same time. The University would explicitly target increasing the number of graduate and undergraduate Indigenous students while increasing the overall number and proportion of graduate students and international students. Dr. Barnard stated that it will be a hard job to get to where the profile of students at the university represents the percentage of Indigenous people in Manitoba's population. There is quite a bit of room to grow, but both can be done at the same time.

Ms. Tapp noted that the increase in international students does have some impact. Specifically that these students are the main users of advocacy services and the appeals process. She added that if the students come here and are not successful that it is a waste of efforts and resources. She added that students already have limited spaces in which to live and work and stated that more residences are needed in order to support these students.

Dr. Barnard noted that Ms. Gottheil did not elaborate on all of the entailments associated with these decisions, and added that those types of things would be considered later in the process. Dr. Barnard stated that the undergraduate/graduate balance that we want to have and the proportion of international and Indigenous students combined with how big we want to be leads to all kinds of considerations from which flow derived decisions.

Mr. Dhalla stated that funding seems to be a big part of these decisions, adding that graduate students are expensive. He suggested that online learning should be part of the SEM plan and that the Board should have a better understanding of costs associated with each student and ways to reduce costs by considering, as a mitigating factor, online and distance learning opportunities for undergraduate students. Ms. Gottheil responded that it was necessary to be careful about viewing online education as a panacea. Students who currently enroll in these courses often don't have a good success rate, and Ms. Gottheil added that there are instructor and infrastructure costs making distance learning expensive to provide. What might be good to look into are course-based masters programs. She added that some programs are more expensive than others and that it is important to let some programs subsidize others. Ms. Lederman noted that online education should be part of the consideration as one element in the toolbox. Some institutions have had great success with it. She also stated that it would be helpful to see data on the impact of the lack of graduate students in the province. This institution needs to understand that better so that government and citizens can understand it.

Ms. Bovey noted that when community colleges became degree granting institutions in British Columbia a number of students transferred to the University of Victoria after their first two years in community college. She asked if there will be another mix of feeder students coming to the University of Manitoba as society changes in the next few years. Will there be feeders from Red River College and University College of the North that will be coming to this university after their 3rd and 4th years. Dr Barnard responded that those feeders will be coming into the mix, and added that there is a lot of interest in student mobility among the public and government.

Finally, Dr. Barnard stated that the discussion had been helpful and that he sensed that there was no great disagreement with the direction discussed and that the general direction seems okay. Ms. Lederman added that any changes in direction must come with the caveat that the government would have to be involved in discussions. Dr. Jayas suggested that we should study countries like Israel where they have moved into a knowledge economy to get a better case to show to the government.

Ms. Lederman thanked Ms. Gottheil and Dr. Doering for the discussion and invited Board members to have a ten minute break before starting the formal part of the agenda.

### 3. **Approval of the Agenda**

It was moved by Ms. Forsen and seconded by Mr. Nawrot:

**THAT the agenda for the March 20, 2012 Open Session be approved as circulated.**

**CARRIED**

### **FOR APPROVAL**

### 4. **MINUTES (Open Session)**

4.1 Approval of the Minutes for the January 19, 2012 meeting as circulated or amended

It was moved by Ms. Bovey and seconded by Mr. Nawrot:

**THAT the minutes of the January 19, 2012 meeting be approved as circulated.**

**CARRIED**

4.2 Business arising – none

### 5. **UNANIMOUS CONSENT AGENDA**

The Chair asked whether any member had concern with any of the items on the Consent Agenda. Dr. McKenzie requested that item 7.4 New Policy - Safe Disclosure be removed from the Consent Agenda for discussion.

It was moved by Mr. Nawrot and seconded by Ms. Forsen that the Board of Governors approve and/or receive for information the following:

7.1 Interim Spending Guidelines for 2012-2013

**THAT the Board of Governors authorize interim spending guidelines based on 97% of the current 2011-12 baseline operating budget from April 1, 2012 until the Board of Governors approves the 2012-13 operating budget.**

7.5 New Substance Abuse and/or Dependency Policy

**THAT the Board of Governors**

- 1) rescind the current Policy: Alcoholism of University Staff (February 17, 1977);**
- 2) approve the new Policy: Substance Abuse and/or Dependency; and**
- 3) receive for information the Procedures: Substance Abuse and/or Dependency previously approved by the President.**

7.6 Revised Art Collection Policy

**THAT the Board of Governors approve the revised Art Collections Policy and accept for information the Art Collections Procedures.**

7.7 Revised Holidays Policy

**THAT the Board of Governors approve the revised Holidays Policy.**

8.1 Report of the Senate Committee on Awards [dated December 15, 2011]

**THAT the Board of Governors approve sixteen new offers and one amended offer as set out in Appendix A of the Report of the Senate Committee on Awards [dated December 15, 2011].**

8.2 Report of the Senate Committee on Awards [dated January 18, 2012] and [dated January 25, 2012]

**THAT the Board of Governors approve one new offer and three amended offers as set out in Appendix A of the Report of the Senate Committee on Awards [dated January 18, 2012].**

**THAT the Board of Governors approve one amended offer as set out in Appendix A of the Report of the Senate Committee on Awards [dated January 25, 2012].**

**CARRIED**

The Board received for information the following:

11.1 University Discipline Committee Annual Report

Report of the University Disciplinary Committee for the period of September 1, 2010 to August 31, 2011

**6. REPORT FROM THE PRESIDENT**

Dr. Barnard highlighted a few items from his written report, including a recent trip to Berlin he was on with Ralph Stern and U15 presidents to meet with presidents of research universities there, as well as will some of the funding agencies. He added that, prior to the trip to Berlin he and Dean Stern had visited the Technical University in Munich to sign some collaborative agreements. Additionally, Dr. Barnard indicated he has been the lead negotiator for AUCC in negotiations with the Access Copyright group. Dr. Barnard then congratulated John Kearsley's team on the success of the Trailblazer campaign which won 8 awards in Seattle at the CASE (Council for Advancement and Support of Education) awards in recognition of the brand campaign.

Dr. Barnard reported that the Visionary Conversations events have been ongoing for the last number of months, and recently were styled as Alumni events in Victoria and Vancouver. He added that these were the best alumni events he's ever attended at any university, attendance was fantastic and there was significant interest in the human rights topic as addressed by Professors Adam Muller, Chris Powell and Karen Busby. These events will be held again in other places as well.

**7. FROM FINANCE, ADMINISTRATION AND HUMAN RESOURCES COMMITTEE**

7.2 2012-2013 Residence Room and Meal Plan Rate

Mr. Zegalski stated that this annual submission was discussed at length and recommended by the Finance, Administration, and Human Resources (FAHR) Committee. He added that the goal was to keep rates as affordable as possible while addressing increased costs in utilities, food, etc., making it a tough decision every year.

Mr. Sauer asked what was meant by the statement at the bottom of page 27, that the cost of going green is increasing. Mrs. McCallum explained that part of the Sustainability strategy requires Aramark to develop more sustainable strategies, such as composting and improving packaging.

Also referring to page 27, Ms. Tapp reported that students are unhappy about the external declining balance program. Mrs. McCallum explained the rationale behind that program and stated that vendors require some guarantee of business in return for offering the program. The mandatory \$100.00 on the card is designed to provide that guarantee.

It was moved by Mr. Zegalski and seconded by Mr. Robertson:

**THAT the Board of Governors approve the room and meal plan rate increases for 2012-13 for the Arthur V. Mauro Residence, Mary Speechly Hall, Pembina Hall Residence, and University College Residence as detailed in the tables attached.**

**CARRIED**

*Ms. Tapp requested that her opposition be recorded.*

### 7.3 Endowment Fund – Policy on Spending Allocations

Mr. Zegalski reported that as returns on investment funds are not what they have been in the past, the Trust and Investment Committee has analyzed allocation models used by other organizations in developing the proposed policy. The intention is to preserve endowments for future students while at the same time providing sufficient current funding. Mrs. McCallum added that there was consultation with the Deans in developing this policy as they are the primary beneficiaries of these spending allocations. She also stated that Lance McKinley had presented to the Provost Council and to faculty business managers to solicit feedback on the proposed model. The consensus reached was that this model would be the most stable for funding and the most resistant to market volatility.

It was moved by Mr. Zegalski and seconded by Dr. Whitmore:

**THAT the Board of Governors approve a new policy on endowment spending allocations effective the 2012/2013 fiscal year utilizing a hybrid of inflationary increases and market returns as follows: 75% based on the previous year's actual spending amount adjusted for inflation PLUS 25% based on a 4% spending rate of the 60-month rolling average of the market value of the fund.**

**CARRIED**

### 7.4 New Policy - Safe Disclosure

This policy was recommended by the Audit and Risk Management Committee (ARMC) subject to feedback from the University of Manitoba Faculty Association (UMFA), which had not yet been received. Since the policy was reviewed by ARMC there have been some revisions made to the procedures in response to comments from UMFA received on March 13. Mrs. McCallum added that this policy is an overdue response to a legislative requirement so delaying approval at that time had not been advisable.

Dr. McKenzie stated that UMFA appreciated that changes were made and pleased that they had an opportunity to comment. Dr. McKenzie noted that in Section 2.3 of the Policy it states that mandatory disclosure and reasonable cooperation with investigation is required. He added that the Act does not require mandatory reporting, and asked if the intent is that someone who does not report or disclose will be subject to discipline. In addition, Dr. McKenzie inquired as to the definition of credible evidence. Mrs. McCallum responded that decision had been to make the policy more broad because it is intended to as a risk management tool. She added that administration would likely give staff the benefit of the doubt except in the case of a very clear violation, stating that it was very unlikely that any disciplinary action would be taken for failure to report wrongdoing. The intent was to send a strong message that people are obligated to bring these issues forward. Dr. McKenzie suggested that it would be nicer to encourage and facilitate disclosure rather than making it mandatory. Regarding Section 2.6.5b) of the procedures, Dr. McKenzie asked what is the essential nature of an allegation against a person and stated that it might it be better to have full disclosure rather than only the essential nature of the allegation. Mrs. McCallum responded that she would look into the answer to that question and will let Dr. McKenzie know.

It was moved by Ms. Bovey and seconded by Mr. Zegalski:

**THAT the new policy entitled *Safe Disclosure* be approved.**

**CARRIED**

#### 7.8 Revised Parking Regulations

Mr. Zegalski informed the Board that FAHR had a presentation by Alan Simms outlining the event day parking plan, noting that there will be challenges and that some adjustments may be necessary. He added that these are the best plans to accomodate as many people as possible and that the FAHR Committee recommended approval. He also observed that there would be very little conflict with the Blue Bomber game schedule. Dr. Whitmore asked if the proposal was the same as that presented at Provost Council, stating that the Dean of Music had expressed some concerns related to the Preparatory Studies program. Mrs. McCallum reported that there had been some other concerns but they are being dealt with as they are raised. Ms. Tapp stated the she recognized the difficulty in developing this plan, but reported that students are unhappy with having restrictions on their access to campus and that they weren't consulted before the plan was developed.

Mr. Bowness stated that there was a rumour that classes would be cancelled on game days. Mrs. McCallum stated that was not the case. Ms. Black asked whether there was room for negotiation and Mrs. McCallum replied that there was none and reminded the Board of the extensive benefits the University is receiving as result of the stadium being built on campus.

It was moved by Mr. Zegalski and seconded Ms. Bovey by

**THAT the Board of Governors approves revisions to the Parking Regulations to reflect new parking rules that form part of the Stadium Event Day Plan. The new Parking Regulations would take effect immediately upon approval.**

**CARRIED**

#### 7.9 Exclusive Suppliers & Administrative Systems Policy

Mr. Zegalski stated that the policy provides efficiency and that many other institutions and governments are looking at implementing similar policies.

Mrs. McCallum added that in order for the University to receive the benefits negotiated in exclusive supplier agreements, full adoption is essential by members of the University, therefore, participation is a mandatory requirement. This policy is the mechanism to ensure compliance. Dr. Whitmore offered the example of a grant from the Canada Foundation for Innovation (CFI) where a researcher found a lower price available from a different supplier but had to go through the University's preferred supplier. He noted that this may cost granting agencies more in some cases. Mrs. McCallum stated that on large ticket items the University goes out to tender and that sole supplier contracts are usually for smaller items. She added that from time to time there will be individual prices elsewhere that will be lower, but in totality, pricing on average will benefit the University.

It was moved by Mr. Zegalski and seconded by Ms. Forsen:

**THAT the Board of Governors approve the new policy entitled *Exclusive Suppliers and Administrative Systems*.**

**CARRIED**

## **FOR INFORMATION**

### **FROM FINANCE, ADMINISTRATION AND HUMAN RESOURCES COMMITTEE**

9.1 Financial results and annual projection for the quarter Ending December 31, 2011

9.2 Interim Southwoods Lands Use Plan

### **10. UPDATES**

10.1 Updates from the UMSU President & GSA President

Ms. Tapp reported that UMSU participated in a national Day of Action on February 1 in which a large number of students participated. Ms. Tapp then acknowledged the support from university administration in creating the skating rink which was very active this year. Also, she reported that students were happy that improvements to campus wireless service are progressing and that there will be 29 wireless hubs in University Centre. She added that UMSU elections have taken place and that it was exciting to see a large number of students engaged in governance. Regarding construction of the Hub, Ms. Tapp reported that there had been some setbacks but construction was still moving along and it would be opening relatively soon. Finally, she noted that it was the last Board of Governors meeting for herself and Nour Rashid and expressed her thanks to everyone on the Board, stating that she loved the experience and wished the incoming UMSU executives well. The Chair responded with thanks from the Board and from management for their contributions over the year.

Mr. Nawrot reported that the GSA office and lounge renovations were completed, adding his thanks that the Dean of Medicine had provided a lounge at Brodie Centre for graduate students with secure card access. Mr. Nawrot added that on March 29 Minister Selby would be coming for a breakfast meeting with graduate students and administrators to talk about the province's strategies and he hoped to make it a yearly event. Mr. Nawrot informed the Board that GSA did not participate in the national Day of Action because many graduate students were not in

agreement with proposals by the Canadian Federation of Students (CFS) to protest/lobby tuition fees and such.

Mr. Nawrot stated that the Income Tax office at the International Centre for students was not provided this year so many students were having difficulty in filing their tax returns, in part because graduate students cannot access the UMSU tax office for service. He expressed his hope that the GSA lounge could be booked for the month of March to bring in a tax service from the city as it is something the students want.

Mr. Nawrot then went on the report that the Annual General Meeting was held on February 2 and that graduate students voted in favour of hiring a researcher to work in the office and look into the relationship of GSA with CFS. He added that there had been some activity which calls into question the status of the GSA membership in CFS and that graduate students wanted assurance that their fees were being used appropriately. Mr. Nawrot suggested that this may result in a motion to cease collecting CFS membership fees.

It was moved by Ms. Bovey and seconded by Mr. Zegalski:

**THAT the meeting move into Closed & Confidential Session**

**CARRIED**

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**Chair**

**University Secretary**



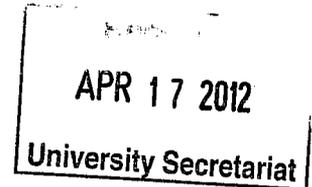
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**MEMORANDUM**

**Date:** April 13, 2012  
**To:** Jeff Leclerc, University Secretary  
**From:** Deborah J. McCallum, Vice-President (Administration)  
**Subject:** Safe Disclosure Policy and Procedures – Follow Up to Brad McKenzie's Question



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At the meeting of the Board of Governors on March 20, 2012 Professor Brad McKenzie asked for clarification of section 2.6.5 (b) of the Safe Disclosure procedures which reads as follows: "Those individuals who are alleged to have been involved in Wrongdoing must be informed of the essential nature of the allegations against them....". Professor McKenzie asked for clarification of the meaning of the word "essential".

I have spoken to Greg Juliano, Director of Fair Practices and Legal Affairs and General Counsel, who was responsible for drafting the policy and related procedures. Mr. Juliano explained that the word "essential" ensures that we will follow our duty of procedural fairness to inform someone accused of Wrongdoing of all of the allegations against him or her but that the individual would not be entitled to the entire complaint if it contains information that should be protected.

Would you please include this response under business arising at the next meeting of the Board of Governors?

Thank you.

## PRESIDENT'S REPORT: May 22, 2012

### GENERAL

There has been much to celebrate at the University of Manitoba in recent weeks. For instance, the YM/YWCA Women of Distinction Awards are an annual recognition of leadership and excellence, and the University of Manitoba is fortunate that 10 of its faculty and staff were nominees for this year's awards. University of Manitoba faculty nominees included: Zana Lutfiyya, education; Usha Mittoo, business; Colleen Plumton, kinesiology and recreation management; Lorna Turnbull, law; Cheryl Rockman-Greenberg, medicine; and Judy Chipperfield, psychology. Nadia El-Gabalawy, co-coach of the Bison cheerleading program, also was nominated. Congratulations to Tina Chen, history; Jeannette Montufar, civil engineering; and Maureen Heaman, nursing for having been selected as award recipients in 2012.

Similarly, it was a pleasure to take part in the 2011 Rh Awards and the 2011 Dr. John M. Bowman Memorial Winnipeg Rh Institute Foundation Award presentations on April 12, at which we celebrated the innovation being demonstrated by academic staff in the early stages of their careers as well as the accumulated wealth of accomplishment in research of Distinguished Professor Jan Oleszkiewicz (civil engineering).

On April 12, the Knowledge Infrastructure Program (KIP) was completed at the University of Manitoba with the opening of the ARTlab, the last of the seven projects funded at the University of Manitoba. KIP funding has made a significant difference in the University of Manitoba facilities on both campuses, and the seven funded projects all will assist in attracting and retaining top quality students at the University of Manitoba.

On the strength of a multi-year commitment from the Government of Manitoba to a 5% operating grant increase and tuition increases at the rate of inflation, the University of Manitoba was able to develop its 2012-2013 operating budget in a stable and predictable fiscal environment. The operating budget and financial plans for all other fund types (Research and Special, Capital, Trust and Endowment) will be presented to the Board of Governors (BoG) on May 22, 2012.

The University of Manitoba's participation in the Association of Universities and Colleges of Canada (AUCC) mission to Brazil was an important step in strengthening partnerships with Brazilian institutions. It was aimed at developing stronger connections with a country poised to become a top five global economy within the next five years, which is investing heavily in research. The University of Manitoba will invest up to \$880,000 over five years to attract Brazilian graduate students, and also has entered into three additional agreements with Brazilian institutions that are designed to provide new research and learning opportunities.

An agreement has been reached between AUCC and Access copyright that establishes a model license that will allow universities to reproduce copyright-protected materials in both digital and print formats, in effect until December 31, 2015. The University of Manitoba Copyright Office is making information about the agreement and the use of copyright-protected materials available to units.

## ACADEMIC MATTERS

- Digvir Jayas, Vice-President (Research and International) and Distinguished Professor of the University of Manitoba, was inducted as a Fellow of the Indian Society of Agricultural Engineers (ISAE) for his contributions to global grain storage research and profession of agricultural engineering at the 46th Annual Convention of the ISAE at the G.B. Pant University of Agriculture and Technology, Pantnagar, India.
- Gary Crow, animal science, was selected to receive the North American Colleges and Teachers of Agriculture (NACTA) Teaching Award of Merit and Victoria Tkachuk, M.Sc. student in Animal Science, received the Graduate Student Teaching Award of Merit. These awards are given annually to individuals who excel in teaching an agricultural discipline.
- Ed Tyrchniewicz, agribusiness and agricultural economics, has been named as an inductee to the Manitoba Agricultural Hall of Fame.
- Nick Turner, business administration, has been appointed Associate Editor of the organizational behavior division for the Canadian Journal of Administrative Services.
- Brett Sheffield, agricultural and food sciences student, will participate in the Advancing Canadian Entrepreneurship 2012 National Student Entrepreneur competition in May. Mr. Sheffield was named the Provincial Champion and Central Canada Regional Champion and will now compete nationally.
- The Asper School of Business, undergraduate student team won first place at the Global Investment Research Challenge put on by the Chartered Financial Analysts (CFA) in Calgary in March. This win allows our team to compete in the North America round of the challenge which the CFA will be hosting in New York City in April.
- Dayna Steinfeld, law student, was placed as one of 27 law students to serve as a law clerk to the Justices of the Supreme Court of Canada for the 2012-2013 year. Clerkships at the Supreme Court of Canada are considered to be among the most desirable and prestigious post-graduation opportunities for law students in Canada.
- A partnership between the Canadian Cochrane Centre and the George and Fay Yee Centre for Healthcare Innovation hosted their 2012 Symposium in Winnipeg. The Symposium will provide Manitoba researchers, clinicians and decision makers with an opportunity to interact, collaborate and build networks with others from across Canada and internationally and will build capacity in the conduct and application of systematic reviews to identify best practices to enhance patient care, influence policy development or decision making in health service planning.
- The Faculty of Law has launched two new journals in the past month. The century old Manitoba Law Journal has been recreated with a specific focus on legal developments relevant to Manitoba.

The Canadian Journal of Human Rights, a partnership with the Canadian Museum for Human Rights, launched its first volume.

- Let's Talk Science continues to reach out to high school students and attended the Sagkeeng First Nation's Jr. High School. Sixty youth in grades 5 and 6 were exposed to science through hands-on activities, including DNA extraction, It's Electrifying, and Spaghetti Bridge. The program also held another successful large scale outreach event at St. Vital Mall during spring break. Over the two day period over 400 children and youth were exposed a range of activities.

## RESEARCH MATTERS

- On March 13, 2012, three new **Canada Research Chairs (CRCs)** were awarded to the University of Manitoba: professors Michael Anthony Hart, Tamra Werbowetski-Ogilvie, and Zhenyu Wu. A fourth professor, Joerg Stetefeld, had his CRC designation renewed. The appointments were announced in Ottawa by the Honourable Gary Goodyear, Minister of State for Science and Technology. They each receive a \$500,000 Tier 2 Chair over five years. The new CRCs will also receive a combined \$368,594 from the Canada Foundation for Innovation (CFI). **Michael Anthony Hart**, Canada Research Chair in Indigenous Knowledges and Social Work, will connect with Elders to explore their cultural philosophies and practices which relate to helping. **Joerg Stetefeld**, Canada Research Chair in Structural Biology, studies the structure, function, and regulation of proteins involved in the extra-cellular matrix. **Tamra Werbowetski-Ogilvie**, Canada Research Chair in Neuro-oncology and Human Stem Cells, is doing her part to improve and extend the lives of those diagnosed with brain tumours. **Zhenyu Wu**, Canada Research Chair in Entrepreneurship and Innovation, explores the risk-taking behaviours of entrepreneurs and investors in new venture financing. The University of Manitoba is now home to 47 Canada Research Chairs.
- On March 28, 2012, it was announced that the Natural Sciences and Engineering Research Council of Canada (NSERC) awarded a new **Chair in Design Engineering** to **Douglas Ruth**, the newly appointed associate dean (design education). The activities of Ruth's chair began April 1, 2012, with \$1 million in funding from NSERC over the next five years. Ruth is both a graduate of the engineering faculty at the University of Manitoba, holding a B.Sc. in mechanical engineering, and also served as dean of the faculty for 11 years. The goal of the NSERC Chairs in Design Engineering program is to expand the level and quality of design engineering education in Canada.
- The premiere screening of the documentary **Drive for Free, The Alternative Fuel Revolution** took place March 28, 2012, at the Robert Schultz Theatre in St. John's College. The made-in-Manitoba film explores the use of and the research into alternative fuel sources in the province, covering a range of approaches, including the high-tech research done by University of Manitoba professors **David Levin** (biosystems engineering) and **Richard Sparling** (microbiology).
- The Research Quality Management office will purchase an annual membership to the Network of Networks (N2) consortium for the 2012/13 fiscal year. This membership will provide the University of Manitoba clinical research community with access to on-line Good Clinical Practice (GCP) training, clinical research Standard Operating Procedures (SOPs) that have been used at other Canadian research sites, and other resources specific to Responsible Conduct of Research (RCR) and Research Quality Assurance (under development).

- The **2011 Rh Awards** and the **2011 Dr. John M. Bowman Memorial Winnipeg Rh Institute Foundation Award** were celebrated on April 12, 2012 at an awards presentation, reception, dinner and lecture. The Rh Awards are given to academic staff members who are in the early stages of their careers and who display exceptional innovation, leadership and promise in their respective fields. The recipients in the categories Applied Sciences, Natural Sciences, Creative Works, **Health Sciences**, Humanities, Social Sciences, Interdisciplinary Studies respectively are: **Jun Cai** (electrical and computer engineering), who has made major contributions in radio resource management; **Michael Gericke** (physics and astronomy), who is an emerging leader in experimental nuclear and particle physics; **Neil McArthur** (philosophy), who is a promising filmmaker whose work has been screened at Canada's premiere film festivals; **Robert Schroth** (pediatrics and child health, preventive dental science), who is committed to improving the oral health of children in Manitoba; **Christopher Frank** (history), who is an historian of modern Britain; **Jessica Cameron** (psychology), who is interested in how personality influences relationships and how relationships in turn influence personality; and **Song Liu** (textile sciences), who is developing a new generation of multi-functional textile materials for medical uses.

The 2011 Dr. John M. Bowman Memorial Winnipeg Rh Institute Foundation Award recipient is Distinguished Professor **Jan Oleszkiewicz (civil engineering)**. A public lecture was held following the awards dinner, entitled *Cleaning Up the Mess: Managing Waste*. Attendees heard how Dr. Oleszkiewicz applies his innovative and pioneering expertise in the area of environmental engineering to the challenge of reducing the world's ecological footprint. His research has changed how wastewater treatment plants are designed around the globe as well as right here in Winnipeg. The award recognizes his outstanding research accomplishments.

- Nine researchers received a combined \$264,900 from the **Natural Sciences and Engineering Research Council of Canada (NSERC)**. The researchers who were awarded funds are:

Researcher	Program	Project Title	Funding
Barber, David	PromoScience	Schools on Board	\$77,400
Budzelaar, Peter	Engage	Selection of computational and kinetics methods for studying chlorine dioxide formation and decomposition	\$25,000
Chen, Ying	Engage	Soil bin testing of seeding tools	\$25,000
Friesen, Marcia	Engage	Spatial-temporal data mining and analysis for telecommunications network management	\$16,750
Gorczyca, Beata	Engage	Analyses of nanofilter fouling in potable water treatment	\$25,000
Jones, Peter	Engage	Development of a rapid, sensitive and accurate method to quantitate resveratrol (CIS and trans-isomers) and emodin in trans-resveratrol dietary supplements	\$25,000

Kazem Moussavi, Zahra	Engage	Scheduled usage optimization of Sunnex light and eyeglass technologies to avoid jet lag	\$25,000
McLeod, Robert	Engage	Wireless detection, intrusion, and tracking system	\$20,850
Thomson, Douglas	Engage	Flow impedance spectrometer	\$24,900

- Thirty-seven researchers received a combined \$3,519,472 from 18 sponsors. The researchers who were awarded funds are:

<b>Researcher</b>	<b>Sponsor</b>	<b>Project Title</b>	<b>Funding</b>
Arora, Rakesh (Surgery)	University Medical Group	Understanding mechanisms of altered intercellular communication in the diabetic heart	\$20,000
Carlberg, Jared (Agribusiness & Agricultural Economics)	University of Alberta	A conceptual model of the economic benefits from functional foods in Canada	\$43,000
Carlberg, Jared (Agribusiness & Agricultural Economics)	University of Alberta	Do Canadians care about dairy animal welfare? Exploring consumer perceptions and preferences	\$37,000
Dart, Allison (Pediatrics and Child Health)	Children's Hospital Foundation of Manitoba Inc.	The perinatal determinants of congenital anomalies of the kidney and urinary tract	\$11,000
Davie, James (Biochemistry and Medical Genetics)	Children's Hospital Foundation of Manitoba Inc.	Next generation sequencing platform	\$150,000
Dixon, Ian (Physiology)	CIHR	Role of Ski in myofibroblast regulation in heart failure	\$605,234
Elias, Brenda (Centre for Aboriginal Health Research (MFN CAHR))	CIHR	Cherishing water, claiming health: A planning symposium on water as a holistic health right	\$25,000
Freed, Darren (Surgery)	University Medical Group	Optimal resuscitation of hearts from donor after circulatory death for transplantation	\$15,000
Grymonpre, Ruby (Dean's Office - Faculty of Pharmacy)	CIHR	International Research Network in IPE&P	\$25,000

Hatch, Grant (Pharmacology and Therapeutics)	Barth Syndrome Foundation, Inc.	MLCL AT-1 elevates cardiolipin and mitochondrial function in cardiac myocytes of Taz knockdown mice	\$40,000
Hatch, Grant (Pharmacology and Therapeutics)	University of British Columbia	Cardiolipin synthesis as a therapy for heart failure in persistent pulmonary hypertension	\$22,500
Hughes, Judith (Faculty of Social Work)	University of British Columbia	Building knowledge on determinants of health of immigrants in smaller cities: A photovoice study	\$16,595
Jacobsohn, Eric (Anaesthesia)	Manitoba Medical Service Foundation	Assessment of obstructive sleep apnea risk in obstetric patients	\$20,000
Keijzer, Richard (Surgery)	University Medical Group	Exploring prenatal therapeutic interventions using nanotechnology	\$15,000
Kobinger, Gary (Medical Microbiology)	Defyrus Inc.	Post-exposure therapy against Ebola virus with monoclonal antibodies in non-human parasites	\$56,700
Li, Yan (Medical Microbiology)	Gouvernement du Québec	Immunogenicity of the 2010-2011 trivalent inactivated influenza vaccine (TIV) against Swine-origin influenza virus (S-OIV)	\$6,000
Li, Yan (Medical Microbiology)	University of British Columbia	Immuno-epidemiologic survey: Swine-origin influenza virus (S-OIV)	\$15,000
Li, Yan (Medical Microbiology)	Dalhousie University	PHAC/CIHR Influenza Research Network (PCIRN): Controlled comparison in Canadian seniors of seasonal influenza vaccine for 2011-2012	\$41,850
Liu, Songyan (Biochemistry and Medical Genetics)	Manitoba Medical Service Foundation	Identification of RALDH2 allelic variation by exome-wide DNA capture and next generation sequencing in patients with fetal alcohol syndrome	\$23,000
Mai, Sabine (Physiology)	CIHR	Étude transdisciplinaire du cancer du sein au Mali	\$42,001
Marrie, Ruth (Internal Medicine)	McGill University Health Centre	A population study of risk factors for multiple sclerosis: The Canadian contribution to an international study	\$5,000
Marrie, Ruth (Internal Medicine)	CIHR	Critical illness in inflammatory bowel disease, multiple sclerosis and rheumatoid arthritis	\$183,622
Metge, Colleen (Community Health Sciences)	CIHR	E-mental health services for children and youth: An evidence synthesis of qualitative and quantitative research on availability, effectiveness, cost-effectiveness, acceptability, and equity	\$99,999

Miller, Donald (Pharmacology and Therapeutics)	University of Kansas Center for Research, Inc.	Modulating the BBB to improve drug delivery to the brain	\$47,500
Minuk, Gerald (Internal Medicine)	Health Sciences Centre	Retrospective study investigating the association between cancer rates and freshwater cyanobacterial contamination in Manitoba	\$16,000
Murphy, Leigh (Biochemistry and Medical Genetics)	Canadian Cancer Society Research Institute	Epigenetic-like codes for estrogen receptor alpha (Era): Era phosphorylation profiling ex vivo in human breast tumors	\$198,150
Oen, Kiem (Pediatrics and Child Health)	SickKids Foundation	Teens taking charge: An internet-based self-management program for adolescents with arthritis: A randomized coordinated trial	\$13,952
Ominski, Kimberly (Animal Science)	Alberta Beef Producers	Using residual feed intake to improve lifetime productivity of beef cattle under forage-based beef cattle production systems	\$42,000
Pathak, Kumar (Surgery)	University Medical Group	Micro RNA profile of follicular neoplasm	\$15,000
Sareen, Jitender (Psychiatry)	CIHR	Culturally grounded suicide intervention in Cree Nation communities	\$100,000
Schmidt, Brian (Physiology)	CIHR	Propriospinal transmission of the locomotor command signal	\$747,524
Singh, Harminder (Internal Medicine)	American College of Gastroenterology	Academic performance among children with inflammatory bowel disease: A population-based study	\$35,000
Soussi Gounni, Abdelilah (Immunology)	Children's Hospital Foundation of Manitoba Inc.	Role of semaphorin-3E in airway remodeling	\$40,000
Werbowski-Ogilvie, Tamra (Biochemistry and Medical Genetics)	Canadian Cancer Society Research Institute	Using human embryonic stem cells to understand early molecular events during pediatric brain tumorigenesis	\$179,835
Woodgate, Roberta (Nursing)	CIHR	Youth's voices: Their lives and experiences of living with an anxiety disorder	\$366,010
Zarychanski, Ryan (Internal Medicine)	CIHR	A pilot randomized controlled trial to evaluate the efficacy of unfractionated heparin in septic shock	\$100,000
Zarychanski, Ryan (Internal Medicine)	Manitoba Health Research Council	A pilot randomized controlled trial to evaluate the efficacy of unfractionated heparin in septic shock	\$100,000

- Five investigators have received a total of \$148,006 for seven projects from the National Networks Centres of Excellence. They are:

<b>Researcher</b>	<b>Sponsor</b>	<b>Project Title</b>	<b>Funding</b>
Linden, Rick (Sociology)	Auto21	Antisocial behaviour and the automobile: Focusing resources to prevent automobile- linked crime in Canada	\$45,000
Linden, Rick (Sociology)	Auto21	Antisocial behaviour and the automobile	\$7,000
Ojo, Olanrewaju (Mechanical & Manufacturing Engineering)	Auto21	Laser welding and durability of advanced high strength steels	\$21,250
Porter, Michelle (Kinesiology & Rec. Mgmt)	Auto21	A comprehensive training approach to enhance safe driving in older adults	\$29,756
Thomson, Douglas (Electrical & Computer Engineering)	Mitacs Inc.	Interrogation of passive wireless RF cavity sensors	\$15,000
Thompson, Shirley (Natural Resources Institute)	Mitacs Inc.	An evaluation of the energy system in an off- grid northern community: a case study at Brochet, Manitoba, Canada	\$15,000
Thompson, Shirley (Natural Resources Institute)	Mitacs Inc.	Economic and energy efficiency assessment of biomass harvesting at a northern off-grid community: a case study at Brochet, Manitoba, Canada	\$15,000

- Eight projects over \$20,000, were awarded contracts for a total of \$ 1,053,519. They are:

<b>Researcher</b>	<b>Sponsor</b>	<b>Project Title</b>	<b>Funding</b>
Arntfield, Susan (Food Science)	Canadian International Grains Institute	Use of milled pea flours with varying properties to prepare gluten free baked goods	\$24,000
Bullock, Paul (Soil Science)	Government of Canada	Integration of remote sensing data, in situ soil moisture and soil moisture modeling for improved soil moisture observation	\$80,000
Lobb, David (Soil Science)	Agriculture and Agri-Food Canada	Soil erosion study (Solicitation Number: 01799-120603/A)	\$85,500
MacDonald, Sharon (Community Health Sciences)	Health Canada	Northern Medical Unit Initiative - Medical transportation	\$698,53 9
Mahmud, Salaheddin (Community Health Sciences)	Manitoba Health	The Manitoba sentinel influenza vaccine effectiveness (VE) pilot project	\$50,000
Ominski, Kimberly (Animal Science)	Environment Canada	Ecoregion examination of feeding and management strategies in the Canadian beef industry	\$25,000

Roth, James (Biological Sciences)	Manitoba Conservation	Dietary reconstruction of Manitoba wolves: Implication for moose declines	\$50,000
Sareen, Jitender (Psychiatry)	Queen's University	Development of a mental health grant proposal	\$40,480

## ADMINISTRATIVE MATTERS

- A draft Action Plan for **the Outstanding Workplace Initiative** has been prepared and reviewed with the President’s Executive Team and is scheduled for a review with the Outstanding Workplace Guiding Team.
- **International Design Competition for a Master Plan including the former Southwood Lands** - Rejeanne Dupuis, Physical Plant and Wendy Parker, Office of the Vice-President (Administration) have joined the Campus Planning Office on one year seconded appointments to work with Michelle Richard, Director of Campus Planning, on the upcoming International Design Competition for a Master Plan including the former Southwood Lands. Rejeanne in her role as Project Director assumes responsibility for the overall management of the design competition. Wendy in her role as Competition Promotion Manager will be responsible for the overall communication and marketing of the competition. A project management team has been created to provide overall guidance and expertise to the competition process. It is comprised of Ralph Stern, Dean of Architecture; Richard Milgrom, Head of City Planning, Alan Simms and the core competition team.

A workplan including a communications/marketing and engagement component, and governance was presented to the Campus Planning Advisory Committee in March. Key next steps in the workplan will be the engagement of a Competition Advisor to assist with the overall competition, the announcement of a competition jury and the completion of the Competition Brief, a guide to the competition to be used by competing teams. The Board of Governors will review the jury selection and competition brief.

An engagement plan has been drafted, outlining engagement opportunities for the University community and external community throughout the planning process. Three neighbourhood network meetings have been held with area residents to date. Internal and external meetings with stakeholders are on-going. A major outreach/information campaign is planned to allow interested University and external stakeholders an opportunity to hear about the competition, design process – including the guiding principles and design elements and how to get involved in the process.

- Overall, the **ROSE program** is entering the current fiscal year with 24 active projects. Three projects have completed since the last report and a number will be concluding within the next six months.

### Highlights include:

**Finance Stream Integrated Travel & Expense System**: Concur was launched at the end of October and user volume on the system continues to increase as the product is rolled out. Over 1,600 individuals are now active in the system, almost 1,000 flights have been booked, and over 1,700

expense reports have been filed. Phase in of this service is nearing completion, with Bannatyne campus being brought on board over the next several weeks. Project will conclude in June 2012.

eProcurement: A naming contest for eProcurement elicited almost 70 suggestions; 160 individuals voted on the short list and selected the name EPIC – Electronic Procurement and Information Centre. The 40 week implementation of EPIC remains on target for a scheduled launch in July, followed by 4-5 additional months of roll out across the University. Recently, a group of 22 users participated in a 1 ½ day session demonstrating the functionality of EPIC as it has been tailored to UM requirements. Feedback from that session is being used to drive some further refinements. The project is targeted to go live in July 2012.

Research Accounting: Development of four agency templates to be used for reporting financial information to various granting agencies continues. The estimated completion date is end of May 2012.

**HR Stream** ESS: In March, operational security issues caused by a software bug in ESS resulted in a shutdown of ESS and the postponement of any further project work on ESS. These issues have now been resolved, and ESS has been brought back online. Project work has restarted for the implementation of the Management Portal, with a planned go-live for fall 2012.

REACH-UM: Project team is currently working on developing the future state processes for hiring sessional instructors and teaching assistants. Planned go-live is fall 2012.

**IT Stream** - Shared Services: The project will be using the next six months to slow down and perform a collaborative assessment of the project. The intent of the review would be to identify “lessons learned” to date and to engage stakeholders with the goal of identifying opportunities for increased customer satisfaction. The deployment of service continues with existing units.

Email/Calendar: Mass migrations started at the end of February. The project recently passed the 2,000 mark for the number of faculty and staff that have been migrated to Exchange. Meetings are being held with certain units to address specific issues.

Managed Print Services: Project is fully underway. First implementations (Education, Dentistry and Administration) to be done by end of June. Forecast to have all implementations completed by March 2013.

IT Procurement Centre (ITPC): ITPC office established in University Centre. ITPC Manager hired effective May 1, 2012. Hiring of ITPC Solution consultant almost complete. Project will conclude in June 2012.

PC Commissioning and Decommissioning (PCCD): Design workshops completed and target operating model being presented for review. Planning for implementation has begun. Current forecast is a May start but completion will depend on the decision of the future state operating model.

**Physical Plant Stream** - Work Order Improvements: Completed and closed this period.

Integrated Workplace Management System: High level requirement definition completed. Detailed process mapping to begin in May 2012.

Project Management Improvements: Training and communication for small project procedures is complete. Model for simulating alternative tendering process is being tested. Two new design positions have been reposted.

**Research Services Stream - Contracting**: Institutional Costs Policy will be submitted to the Board of Governors for May 22, 2012. New functionality to allow researchers to see where their contract is in the contract tracking process to be rolled out in May 2012.

Compliance Systems Implementation: Agreement signed with Locus Technology Inc. for the Lab Animal Facilities Management modules. Reviewer processes for Human and Animal Ethics have been finalized.

**Students Stream –Undergrad - Financial Aids and Awards**: BSAC 8.6 upgrade completed. Configuration and development continues in the following areas: eligibility checking for awards selection; accounts payable transactions and automation of the annual finance feed for endowment funds.

Admissions: Software solution purchased from Hobsons. Team is working with the vendor to define the data needed for the on-line forms.

Customer Relationship Management (CRM): Student pages and communication messages under development with Hobsons. Student messages will be personalized based on their expressed interests.

Future Students Website: Completed and closed this period.

Classroom Scheduling: Creation of the first schedule for the Fall/Winter term of 2012 on the Fort Garry campus is expected to be completed by May 1, 2012. After that, the system will be available for additions and changes. Strategy for integrating Bannatyne Campus will begin in spring 2012.

**Students Stream - Grad Studies GSEM**: The CRM and Admissions projects were combined into one project: Graduate Student Enrolment Management (GSEM). Project charter approved. Software solution purchased from Hobsons. Communication and consultation with the graduate units has begun. Process review underway.

**Legal Stream - Policy Development & Management**: Work on the policy for the establishment of governing documents to start in May.

**External Relations Stream - Marketing Communications Office**: Continue to onboard people on the basis of the talent/recruitment plan. Short and long-term space issues have been resolved. Positive feedback from units as new operating model deploys.

Philanthropy: Philanthropy will continue to onboard people on the basis of the talent/recruitment plan. Report from KCI (external consultant) provided and being evaluated for impact on the planned organizational structure and resourcing needs.

- The University reached an agreement with **AESES** that was ratified by its membership on April 30, 2012. With a voter turnout of approximately 1200 members, 86% voted in favour of accepting the agreement. The agreement is on all outstanding issues with the exception of the order of the general salary adjustments. The parties have referred to Final Offer Selection arbitration the issue of whether the University's proposal for 0%, 0%, 2.9% and 2.9% over 4 years will be successful or whether the Union's proposal of 0%, 2.9%, 0%, and 2.9% will be awarded by the arbitrator. This agreement resolved a potential strike situation as a strike mandate had been achieved by the Union's bargaining team.
- The **Workplace Safety and Health** unit of the Department of Labour is reviewing the formation and operation of University safety committees to ensure compliance with the legislation.
- **US Centers for Disease Control Audit** - US funding of research above certain thresholds requires that we engage external auditors to report on the project spending. The audits must be done in accordance with US government auditing standards and include reports on internal controls and compliance with US legislation. There are two projects that exceed the threshold, one in India that has been active for a number of years, and a new one in Africa. Through an RFP, the University has retained Ernst & Young to perform the audit of the Kenya based "Increasing Access to Quality Comprehensive HIV Prevention Services for Most At Risk" funded by Centers for Disease Control and Prevention.
- The **Bottle-fill stations in University Centre** are receiving a tremendous amount of positive attention and are being used extensively. At the end of March the equivalent of over 12,000 single-uses plastic water bottles have been avoided.
- **Manitoba Hydro presented the University of Manitoba with a cheque for \$100,000.00** for the University's participation in a Power Smart for Business program. The incentive cheque was for the installation of qualifying energy efficient windows in the new Pembina Hall Residence on MacLean Crescent. Along with the financial incentive, the University has also been recognized as an Efficiency Partner by making efficiency upgrades, conserving energy and contributing to a cleaner environment. The proceeds of the cheque will go against the cost of the construction of the Pembina Hall Residence.
- **Payment Card Industry Data Security Standards (PCI DSS) Compliance** –Statement of Work documents are signed. Core cashiering work has begun. Project Planning for University of Manitoba tasks is underway.
- **CCTV Policy** – Security Services is working together with Legal Services and Risk Management, for creation of a formalized Closed Circuit Television (CCTV) Policy and Procedure and will be in compliance with UM guidelines all applicable legislation, including but not limited to Freedom of Information and Protection of Privacy Act, Privacy of Manitoba Act.
- **Construction Updates**
  - **ART Lab** - Grand Opening was held on April 12, 2012.
  - **Elizabeth Dafoe Library Learning Commons** - Gateway Construction has mobilized to site. Library functions have relocated to the second floor. Demolition began the week of April 23, 2012. Construction completion is scheduled for late August 2012.

- **Taché Hall Redevelopment** - A multi-phased construction schedule has been developed with multiple tender packages identified. The team is working on numerous packages at once, focusing on the critical path items within the greater project schedule. Upcoming tenders include piling and foundation work for the new construction components. The Taché bulk demolition has been awarded and will commence on June 8, 2012. Interior selective demolition and preparation for window replacement work is well underway. Substantial completion for all components is anticipated by July 2014.

**EXTERNAL MATTERS**

- As of April 30, 2012, the university has raised \$26,040,195.02 in this fiscal year.

**2011/2012 Fundraising Achievements**

<b>Constituency</b>	<b>Total Gifts</b>
Alumni and Friends	\$9,911,547.05
Corporations	\$3,015,376.27
Foundations/Organizations	\$7,894,693.06
Staff	\$1,200,576.13
Students and Parents	\$4,018,001.51
	<b>\$26,040,194.02</b>
<b>Where gifts were allocated</b>	<b>Total Gifts</b>
Capital Funds	\$5,574,745.56
Chairs/Professorships	\$1,803,560.14
Faculties/Schools/Colleges Support	\$7,248,688.05
Gifts in Kind	\$375,694.75
Libraries/Galleries/Museums	\$278,885.17
Research	\$459,062.28
Student Support	\$9,804,593.00
Unrestricted and Other gifts	\$494,965.07
	<b>\$26,040,194.02</b>

**Significant gifts include:**

- A gift of \$159,750.88 from the estate of Anne DuMoulin, to create the Anne DuMoulin Bursary in the Faculty of Social Work.
- The Manitoba Métis Federation has made a gift of \$150,000 to the Louis Riel Bursaries at the University of Manitoba.
- **Visionary Conversations**  
On March 27, 2012, the 3<sup>rd</sup> Visionary Conversations “on the road” took place at the Petroleum Club in Calgary, Alberta. The event featured Dr. Michael Benarroch, Dean of the I.H. Asper School of Business, on the topic of “The Global Economy, Teetering on the Brink”. The event was attended by approximately 150 attendees comprising alumni and friends of the University of Manitoba.

The 6<sup>th</sup> Visionary Conversation – “Gender Equality: Fact or Fiction?” occurred on April 11, 2012 at the University of Manitoba Fort Garry Campus. The conversation featured the University of Manitoba’s own Dr. Kelley Beaverford, Dr. Karen Busby, Dr. Sherry Farrell Racette, and Dr. Debra Parkes. The next visionary conversation will take place on June 13, 2012 on the subject of “The Food We Eat.”

- **2012 Provincial Budget**

Honourable Stan Struthers, Minister of Finance delivered the 2012 Provincial Budget on Tuesday, April 17<sup>th</sup>. The budget provided the University with a 5% increase in operating grants and permits tuition increases at the rate of inflation. In the lead up to the budget, External Relations supported advocacy and awareness efforts for Dr. Barnard.

- **Knowledge Infrastructure Projects Update**

The University being awarded 7 projects, more than any other university in the country. Through this program the University secured \$32 million in federal funding, which was matched by provincial dollars. On April 12, 2012 the Art Research Technology Lab (ARTLab), the last of the seven Knowledge Infrastructure projects at the University of Manitoba, was officially opened.

A tremendously successful event was held to celebrate the opening of the ARTLab. The event showcased the new space while also recognizing the generous contributions of government to the funding of the building. The event earned significant media coverage, including a full morning broadcast live from the ARTLab by CTV Morning Live on April 16, 2012. Paul Hess, Director of the School of Art, as well as Patricia Bovey, Vice-Chair of the Board of Governors, President David Barnard and a number of students were among those interviewed live as the morning show highlighted the new facility for thousands of viewers.

- **Marketing Communications Update**

A number of strategic print media advertising placements helped the University of Manitoba further establish its successful, resonant "Trailblazer" brand with a wide variety of key audiences. These ads included one in *Outward* magazine (May/June issue) featuring Karen Busby as a "Trailblazer"; an advertisement in the *Globe and Mail* research insert showcasing University of Manitoba research in the *North* (April 25, 2012); and one in *Say* magazine's Made in Manitoba issue (April 20), highlighting the University of Manitoba's unique contributions to Indigenous achievement.

- **Stephen Lewis Events/Learning to Earning**

The Alumni Association sponsored a series of student events through the Learning to Earning events. In addition, the Association sponsored the two Stephen Lewis public speaking events as well as the Emerging Leader’s Dinner where Mr. Lewis was the guest speaker. Over 1500 people had the opportunity to hear Mr. Lewis speak over the two days.

- **April 2012 issue of *On Manitoba***

The April edition of *On Manitoba* magazine was mailed to 116,879 addresses. This issue features alumnus Wab Kinew, a farewell to Peter Dueck and an in-depth look at the challenges/opportunities facing researchers tasked with understanding climate and its impact on the globe.

- **Board of Directors update**  
The Alumni Association Board of Directors met on April 10<sup>th</sup>. Brian Bowman, Lawyer, Pitblado, attended the Board meeting and presented a new draft of bylaws for the Association membership to vote on at the June Annual General Meeting. These bylaws were based on a set of recommendations approved by the board earlier in the year. These new bylaws open the door for a new agreement between the University of Manitoba and the Alumni Association Inc.
- The Committee of the Alumni Fund will be meeting soon to determine the recipients of the Alumni Association bursaries and scholarships.
- **Homecoming dates for 2012**  
Please mark your calendars for Homecoming September 12-16, 2012.
- **Reunions update**  
Thirty seven class reunions are scheduled to take place throughout 2012.



**AGENDA ITEM: Operating Budget and Financial Plans for Research and Special, Trust and Endowment and Capital Funds – 2012-2013**

**RECOMMENDED RESOLUTION:**

That the Board of Governors approve:

- a tuition fee increase on all programs and ancillary fees of 2.8%.
- the operating budget based on total revenue, fund transfers and expenditures of \$572,936,930; and
- financial plans for Research and Special, Trust and Endowment and the Capital Budget for the year ending March 31, 2013 as set out in Attachment 6 .

**Action Requested:**       Approval     Discussion/Advice     Information

**CONTEXT AND BACKGROUND:**

At the March, 2012 meeting of the Board of Governors, a document entitled **2012-2013 Strategic Resource Planning and Budget Framework** was presented to establish a context for the presentation of a budget proposal to the Board of Governors. An explanation of the Restricted Fund Accounting Method, the method the University of Manitoba uses to account for its revenues and expenditures in accordance with Generally Accepted Accounting Principles (GAAP), also was provided. The funds are grouped into the following categories:

- General Funds which include General Operating, Specific Provisions and Expenses Funded from Future Revenues;
- Restricted Funds which include Capital Asset, Research and Special, Staff Benefits and Trust Funds; and
- Endowment Funds.

This document presents for approval by the Board of Governors the University of Manitoba's Operating Budget, and financial plans for the Research and Special, Trust and Endowment and Capital Funds for fiscal year 2012-2013.

## I. General Funds

### 1. General Operating Fund

The General Operating Fund is the largest of the funds which supports the academic mission, non-sponsored research and the administration of the University. General operating revenue sources include the Provincial operating grant, tuition and related fees, federal government grants, net investment income, miscellaneous income, sales of goods and services to external parties and income from ancillary enterprises.

#### Definitions

**“Baseline”** operating budgets refer to on-going budget allocations from all sources i.e. the base on which additional allocations are provided or reductions are taken.

**“Budget only”** refers to one-time budget allocations for 2012-2013 only. Budget only funds are not intended to fund on-going commitments such as continuing salaries.

**“Basic Budget”** refers to the net baseline amount on which reduction percentages, where applicable, are based, i.e., gross baseline net of sales and service revenue, other offsetting income (provincial/federal/other contract revenue, operating grants from foundations etc.), targeted tuition revenue (lab fees, surcharges, field trips etc), ACCESS program funding, indirect cost of research allocations, trust and endowment transfers, etc.

**“President’s Budget Advisory Committee”** refers to a committee whose composition, as currently structured, was approved by the Board of Governors. It has the following members:

- President, Chair;
- four Vice-Presidents or designates, Academic and Administrative Vice-Presidents to act as Vice-Chair as required;
- University Secretary
- six faculty members from the Senate Planning and Priorities Committee, including the Chair;
- two support staff members;
- Chair of the Board of Governor’s Finance, Administration and Human Resources Committee;
- President of UMSU or designate;
- President of the Graduate Students’ Association or designate;
- Assessor from the University of Manitoba Faculty Association;
- Vice-Provost (Academic Planning and Programs) – Resource Person
- University Budget Officer – Resource Person; and
- Executive Assistant to the President – Resource Person

The responsibilities of the Budget Advisory Committee are:

- To make recommendations to the President on the priorities for allocation of funds to meet the University's strategic objectives; and
- To review the annual operating budget that is recommended to the Board of Governors.

### **Budget Process**

The annual budget process begins in the summer with the development of the Operating Estimates to the Province. Through the 2012-13 Operating Estimates process, a base grant increase of 5.0% and a tuition increase of 1.5% were assumed at the instruction of COPSE. Based on the information available at that time, it was determined that a 5.0% base grant increase and a 1.5% tuition increase would only enable the University to maintain 2011-12 levels of programming. The projections assumed a 2% increase in enrolment/undergraduate credit hours over projected 2011-12 levels, a general non-salary inflation increase of 2%, a library acquisitions increase of 6%, and utilities cost increases of 5% for fuel, 3% for electricity and 5% for water. Salary, benefits, travel and expense allowance increases were based on anticipated or previously negotiated settlements with our eight collective bargaining units and/or as approved by the Board of Governors.

In addition to the base grant increase of 5.0% and tuition increase of 1.5%, an incremental increase of \$6 million was requested to support strategic planning framework priorities and critical transformation of the organizational infrastructure. The Estimates submission was approved by the Board of Governors on September 27, 2011. The Operating Estimates were also presented to COPSE by the President, Vice-President (Administration) and Chair of the Board of Governors in September, 2011.

In late fall, all faculties, schools and administrative units were asked to develop strategic resource plans using a prescribed template format. Continuing the practice established last year, both the planning template and the meeting format were revised to factor inter-unit collaboration as well as support the integration of planning for all fund types.

The President, Vice-Presidents, University Secretary and Senior President's Office Staff met in March, 2012 with Deans and Directors of academic units, Heads of colleges, and senior leaders of major administrative units to review and discuss their strategic resource plans. Deans and Directors presented in a "cluster/group" setting and presented a joint statement of collaboration.

To enhance transparency all budget presentations were open to any unit heads of major academic or administrative units and unit strategic resource planning submissions were circulated to all deans, directors, heads of colleges and heads of major administrative units.

Unit heads were advised that the following criteria would be considered in resource allocation decisions:

- Alignment of proposed activities with the University Strategic Planning Framework;
- Initiatives that support continued progress on our institutional transformation;
- Initiatives that support the University's broad academic mission of learning, discovery with an emphasis on engagement;
- Evidence of novel/new academic and administrative collaborations;
- Enrolment trends;
- Degree to which proposed initiatives are reasonable and achievable;
- Extent to which the resource plan presents a realistic response to the budget directive (i.e. planning for a potential 3% budget reduction);
- Evidence of new revenue generating activities;
- Impact of ROSE financial savings on the unit;
- Relevant comparative data;
- Health or sustainability of unit budgets; and
- Overall quality of submission and adherence to template requirements.

The President, Vice-Presidents, University Secretary and Senior President's Office Staff subsequently met with the President's Budget Advisory Committee on three occasions to discuss the budget and to solicit input and advice.

### **Funding Announcement**

On April 17, 2011 the Province announced the operating grant increase for the University of Manitoba. **See Attachment 1.** The base operating grant will be increased by 5% and Access grants will be increased by 4%. In addition, continued targeted funding to support the International Educated Engineer Program (IEEQ) was confirmed. Furthermore, the Province advised that general tuition fees could increase by up to the current inflation rate subsequently confirmed by the Province to be 2.8%.

### **Resource Requirements**

Once funding increases were announced in April, 2012, projections of revenue and expenses were refined to reflect:

- increased tuition revenue arising from increased enrolment in 2011-12 and 2012-13 projected enrolment growth and 2.8% inflation increase;
- a more up to date estimate of projected salary and benefit costs;
- a more up to date estimate of the additional funding required to meet the mandatory pension payment; and
- more current information on the utilities, budget requirements, and insurance premiums. Inflationary increases on all other supplies and expenses and library acquisitions were removed from the projections.

In addition, salary turnover savings (from the academic position management process) and increased revenue related to a revised and agreed to fee sharing model with the Faculty of Extended Education were incorporated into the projections.

**Attachment 2** summarizes the available 2012-13 operating budget funding from the various sources. As seen in this attachment the total new funding plus funds available for reallocation is \$26,266,400.

**First Claims**

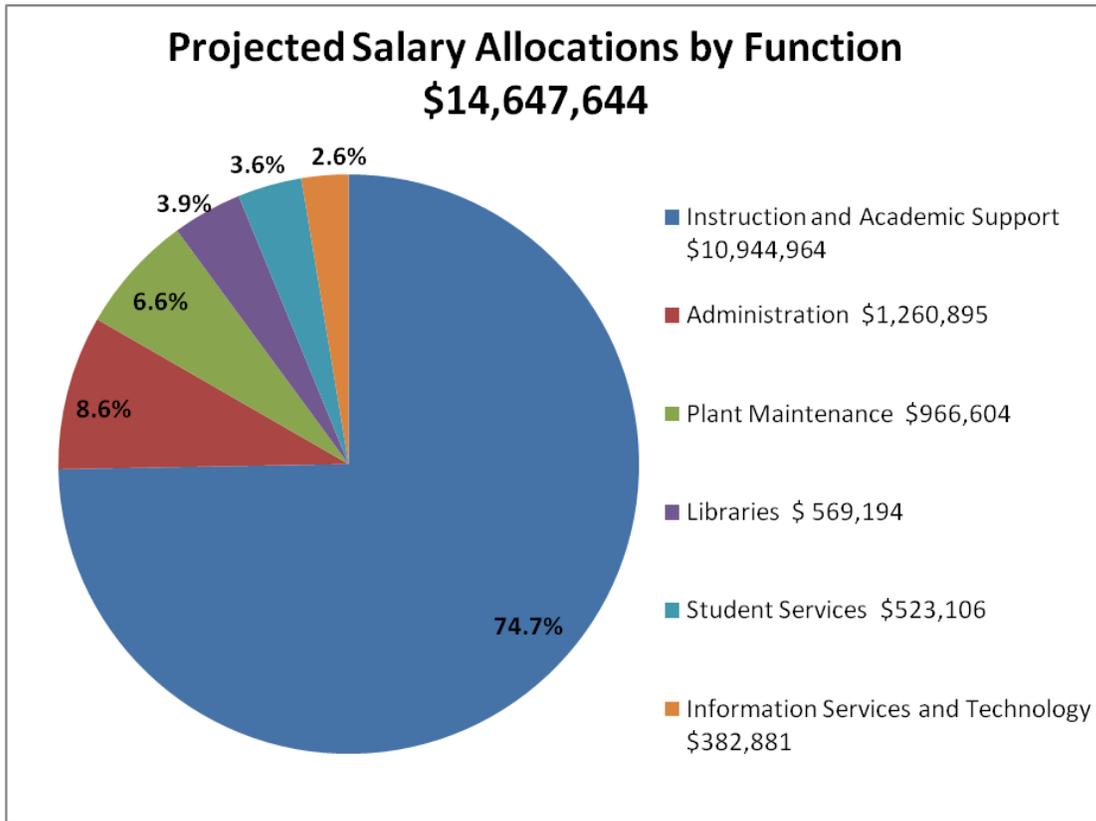
In preparing the 2012-13 operating budget recommendation, the following priorities were identified as first claims against the available funds.

• Salary increases (scale, step, merit, anomalies, promotion increases)	\$14,647,644
• Estimated increased mandatory pension payments	2,500,000
• Increased travel and expense allowance (as per UMFA agreement)	62,000
• Access Copyright fees	239,000
• Increased insurance premiums	<u>420,000</u>
Total	<u>\$17,868,644</u>

Normally inflationary increases on library acquisitions and utilities increases would also be considered first claims. However due to the strength of the Canadian dollar and underspending in the 2011-12 utilities budget, no additional funds are required in 2012-13.

**Chart A** illustrates the distribution of funding for salary increases by function. As seen in the chart, of the \$14,647,644 required for salary increases, 74.7% or \$10,944,964 is allocated to academic units.

Chart A



After first claims, as seen in **Attachment 2**, the remaining funds available for allocation total \$8,397,756.

### **Assumptions for Budget Recommendations**

In preparing the 2012-13 recommendations for allocation of the remaining \$8,397,756, the following assumptions were made:

- The University of Manitoba will develop a balanced budget;
- Strategic investments are required to continue progress on planning framework priorities and organizational transformation;
- Investments in select faculties and schools are required to support targeted strategic initiatives and issues; and
- Select collaborative cluster initiatives warrant investment.

### **Summary of Priorities for Budget Recommendations**

In preparing the 2012-2013 operating budget recommendations, the following priorities were identified:

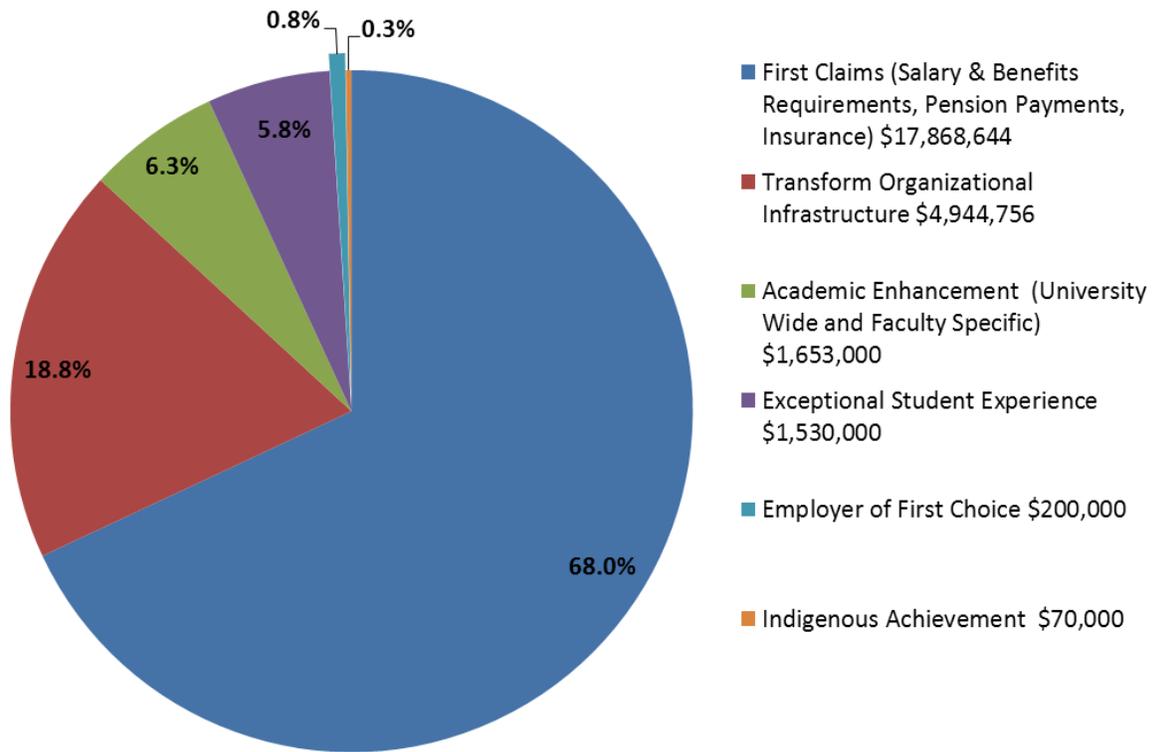
- Academic Enhancement
  - strengthen process of undergraduate program reviews

- support for collaborative cluster initiatives – Centre for Human Rights, research grant facilitators (2), Health Sciences research platform
- research support – enhanced bridge funding; enhanced support for externally funded research chairs program
- strengthen support for teaching and learning
- targeted strategic faculty/school allocation/reallocations
  - funding for Art, Riddell, Education, Engineering, Asper, Kinesiology and Recreation Management, Pharmacy, Libraries and the Colleges (St. John’s and St. Paul’s)
- Indigenous Achievement
  - infrastructure support for Migizi Agamik
  - support for Elders Gathering and Graduation Pow Wow
  - support for development of Aboriginal Viewbook
  - Aboriginal tutor support
- Student Experience
  - graduate student support
  - supplemental instruction coordinator support
  - support for undergraduate recruitment and admissions processes (ROSE Student Services projects)
  - undergraduate research award program
- Outstanding Workplace
  - leadership development
  - career path development
- Transform Organizational Infrastructure
  - information technology support, to replace/upgrade infrastructure, teaching and learning technology, processes and to invest in people;
  - investments in physical plant, to maintain new facilities/property, enhance life safety, and to provide non-salary inflation support;
  - support to enhance capacity for evidence-based decision making and full participation in the U15 data exchange;
  - investments in external relations, particularly to support the upcoming capital campaign, and complementary marketing and communications initiatives to enhance image and reputation;
  - investments in compliance and certification, to meet external regulatory requirements particularly tri-council research requirements (e.g., grant expenditure monitoring, animal care)
  - enhanced safety and security

**Chart B** illustrates the recommended distribution of available baseline funding to the identified priorities as well as first claims.

Chart B

### Summary of Baseline Allocations - \$26,266,400



#### Budget Recommendation

The baseline and budget only allocations are detailed in **Attachment 3**.

#### **2. Specific Provisions**

The Specific Provisions Fund records appropriations to provide future funding for the replacement, improvement or emergency maintenance of capital assets, unit carry-over, a fiscal stabilization provision to offset potential spending in excess of future budget and other matters. The Specific Provisions totaled \$80.99 million at March 31, 2011, the largest of which is the carryover provision at \$ 47.2 million.

At the end of 2011-2012, an assessment of the level of funding in the various provisions was made based on current circumstances. The preliminary estimate for the 2012/13 Specific Provisions is \$84.9 million.

**Attachment 4** details the projected general operating revenues for 2012-2013 from various sources.

**Attachment 5** is a summary of the total 2012-2013 Recommended Operating Baseline and Fiscal Budget with comparisons to 2011-2012.

## II. Restricted Funds

### 1. Research and Special Funds – 2012-2013 Financial Plan

The Research and Special Fund consists of contributions specifically restricted for research or other special activities. Research and Special Funds include external grants and contracts from a variety of federal and provincial granting agencies, industry and non-governmental organizations provided specifically for research, research infrastructure and special activities. Funds are held in trust by the University until they are spent by our researchers, in accordance with the conditions stipulated in the governing contracts and agreements.

Expenditures have not been shown since they vary depending upon the type of research and duration of the project. The funds received in any given year for research may not all be spent in the year received, therefore, any unspent research funds as at March 31 are carried over to the following year.

Table 1 below details the 2010-2011 actual, 2011-2012 (preliminary) and 2012-2013 projected revenues and expenses for the Research and Special Fund including sources of those revenues.

**Table 1 – Research and Special Funds**

**Revenues and Expenses**

**2012-2013 Compared to Previous Years**

*(in thousands)*

	<b>Actuals 2010/11</b>	<b>Forecast 2011/12</b>	<b>Budget 2012/13</b>
<b>REVENUES</b>			
Manitoba Government	28,075	28,112	31,000
Federal Government	59,862	61,176	66,000
Foundations, Associations and Other	53,840	50,194	57,000
<b>Total Revenue</b>	<b>141,777</b>	<b>139,482</b>	<b>154,000</b>
<b>EXPENSES</b>			
Other operating Expenses	133,362	132,192	142,500
<b>Total Expenses</b>	<b>133,362</b>	<b>132,192</b>	<b>142,500</b>
Net Revenue Before interfund transfers	8,415	7,290	11,500
<b>INTERFUND TRANSFERS</b>	<b>(8,397)</b>	<b>(16,994)</b>	<b>(10,086)</b>
<b>Net Revenue After Interfund Transfers</b>	<b>18</b>	<b>(9,704)</b>	<b>1,414</b>

## 2. Capital Asset Fund – 2012-2013 Financial Plan

The Capital Asset Fund consists of restricted contributions from external parties for the purpose of acquiring capital assets and/or making debt repayments. The expenditures related to the construction of buildings or purchase of equipment are not recorded as an expense but are recorded as an asset on the University's balance sheet. Therefore, the Capital Fund will typically report a surplus unless current year amortization and interest/expense exceeds current year contributions.

Sources of capital funds include:

- Provincial allocations (primarily for deferred maintenance/infrastructure renewal) – see Attachment 1
- Donations through Philanthropy
- Indirect Cost of Research Funds
- Operating funds (expenditure is reported as an interfund transfer to the Capital Fund)
- Canada Foundation for Innovation (CFI) funds and Provincial matching funding
- Federal and Provincial Government programs eg. RINC, MRIF, etc.
- Provincial Government allocations for major capital projects (eg. Domino)
- Debt financing

Projected capital fund sources and the 2012-2013 capital plan are detailed in Table 2 below compared to prior year 2011-2012. Specific projects and contracts in excess of \$1 million are subject to Board of Governors approval.

**Table 2**  
**Capital Spending Estimate**  
**2012-2013 Fiscal Year**  
*(in thousands)*

<u>Capital Plan</u>	<u>Preliminary 2011/12</u>	<u>Forecast 2012/13</u>
Miscellaneous Capital	2,698	3,020
Capital Infrastructure	8,368	9,969
Cabling	485	223
Teaching & Technology	195	100
Indirect Costs of Research	2,548	2,461
Downtown Asper Exec Program	631	200
Pembina Hall Residence Construction	16,710	500
Tache Hall Redevelopment	4,714	29,000
CFI	15,298	9,000
Active Living Centre/Playing Fields	4,037	24,422
Active Living Centre Tunnel	952	1,775
Frank Kennedy Locker Room Renovation	198	2,779
Tennis Courts	6	500
KIP Funded Projects	19,287	-
Security Upgrades (Outdoor Notification System)	97	250

**Table 2**  
**Capital Spending Estimate**  
**2012-2013 Fiscal Year**  
*(in thousands)*

	<b>Preliminary 2011/12</b>	<b>Forecast 2012/13</b>
<b><u>Capital Plan</u></b>		
Ellis Fume hood Upgrade	-	1,959
Chown Incinerator	-	582
Wallace Building Addition	4,398	9,768
Dafoe Learning Commons	-	5,000
Brodie Atrium Acoustic Treatment	-	700
PGME Curriculum Mgmt	-	2,604
BMSB Theatre D Renovation	-	1,375
Pathology Ventilation System Upgrade	-	625
Cyclotron Space Renovation	-	1,000
Parker Lab 280 Renovation	-	1,220
Machray Hall-Math & Stats Reno	-	3,000
Innovation Drive-MCO Reno	-	3,500
Physical Plant Bldg-Addition	-	1,200
Stanley Pauley Centre	-	2,000
Internally Funded Projects	7,684	3,604
Research Capital	3,824	5,000
Operating Capital	30,722	30,000
	<b>122,852</b>	<b>157,336</b>
<b><u>Capital Sources</u></b>		
COPSE	6,817	7,345
<b>Provincial</b>		
Domino	12,984	14,359
Critical Deferred Maintenance	3,805	1,165
Provincial Territorial Base Funding	4,093	11,853
Manitoba Research Innovation Fund (MRIF)	7,349	3,700
LT Debt (Provincial Loan)	15,751	13,923
<b>Federal</b>		
KIP	10,526	
CFI Funded Projects	7,349	3,700
Indirect Costs of Research Funding	2,548	2,461
Capital Debt	1,200	4,502
Capital Fund	10,745	41,328
Capital Trust	3,000	9,005
General Trust	251	3,000
Operating	30,268	35,745
Research	3,824	5,000
Provisions	2,342	250
	<b>122,852</b>	<b>157,336</b>

### 3. Trust and Endowment – 2012-2013 Financial Plan

The Trust Fund records donations which may be used in their entirety, whereas the Endowment Fund records donations with the stipulation that the funds be used in perpetuity for the purpose designated by the donor.

The revenues of the Trust and Endowment Funds include the net investment income, earned by the investments of the UIT and Specific Trusts plus an estimate of the new donations received.

The expenses are transfers of allocations to the various units in accordance with the spending policy approved by the Board of Governors and as required by the units, plus awards paid directly to students.

Table 3 and 4 below include projected revenues and expenditures in 2012-2013 compared with preliminary results to March 31, 2012.

**Table 3 – Trust Fund  
Revenues and Expenses  
2012-2013 Compared to Previous Years**  
*(in thousands)*

	<b>Actuals 2010/11</b>	<b>Forecast 2011/12</b>	<b>Budget 2012/13</b>
<b>REVENUES</b>			
Other Revenue			
Gifts and Contributions	7,342	6,619	7,000
Investment	20,950	20,227	13,800
<b>Total Revenue</b>	<b>28,292</b>	<b>26,846</b>	<b>20,800</b>
<b>EXPENSES</b>			
Other operating Expenses	14,477	17,007	17,000
<b>Total Expenses</b>	<b>14,477</b>	<b>17,007</b>	<b>17,000</b>
<b>Net Revenue Before interfund transfers</b>	13,815	9,839	3,800
<b>INTERFUND TRANSFERS</b>	(5,011)	1,465	(3,150)
<b>Net Revenue After Interfund Transfers</b>	<b>8,804</b>	<b>11,304</b>	<b>650</b>

## Table 4 – Endowment Fund

### Revenues and Expenses

#### 2012-2013 Compared to Previous Years

(in thousands)

	Actuals 2010/11	Forecast 2011/12	Budget 2012/13
<b>REVENUES</b>			
Other Revenue			
Gifts and Contributions	15,864	13,256	14,000
Investment	16,443	(3,078)	6,900
<b>Total Revenue</b>	<b>32,307</b>	<b>10,178</b>	<b>20,900</b>
<b>INTERFUND TRANSFERS</b>	3,818	1,465	3,706
<b>Net Revenue After Interfund Transfers</b>	<b>36,125</b>	<b>11,643</b>	<b>24,606</b>

### SUMMARY

**Attachment 6** is a high level Summary of the 2012-2013 Operating Budget plus financial plans for all other funds. The 2012-2013 budgets are compared with the 2011-2012 preliminary year end results at March 31, 2012. Throughout the 2012-2013 fiscal year, the Board of Governors will receive quarterly reports on each fund comparing actual for each quarter with prior year actual and projected full year results.

### IMPLICATIONS:

The 2012-2013 operating budget recommendations are for an allocation or reallocation of funding totaling \$26,266,400. Of this total \$14,647,644 is allocated for salary increases (74.4% of which is in academic units). Other first claims including increased mandatory pension payments, insurance premiums and UMFA travel reduce the available funds for allocation to \$8,397,756. \$3,453,000 or 41.1% is directed to faculty support or to supporting the four priorities in the Strategic Planning Framework. A significant investment of \$4,944,756 (58.9%) in the organizational infrastructure is recommended but falls short of what is required to provide adequate support given decades of underinvestment in these areas.

### ALTERNATIVES:

Various scenarios were considered with respect to balancing priorities related to the strategic planning framework and the need to address organizational infrastructure challenges as well as provide support to faculties and schools for new initiatives and pressing challenges. This proposed budget represents the recommended balance in addressing these needs.

**CONSULTATION:**

Meetings were held with all Deans, Directors and Heads of Colleges and Administrative units regarding budget requirements and to assess their ability to respond to a budget reduction of up to 3%. Three meetings were held with the President's Budget Advisory Committee to receive advice and feedback on priorities. Several meetings were held with all members of the President's Executive Team and Senior Administrative staff in the President's Office.



## Board of Governors Submission

### Routing to the Board of Governors:

<u>Reviewed</u>	<u>Recommended</u>	<u>By</u>	<u>Date</u>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>Deborah McCallum</i>	<i>May 11/12</i>
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<i>David King</i>	<i>May 11, 2012</i>
<input type="checkbox"/>	<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>		

**Submission prepared by:** Joanne Dyer, University Budget Officer and Executive Assistant to the Vice-President (Administration)

**Submission approved by:** Deborah McCallum, Vice-President (Administration)

### Appendices

- Attachment 1 – April 17, 2012 letter from COPSE re the operating grant increase*
- Attachment 2 – 2012-2013 Available Operating Budget Funding Summary*
- Attachment 3 – 2012-2013 Operating Budget Recommendations*
- Attachment 4 – 2012-2013 Projected Operating Revenues*
- Attachment 5 – 2012-2013 Operating Budget*
- Attachment 6 – Summary of the 2012-2013 Budget Plans for all Funds*

Council on Post-Secondary Education ❖ Conseil de l'enseignement postsecondaire

April 17, 2012

Ms Janice Lederman  
 Chair, Board of Governors  
 The University of Manitoba  
 Room 312 Administration Building  
 Winnipeg MB R3T 2N2

Dr. David Barnard  
 President and Vice-Chancellor  
 The University of Manitoba  
 Room 202 Administration Building  
 Winnipeg MB R3T 2N2

Dear Ms Lederman and Dr. Barnard:

I am now in a position to share with you the general funding decisions made by Government, and the specific allocation decisions made by the Council on Post-Secondary Education for the 2012/13 fiscal year.

As you well know, post-secondary education has been a major priority for Government. Despite the serious challenges presented by the current fiscal environment, post-secondary education remains a high priority. This fact is reflected in the overall support provided to the post-secondary system in 2012/13 which will see operating grants increase by \$29,195,300 from \$594,238,000 to \$623,433,300. Government will continue the System Restructuring Envelope, valued at \$500,000 and accessible by institutions using the normal approval processes. As well, the Capital Envelope is ongoing with \$11,571,000 available for major capital, renovations and equipment. Within the current fiscal context, these investments are significant and represent the importance Government places upon post-secondary education.

The implications of this funding for your institution are outlined in the following table:

**Government Support for University of Manitoba, 2012/13**

	Increase	2012/13 Budget	% Change
Operating Grant			
Base	\$ 14,983,400	\$ 314,650,400	5.0%
First Claims (2012/13)		244,600	
IEEQ Program (2012/13)		208,000	
ACCESS	168,900	4,375,600	4.0%
Capital Grants			
Asbestos/Mould Removal		1,000,000	
Fire Safety Non-Compliance		707,000	
Window Replacement Projects		1,000,000	
Bannatyne Electrical Re-Servicing Project		1,118,000	
Sewer System Upgrades and Backflow Prevention		500,000	
Equipment and Renovations		3,020,000	
<b>Total Government Support 2012/13</b>		<b>\$ 326,823,600</b>	

.../2

Please continue to provide actual billings for the principal and interest costs associated with deferred maintenance, Knowledge Infrastructure Program and Project Domino loans.

In addition, Government has approved \$10 million for deferred maintenance projects at universities for 2012/13. Further details will be provided.

In closing, Council has asked me to reassure you that your needs have been faithfully transmitted to Government which in turn has earnestly worked to meet them. Indeed, Council believes that the measures outlined above substantially address your needs and we look forward to working with you in the coming year to continue the process of building an effective and responsive post-secondary system for Manitoba.

Sincerely,



Dr. Curtis Nordman  
Chair

c. Josh Watt

*Attachment 2 – 2012-2013 Available Operating Budget Funding Summary*  
**2012-13 Available Operating Budget Funding Summary**

**Increased Revenue:**

5% COPSE Base Grant Increase	14,983,400
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**Tuition**

Regular Session Tuition Increase - Graduate	300,000
Regular Session Tuition Increase - Undergraduate	2,593,000
Differential Fees - Graduate	70,000
Differential Fees - Undergraduate	2,820,000
Increased Application and Ancillary Fee Revenues	100,000

<b>Tuition Revenue Increase - 11/12 and 12/13 growth plus 2.8% inflation increase</b>	<b>5,883,000</b>
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<b>Total New Revenue</b>	<b>20,866,400</b>
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**Baseline Available for Reallocation:**

Academic Position Management Process - Salary Turnover Savings	2,400,000
Unallocated Central Operating Reserve (Earmarked for Pension Requirements)	2,500,000
Revised Extended Education Degree Credit Fee Sharing Arrangement - Reallocation	500,000

<b>Total Available for Reallocation</b>	<b>5,400,000</b>
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<b>Total Baseline Funds Available to Cover Increased Costs</b>	<b>26,266,400</b>
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**First Claims:**

Estimated Salary increases (Scale, Step, Merit, Anomalies, Promotion Increments)	14,647,644
Increased Travel and Expense Allowance Requirements	62,000
Estimated Increased Pension Payments - December 2012 Valuation	2,500,000
Access Copyright Fees	239,000
Increased Insurance Premiums	420,000

<b>Total First Claims</b>	<b>17,868,644</b>
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<b>Available Operating Budget Funding</b>	<b>8,397,756</b>
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Attachment 3 – 2012-2013 Operating Budget Recommendations

UNITS	Description	Baseline Allocations	Fiscal Allocations	Transform Infrastructure	Academic Enhancement	Exceptional Student Experience	Aboriginal Achievement	Employer of First Choice
<b>ACADEMIC UNITS</b>		-	-		√			
Art, School of	Gallery Support - Collections Manager (1 FTE), Preparator (.5 FTE)	68,000	-		√			
Clayton H. Riddell Faculty of Environment, Earth and Resources	Northern Mineral Development position (.5 FTE)	49,000	-		√			
Education	Top up Science Educator Salaries	50,000	-		√			
Engineering	1) lab equipment 2) co-op support	170,000	-		√			
Extended Education	\$500,000 baseline reduction as per revised and agreed to fee sharing model	-	-					
I.H. Asper School of Business	Academic salaries (address new hire funding gap) and ABEP support	80,000	-		√			
Kinesiology and Recreation Management	1) Support staff - Associate Deans 2) 1 new academic position	125,000	-		√			
Pharmacy	Academic position (clinical)	150,000	-		√			
Science	One time funding from provisions for math and stats renovation (Phase 1) \$3,000,000	-	-					
St. Johns College	AUCC membership	13,000	-		√			
St. Pauls College	AUCC membership	13,000	-		√			
Libraries - Operating	Digitization of Collection	100,000	-		√			
<b>TOTAL ACADEMIC UNITS</b>		<b>818,000</b>	<b>-</b>					
<b>INSTITUTION-WIDE PRIORITIES</b>								
<b>Academic Enhancement</b>	Undergraduate Program Review Support	70,000	-		√			
	Grant Facilitator (Social and Human Development Cluster) - cost shared with V.P. (Research)	40,000	-		√			
	Grant Facilitator (Social Sciences Cluster) - cost shared with V.P. (Research)	40,000	-		√			
	Centre for Human Rights (Social Sciences Cluster)	90,000	100,000		√			

Attachment 3 – 2012-2013 Operating Budget Recommendations

UNITS	Description	Baseline Allocations	Fiscal Allocations	Transform Infrastructure	Academic Enhancement	Exceptional Student Experience	Aboriginal Achievement	Employer of First Choice
Academic Enhancement	Research Platform support (Health Sciences Cluster)	290,000	-		√			
	.5 SIMS technician to be funded by a 12/13 transfer from the Provost's Office (Natural and Applied Sciences Cluster)	-	-		√			
	Support for New Externally-Funded Research Chairs	120,000	-		√			
	Bridge Funding - Research	100,000	-		√			
	Teaching and Learning Support (1 FTE)	85,000	-		√			
Student Experience	Graduate Student Support	1,000,000	-			√		
	Supplemental Instruction. ROSE Admissions and CRM (2 FTE)	280,000	-			√		
	Undergraduate Research Awards	250,000	-			√		
Indigenous Achievement	Support for Migizi Agamik, elders gathering, graduation pow wow, Aboriginal tutor, and Viewbook	70,000	-				√	
Employer of Choice	Leadership Development and Career Path Development (2FTE) - Outstanding Workplace Initiative	200,000	-					√
Organizational Transformation	Information Technology	1,000,000	1,000,000	√				
	Physical Plant - Life Safety, New Property Maintenance	200,000	-	√				
	Data Analysts (2 FTE)	114,000	-	√				
	External Relations:							
	Capital Campaign	800,000						
	Marketing and Communications	1,919,906		√				
	Alumni Relations	120,000						
Community Engagement	76,500							
	Budget Officer - Financial Administration	77,350						

Attachment 3 – 2012-2013 Operating Budget Recommendations

UNITS	Description	Baseline Allocations	Fiscal Allocations	Transform Infrastructure	Academic Enhancement	Exceptional Student Experience	Aboriginal Achievement	Employer of First Choice
Organizational Transformation	Compliance - Animal Health Care Technician, Faculty Based Research Accountants, Immunization Program (Health Sciences Cluster)	472,000	-	√				
	Governance	15,000	-	√				
	Safety and Security	150,000	-	√				
<b>TOTAL INSTITUTION-WIDE PRIORITIES</b>		<b>7,579,756</b>	<b>1,100,000</b>					
FIRST CLAIMS	Salary Increases	14,647,644	-					
	Travel and Expense Allocations	62,000	-					
	Access Copyright Fees	239,000						
	Mandatory Pension payments - Dec 2012 valuation	2,500,000	-					
	Increased Insurance Premiums	420,000	-					
<b>TOTAL FIRST CLAIMS</b>		<b>17,868,644</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	
<b>GRAND TOTAL</b>		<b>26,266,400</b>	<b>1,100,000</b>					

## Attachment 4 – 2012-2013 Projected Operating Revenues

PROJECTED OPERATING SOURCES OF FUNDING - 2012-2013	1 April 1, 2011 Baseline	2 April 1, 2011 Fiscal Budget	3 April 1, 2012 Baseline	4 April 1, 2012 Fiscal Budget
<b>1. Tuition and Other Related Student Fees</b>				
-Credit Tuition	98,219,219	98,219,219	105,287,980	105,287,980
-Endowment Fees	2,019,471	2,019,471	2,027,386	2,027,386
-Incidental Fees - (Application, Student Services, Library, Registration, Technology)	9,596,807	9,596,807	9,933,436	9,933,436
-Other Fees (Equipment Lease/Sale/Field Trip)	1,906,502	1,906,502	2,318,727	2,318,727
-Non Degree - Student Affairs English Language Centre Programs	2,472,698	2,472,698	3,193,060	3,193,060
-Non Degree/Non Credit Courses - Extended Education Division	4,900,000	4,900,000	5,025,000	5,025,000
<b>Total Student Fees</b>	<b>119,114,697</b>	<b>119,114,697</b>	<b>127,785,589</b>	<b>127,785,589</b>
<b>2. Other</b>				
-Investment Income	4,397,000	4,397,000	4,203,000	4,203,000
-Smart Park Loan	634,576	634,576	-	-
-International College of Manitoba	1,000,000	1,000,000	1,300,000	1,300,000
-Misc. Foundations, Gifts for Operating, Other Operating Grants and Contracts etc.	1,699,137	1,699,137	1,427,366	1,427,366
-Post Retirement Adjustment Reserve (Supports Retiree Benefits)	304,517	304,517	262,313	262,313
-External Sales and Service	28,475,469	28,475,469	29,812,553	29,812,553
-Ancillaries	33,377,333	33,377,333	36,461,083	36,461,083
<b>Total Other Income</b>	<b>69,888,032</b>	<b>69,888,032</b>	<b>73,466,315</b>	<b>73,466,315</b>
<b>3. Province of Manitoba Government Grants and Contracts</b>				
-COPSE Base Operating Grant	299,245,000	299,245,000	314,858,400	314,858,400
-COPSE First Claims	7,000	7,000	7,000	7,000
-COPSE Hearing Impaired student	-	-	-	100,000
-COPSE Engineer in Residence	-	-	-	83,565
-COPSE IEEQ (transferred to COPSE from other government departments)	207,600	207,600	-	-
-COPSE Manitoba Institute for Policy Research (not in base grant - fall allocation)	-	75,000	-	-
-COPSE Access Programs	4,206,700	4,206,700	4,375,600	4,375,600
<b>Total COPSE Grants</b>	<b>303,666,300</b>	<b>303,741,300</b>	<b>319,241,000</b>	<b>319,424,565</b>
- Other Provincial Support - Graduate Studies - Manitoba Graduate Scholarship	1,860,000	1,860,000	2,110,000	2,110,000
- Other Provincial Support - Kinesiology - Sport Manitoba/Children's Programs	189,500	189,500	145,500	145,500
- Other Provincial Support - Medicine - Department of Health	12,727,598	12,727,598	11,581,775	11,581,775
- Other Provincial Support - MILE	33,393	33,393	34,056	34,056
- Other Provincial Support - Playcare	105,000	105,000	135,000	135,000
- Other Provincial Support - Dentistry	35,000	35,000	113,700	113,700
- Other Provincial Support - Engineering	4,000	4,000	-	-
- Other Provincial Support - Environment	6,000	6,000	6,000	6,000
<b>Total Other Provincial Support</b>	<b>14,960,491</b>	<b>14,960,491</b>	<b>14,126,031</b>	<b>14,126,031</b>
<b>Total Province of Manitoba Government Grants and Contracts</b>	<b>318,626,791</b>	<b>318,701,791</b>	<b>333,367,031</b>	<b>333,550,596</b>

Attachment 4 – 2012-2013 Projected Operating Revenues

PROJECTED OPERATING SOURCES OF FUNDING - 2012-2013	1 April 1, 2011 Baseline	2 April 1, 2011 Fiscal Budget	3 April 1, 2012 Baseline	4 April 1, 2012 Fiscal Budget
4. Federal Grants and Contracts				
- Federal Support - Indirect Costs of Research (includes hospital allocations)	8,034,771	8,034,771	8,034,771	8,034,771
- Other Federal Support - Engineering			4,560	4,560
- Other Federal Support - Graduate Studies			125,000	125,000
- Other Federal Support - Medicine	2,717,047	2,717,047	334,003	334,003
- Other Federal Support - Social Work	10,000	10,000		
Total Federal Grants and Contracts	<u>10,761,818</u>	<u>10,761,818</u>	<u>8,498,334</u>	<u>8,498,334</u>
5. Total Operating Revenue (Excludes Fund Transfers and Internal Recoveries)	<u>518,391,338</u>	<u>518,466,338</u>	<u>543,117,269</u>	<u>543,300,834</u>
6. Fund Transfers and Internal Recoveries				
-Interfund Transfers from Research			600,000	600,000
-Interfund Transfers from Research - Overhead	2,886,000	2,886,000	2,664,000	2,664,000
-Interfund Transfers from Staff Benefits Fund			1,605,000	1,605,000
-Interfund Transfers from Trust and Endowment	856,000	856,000	6,758,000	6,758,000
-Internal Cost Recoveries	14,152,108	14,152,108	16,696,612	16,696,612
-Intrafund Transfers from Patents and Licensing/Ancillaries	1,416,298	1,416,298	1,312,484	1,312,484
Total Fund Transfers and Internal Recoveries	<u>19,310,406</u>	<u>19,310,406</u>	<u>29,636,096</u>	<u>29,636,096</u>
7. Total Gross Operating Revenues, Fund Transfers and Internal Recoveries - Operational Budget	<u>537,701,744</u>	<u>537,776,744</u>	<u>572,753,365</u>	<u>572,936,930</u>

Attachment 5 – 2012-2013 Operating Budget

	1	2	3	4	5	6	7	8	9	10
	TOTAL BASELINE (RE)ALLOCATIONS	TOTAL BUDGET ONLY ALLOCATIONS	COPSE BASELINE ALLOCATIONS	COPSE FISC. ONLY ALLOCATIONS	2012/2013 BASELINE (Gross)	2012/2013 FISCAL BUDG. (Gross)	2012/2013 INC. TARGET (All Revenue)	2012/2013 BASELINE (Net)	2011/2012 BASELINE (Gross)	2011/2012 FISC. BUDG. (Gross)
<b>ACADEMIC UNITS</b>										
Agricultural & Food Sciences	-	-			15,600,839	15,600,839	1,799,032	13,801,807	15,100,462	15,100,462
Architecture	-	-			7,545,763	7,545,763	694,306	6,851,457	7,150,222	7,150,222
Art, School of	68,000	-			3,866,998	3,866,998	180,084	3,686,914	3,468,375	3,468,375
Arts	-	-			35,327,402	35,327,402	351,147	34,976,255	34,661,851	34,736,851
Clayton H. Riddell Faculty of Environment, Earth and Resources	49,000	-			7,569,038	7,569,038	382,741	7,186,297	7,221,805	7,221,805
Dentistry	-	-			18,386,977	18,386,977	8,963,356	9,423,621	16,699,564	16,699,564
Education	50,000	-			7,697,144	7,697,144	309,606	7,387,538	7,473,941	7,473,941
Engineering	170,000	-		83,565	17,080,692	17,164,257	1,283,945	15,796,747	16,609,272	16,609,272
Engineering - Access Programs	-	-	19,400		498,300	498,300	-	498,300	478,900	478,900
Extended Education	(500,000)	-			15,782,035	15,782,035	14,794,444	987,591	15,662,234	15,662,234
Extended Education - Access Programs	-	-	40,000		1,039,100	1,039,100	-	1,039,100	999,100	999,100
Graduate Studies - Operating	-	-			2,737,081	2,737,081	508,093	2,228,988	2,478,617	2,478,617
Graduate Studies - Graduate Student Support	1,000,000	-			9,439,428	9,439,428	2,121,000	7,318,428	8,179,848	8,179,848
Human Ecology	-	-			4,419,445	4,419,445	62,476	4,356,969	4,274,642	4,274,642
I.H. Asper School of Business	80,000	-			14,521,272	14,521,272	3,273,589	11,247,683	13,722,140	13,722,140
Kinesiology and Recr. Mgmt - Bison Sport & Active Living	-	-			8,242,125	8,242,125	6,446,819	1,795,306	7,916,489	7,916,489
Kinesiology & Recreation Management - Academic Programs	125,000	-			3,283,981	3,283,981	126,795	3,157,186	3,345,424	3,345,424
Law	-	-			4,566,728	4,566,728	988,700	3,578,028	4,468,003	4,468,003
Medicine	-	-	172,000		59,450,390	59,450,390	16,811,011	42,639,379	62,767,414	62,767,414
Music	-	-			4,897,619	4,897,619	1,009,320	3,888,299	4,664,180	4,664,180
Nursing	-	-			10,035,036	10,035,036	133,754	9,901,282	9,810,296	9,810,296
Nursing - Access Program	-	-	21,900		566,900	566,900	-	566,900	545,000	545,000
Pharmacy	150,000	-			4,315,830	4,315,830	364,825	3,951,005	4,076,322	4,076,322
Science	-	-			30,165,565	30,165,565	1,639,822	28,525,743	30,026,915	30,026,915
Social Work	-	-			3,394,779	3,394,779	117,200	3,277,579	3,480,768	3,480,768
Social Work - Access Programs	-	-	87,600		2,926,807	2,926,807	558,871	2,367,936	2,745,711	2,745,711
<b>TOTAL ACADEMIC UNITS</b>	<b>1,192,000</b>	<b>-</b>	<b>340,900</b>	<b>83,565</b>	<b>293,357,272</b>	<b>293,440,837</b>	<b>62,920,936</b>	<b>230,436,336</b>	<b>288,027,494</b>	<b>288,102,494</b>
<b>LIBRARIES</b>										
Libraries - Operating	100,000	-	250,000		17,270,334	17,270,334	534,400	16,735,934	16,876,299	16,876,299
Libraries - Acquisitions	-	-			9,124,031	9,124,031	32,050	9,091,981	9,091,981	9,091,981
<b>TOTAL LIBRARIES</b>	<b>100,000</b>	<b>-</b>	<b>250,000</b>	<b>-</b>	<b>26,394,365</b>	<b>26,394,365</b>	<b>566,450</b>	<b>25,827,915</b>	<b>25,968,280</b>	<b>25,968,280</b>
<b>COLLEGES</b>										
St. Johns College	13,000	-			548,620	548,620	-	548,620	518,203	518,203
St. Pauls College	13,000	-			474,804	474,804	9,000	465,804	463,582	463,582
University College	-	-			208,662	208,662	20,900	187,762	206,862	206,862
<b>TOTAL COLLEGES</b>	<b>26,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1,232,086</b>	<b>1,232,086</b>	<b>29,900</b>	<b>1,202,186</b>	<b>1,188,647</b>	<b>1,188,647</b>
<b>ADMINISTRATIVE UNITS - PRESIDENT</b>										
Presidents Office	-	-			1,033,391	1,033,391	7,300	1,026,091	1,433,276	1,433,276
University Secretariat	15,000	-			617,383	617,383	-	617,383	572,223	572,223
<b>TOTAL PRESIDENT'S UNITS</b>	<b>15,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1,650,774</b>	<b>1,650,774</b>	<b>7,300</b>	<b>1,643,474</b>	<b>2,005,499</b>	<b>2,005,499</b>

Attachment 5 – 2012-2013 Operating Budget

	1	2	3	4	5	6	7	8	9	10
	TOTAL BASELINE (RE)ALLOCATIONS	TOTAL BUDGET ONLY ALLOCATIONS	COPSE BASELINE ALLOCATIONS	COPSE FISC. ONLY ALLOCATIONS	2012/2013 BASELINE (Gross)	2012/2013 FISCAL BUDG. (Gross)	2012/2013 INC. TARGET (All Revenue)	2012/2013 BASELINE (Net)	2011/2012 BASELINE (Gross)	2011/2012 FISC. BUDG. (Gross)
<b>ADMINISTRATIVE UNITS - VP (EXTERNAL)</b>	-	-	-	-	-	-	-	-	-	-
VP (External)	77,350	-	-	-	783,894	783,894	73,000	710,894	686,766	686,766
External Relations Bannatyne	-	-	-	-	24,425	24,425	-	24,425	24,425	24,425
Alumni	120,000	-	-	-	858,574	858,574	-	858,574	724,274	724,274
Philanthropy	800,000	-	-	-	3,655,542	3,655,542	425,000	3,230,542	2,866,541	2,866,541
Government and Community Engagement	76,500	-	-	-	548,167	548,167	-	548,167	458,766	458,766
Marketing and Communications	1,919,906	-	-	-	4,430,418	4,430,418	32,000	4,398,418	2,366,535	2,366,535
<b>TOTAL V.P. (EXTERNAL) UNITS</b>	<b>2,993,756</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>10,301,020</b>	<b>10,301,020</b>	<b>530,000</b>	<b>9,771,020</b>	<b>7,127,307</b>	<b>7,127,307</b>
<b>ADMINISTRATIVE UNITS - V.P. (ACADEMIC) &amp; PROVOST</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
Vice-President (Academic) & Provost, Office of	70,000	-	-	-	1,949,768	1,949,768	-	1,949,768	1,694,116	1,694,116
Institutional Analysis	114,000	-	-	-	908,191	908,191	-	908,191	787,748	787,748
Indigenous Achievement	-	-	-	-	281,595	281,595	-	281,595	-	-
Academic Staff Development	-	-	-	-	80,000	80,000	-	80,000	80,000	80,000
Mosaic	-	-	-	-	201,917	201,917	80,000	121,917	121,917	121,917
IECPCP - Health	-	-	-	-	99,800	99,800	-	99,800	-	-
Student Affairs	-	-	-	100,000	20,468,911	20,568,911	6,139,050	14,329,861	18,019,123	18,019,123
University of Manitoba Press	-	-	-	-	464,787	464,787	350,000	114,787	419,028	419,028
University Teaching Service	85,000	-	-	-	738,514	738,514	-	738,514	640,883	640,883
<b>TOTAL V.P. (ACADEMIC) &amp; PROVOST UNITS</b>	<b>269,000</b>	<b>-</b>	<b>-</b>	<b>100,000</b>	<b>25,193,483</b>	<b>25,293,483</b>	<b>6,569,050</b>	<b>18,624,433</b>	<b>21,762,815</b>	<b>21,762,815</b>
<b>ADMINISTRATIVE UNITS - V.P. (ADMINISTRATION)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
Vice-President (Administration), Office of	-	-	-	-	1,384,259	1,384,259	75,000	1,309,259	1,348,039	1,348,039
Audit Services	-	-	-	-	388,643	388,643	-	388,643	380,576	380,576
Financial Services	360,000	-	-	-	6,260,438	6,260,438	132,992	6,127,446	5,753,699	5,753,699
Human Resources	-	-	-	-	6,113,857	6,113,857	853,608	5,260,249	6,084,584	6,084,584
Information Services and Technology	1,000,000	1,000,000	-	-	18,821,256	19,821,256	596,329	18,224,927	17,478,833	17,478,833
Information Services and Technology - Telecommunications	-	-	-	-	3,469,015	3,469,015	3,469,015	-	2,369,015	2,369,015
Fair Practice and Legal Affairs	-	-	-	-	1,510,491	1,510,491	200	1,510,291	1,490,421	1,490,421
Physical Plant	200,000	-	-	-	21,940,444	21,940,444	5,540,339	16,400,105	29,754,705	29,754,705
Physical Plant Utilities	-	-	-	-	14,982,000	14,982,000	3,437,000	11,545,000	15,396,000	15,396,000
Security Services	150,000	-	-	-	2,775,536	2,775,536	-	2,775,536	2,709,763	2,709,763
Aramark Custodial Service	-	-	-	-	8,411,060	8,411,060	705,828	7,705,232	-	-
Student Life Programming	-	-	-	-	-	-	-	-	188,538	188,538
Campus Planning Office	-	-	-	-	424,385	424,385	-	424,385	-	-
Risk Management	-	-	-	-	247,429	247,429	-	247,429	213,746	213,746
Continuous Improvement	-	-	-	-	585,601	585,601	-	585,601	-	-
Treasury Services	-	-	-	-	507,829	507,829	489,835	17,994	308,994	308,994
University Centre	-	-	-	-	579,148	579,148	399,077	180,071	574,906	574,906
University Centre Pharmacy Mile Program	-	-	-	-	95,064	95,064	64,040	31,024	64,417	64,417
<b>TOTAL V.P. (ADMINISTRATION) UNITS</b>	<b>1,710,000</b>	<b>1,000,000</b>	<b>-</b>	<b>-</b>	<b>88,496,455</b>	<b>89,496,455</b>	<b>15,763,263</b>	<b>72,733,192</b>	<b>84,116,236</b>	<b>84,116,236</b>

Attachment 5 – 2012-2013 Operating Budget

	1	2	3	4	5	6	7	8	9	10
	TOTAL BASELINE (RE)ALLOCATIONS	TOTAL BUDGET ONLY ALLOCATIONS	COPSE BASELINE ALLOCATIONS	COPSE FISC. ONLY ALLOCATIONS	2012/2013 BASELINE (Gross)	2012/2013 FISCAL BUDG. (Gross)	2012/2013 INC. TARGET (All Revenue)	2012/2013 BASELINE (Net)	2011/2012 BASELINE (Gross)	2011/2012 FISC. BUDG. (Gross)
<b>ADMINISTRATIVE UNITS - VP (RESEARCH)</b>	-	-								
Vice President (Research), Office of	-	-			1,219,462	1,219,462	-	1,219,462	999,639	999,639
Animal Care	52,000	-			751,545	751,545	3,750	747,795	702,281	702,281
Centre on Aging	-	-			255,218	255,218	63,300	191,918	255,218	255,218
Indirect Costs of Research Budget Only Allocations	-	-			4,468,424	4,468,424	-	4,468,424	4,468,424	4,468,424
Research Data Centre	-	-			156,500	156,500	8,000	148,500	156,500	156,500
Research Development	350,000	-			3,028,299	3,028,299	1,510,000	1,518,299	2,728,299	2,728,299
International Relations	-	-			497,823	497,823	16,950	480,873	521,903	521,903
Research Services and Programs	-	-			1,575,943	1,575,943	5,000	1,570,943	2,301,634	2,301,634
RESOLVE	-	-			128,687	128,687	93,000	35,687	130,687	130,687
Richardson Centre Functional Foods	-	-			474,259	474,259	404,000	70,259	407,872	407,872
Technology Transfer	-	-			774,231	774,231	149,500	624,731	893,411	893,411
<b>TOTAL V.P. (RESEARCH) UNITS</b>	<b>402,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>13,330,391</b>	<b>13,330,391</b>	<b>2,253,500</b>	<b>11,076,891</b>	<b>13,565,868</b>	<b>13,565,868</b>
<b>GENERAL UNIVERSITY EXPENDITURES</b>	<b>-</b>	<b>-</b>								
Access Copyright	239,000	-			239,000	239,000	-	239,000	-	-
Bad Debts	-	-			10,000	10,000	-	10,000	10,000	10,000
Bannatyne Transit Agreement	-	-			196,000	196,000	-	196,000	196,000	196,000
Commissions	-	-			247,000	247,000	247,000	-	207,718	207,718
Employee Assistance Program	-	-			303,500	303,500	-	303,500	267,250	267,250
Endowment Fee Interfund Transfers (to Trust/Endowment)	-	-			1,581,783	1,581,783	-	1,581,783	1,605,713	1,605,713
Endowment Fee Transfers (within Operating)	-	-			1,058,617	1,058,617	-	1,058,617	1,069,698	1,069,698
GU User Access Fees (concur)	-	-			165,000	165,000	-	165,000	-	-
Institutional Fees and Memberships	-	-			500,000	500,000	-	500,000	300,000	300,000
Insurance	420,000	-			3,429,329	3,429,329	-	3,429,329	3,009,329	3,009,329
MB Schools Science Symposium	-	-			30,000	30,000	-	30,000	30,000	30,000
Outreach	-	-			25,000	25,000	-	25,000	25,000	25,000
Pension Plan Mandatory Payments	2,500,000	-			12,070,000	12,070,000	-	12,070,000	9,570,000	9,570,000
Property Tax	-	-			1,000,000	1,000,000	-	1,000,000	1,000,000	1,000,000
Provincial Debt Servicing	-	-			4,260,721	4,260,721	587,048	3,673,673	4,244,140	4,244,140
Rentals	-	-			244,524	244,524	-	244,524	116,300	116,300
Retiree Benefits	-	-			2,797,006	2,797,006	262,313	2,534,693	2,865,989	2,865,989
ROSE Project	-	-			-	-	-	-	166,439	166,439
Soccer Complex	-	-			288,000	288,000	288,000	-	-	-
Special Programming	-	-			134,205	134,205	-	134,205	114,205	114,205
Workers Compensation	-	-			300,997	300,997	-	300,997	475,997	475,997
<b>TOTAL GENERAL UNIVERSITY EXPENDITURES</b>	<b>3,159,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>28,880,682</b>	<b>28,880,682</b>	<b>1,384,361</b>	<b>27,496,321</b>	<b>25,273,778</b>	<b>25,273,778</b>

Attachment 5 – 2012-2013 Operating Budget

	1	2	3	4	5	6	7	8	9	10
	TOTAL BASELINE (RE)ALLOCATIONS	TOTAL BUDGET ONLY ALLOCATIONS	COPSE BASELINE ALLOCATIONS	COPSE FISC. ONLY ALLOCATIONS	2012/2013 BASELINE (Gross)	2012/2013 FISCAL BUDG. (Gross)	2012/2013 INC. TARGET (All Revenue)	2012/2013 BASELINE (Net)	2011/2012 BASELINE (Gross)	2011/2012 FISC. BUDG. (Gross)
<b>UNDISTRIBUTED OPERATING FUNDS</b>	-	-	-	-	-	-	-	-	-	-
Central Operating Reserve	14,709,644	-	-	-	27,551,384	26,451,384	1,468,000	26,083,384	23,675,524	23,675,524
Emergency Reserve - V.P. (Academic) & Provost	-	-	-	-	547,000	547,000	-	547,000	547,000	547,000
Emergency Reserve - V.P. (Administration)	-	-	-	-	152,980	152,980	-	152,980	152,980	152,980
Emergency Reserve - V.P. (Research)	-	-	-	-	37,000	37,000	-	37,000	37,000	37,000
Emergency Reserve - Insurance	-	-	-	-	300,000	300,000	-	300,000	300,000	300,000
Interfund transfers from Staff Benefits Funds - GWL recoveries	-	-	-	-	1,605,000	1,605,000	1,605,000	-	-	-
Interfund transfers from Trust and Endowment for operating expenses (unit spending allocations)	-	-	-	-	5,894,000	5,894,000	5,894,000	-	-	-
Interfund transfers from Research and Special Funds for operating - to units	-	-	-	-	600,000	600,000	600,000	-	-	-
Planning Framework Priorities - Academic Enhancement	-	-	-	-	647,805	647,805	-	647,805	1,984,687	1,984,687
Planning Framework Priorities - Student Experience	350,000	-	-	-	573,457	573,457	-	573,457	1,311,591	1,311,591
Planning Framework Priorities - Employer of Choice	200,000	-	-	-	600,000	600,000	-	600,000	400,000	400,000
Faculty Share of Overhead Income	-	-	-	-	1,500,000	1,500,000	1,500,000	-	1,700,000	1,700,000
International Student Recruitment Agents Fees	-	-	-	-	100,000	100,000	-	100,000	50,000	50,000
International Student Support - Unit projects and IAPD Seed Funds	-	-	-	-	439,590	439,590	-	439,590	589,590	589,590
ICM Reserve - to Units and Capital (Learning Commons)	-	-	-	-	1,300,000	1,300,000	-	1,300,000	1,000,000	1,000,000
Travel & Conference Sponsorship Program	-	-	-	-	30,000	30,000	-	30,000	30,000	30,000
Spousal Appointment Funding	-	-	-	-	400,000	400,000	-	400,000	-	-
Externally Funded Research Chairs	120,000	-	-	-	1,210,787	1,210,787	-	1,210,787	-	-
Collaborative Clusters	520,000	100,000	-	-	920,000	1,020,000	-	920,000	-	-
Academic Position Management Process Funds (APMP)	-	-	-	-	401,830	401,830	-	401,830	561,710	561,710
Tuition Fee Sharing Reserve	-	-	-	-	2,500,000	2,500,000	-	2,500,000	2,500,000	2,500,000
<b>TOTAL UNDISTRIBUTED OPERATING FUNDS</b>	<b>15,899,644</b>	<b>100,000</b>	<b>-</b>	<b>-</b>	<b>47,310,833</b>	<b>46,310,833</b>	<b>11,067,000</b>	<b>36,243,833</b>	<b>35,288,487</b>	<b>35,288,487</b>
<b>ANCILLARIES</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>36,606,004</b>	<b>36,606,004</b>	<b>36,606,004</b>	<b>-</b>	<b>33,377,333</b>	<b>33,377,333</b>
<b>TOTAL ANCILLARIES</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>36,606,004</b>	<b>36,606,004</b>	<b>36,606,004</b>	<b>-</b>	<b>33,377,333</b>	<b>33,377,333</b>
<b>TOTAL OPERATING BUDGET (INCL. FUND TRANSFERS AND RECOVERIES)</b>	<b>25,766,400</b>	<b>1,100,000</b>	<b>590,900</b>	<b>183,565</b>	<b>572,753,365</b>	<b>572,936,930</b>	<b>137,697,764</b>	<b>435,055,601</b>	<b>537,701,744</b>	<b>537,776,744</b>
Carryover Provision Interfund	-	-	-	-	-	-	-	-	-	-
Cold Beverage Provision Interfund	-	-	-	-	-	-	-	-	-	-
Provisions Fund Opening Budget	-	-	-	-	-	-	-	-	-	-
	<b>25,766,400</b>	<b>1,100,000</b>	<b>590,900</b>	<b>183,565</b>	<b>572,753,365</b>	<b>572,936,930</b>	<b>137,697,764</b>	<b>435,055,601</b>	<b>537,701,744</b>	<b>537,776,744</b>
<b>LESS</b>										
Internal Cost Recoveries	-	-	-	-	(16,696,612)	(16,696,612)	-	(16,696,612)	(14,152,108)	(14,152,108)
Interfund and Intrafund Transfers	-	-	-	-	(12,939,484)	(12,939,484)	-	(12,939,484)	(5,158,298)	(5,158,298)
<b>TOTAL COST RECOVERIES AND TRANSFERS</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(29,636,096)</b>	<b>(29,636,096)</b>	<b>-</b>	<b>(29,636,096)</b>	<b>(19,310,406)</b>	<b>(19,310,406)</b>
<b>TOTAL OPERATING FUNDS</b>	<b>25,766,400</b>	<b>1,100,000</b>	<b>590,900</b>	<b>183,565</b>	<b>543,117,269</b>	<b>543,300,834</b>	<b>137,697,764</b>	<b>405,419,505</b>	<b>518,391,338</b>	<b>518,466,338</b>
<b>GRAND TOTAL</b>	<b>25,766,400</b>	<b>1,100,000</b>	<b>590,900</b>	<b>183,565</b>	<b>543,117,269</b>	<b>543,300,834</b>	<b>137,697,764</b>	<b>405,419,505</b>	<b>518,391,338</b>	<b>518,466,338</b>

Attachment 6 – Summary of the 2012-2013 Budget Plans for all Funds

**Summary Budget Plans for All Funds**  
**For the Year Ended March 31, 2013 (in thousands)**

	Actuals 2010/11	Forecast 2011/12	Budget 2012/13
<b>General Operating Fund</b>			
Revenue	510,687	547,081	543,301
Expense and Fund Transfers	510,669	547,065	543,301
Net	18	16	-
<b>Specific Provisions Fund</b>			
Revenue	-		
Expense and Fund Transfers	1,535	(3,875)	(2,853)
Net	(1,535)	3,875	2,853
<b>Expenses funded from Future Revenues</b>			
Revenue	-		
Expense and Fund Transfers	(770)	520	
Net	770	(520)	-
<b>Capital Asset</b>			
Revenue	66,349	65,974	51,575
Expense and Fund Transfers	(13,769)	(27,788)	21,824
Net	80,118	93,762	29,751
<b>Research and Special</b>			
Revenue	141,777	139,481	154,000
Expense and Fund Transfers	141,759	149,185	152,586
Net	18	(9,704)	1,414
<b>Staff Benefits</b>			
Revenue	6,122	4,493	3,467
Expense and Fund Transfers	7,534	1,290	2,404
Net	(1,412)	3,203	1,063
<b>Trust</b>			
Revenue	28,292	26,846	20,800
Expense and Fund Transfers	19,488	15,542	20,150
Net	8,804	11,304	650
<b>Endowment</b>			
Revenue	32,307	10,178	20,900
Expense and Fund Transfers	(3,818)	(1,465)	(3,706)
Net	36,125	11,643	24,606
<b>Total</b>			
Revenue	785,534	795,292	794,043
Expense and Fund Transfers	662,628	681,713	733,706
Net	122,906	113,579	60,337



**AGENDA ITEM:** *Student Referendum, Faculty of Kinesiology and Recreation Management*

**RECOMMENDED RESOLUTION:**

*That a \$4.00 per credit hour contribution be assessed against the students in the Faculty of Kinesiology and Recreation Management for a three year term commencing in the fall of 2012 as outlined in the letter from Jane Watkinson, Dean, Faculty of Kinesiology and Recreation Management, dated February 17, 2012.*

**Action Requested:**     Approval     Discussion/Advice     Information

**CONTEXT AND BACKGROUND:**

*On Tuesday, February 7 and Wednesday, February 8, the Students Association for Health Physical Education and Recreation Studies held a referendum to support the Faculty of Kinesiology and Recreation Management with a contribution of \$4.00 per credit hour. This amounts to an approximate donation of \$120 per student per year. The students voted to contribute \$4.00 per credit hour for a three year term beginning in the fall of 2012, resulting in a total contribution of approximately \$165,960 (pending student enrolment); 62% Kinesiology and Recreation Management Endowment Fund; 38% Kinesiology and Recreation Management Student Initiative Fund. There was a total of 27% participation rate with 126 of the 461 eligible student voters casting a ballot. Of those votes 97 were "yes" votes, 29 were "no" votes and there were no spoiled ballots.*

**RESOURCE REQUIREMENTS:**

N/A

**IMPLICATIONS:**

N/A

**ALTERNATIVES:**

N/A

**CONSULTATION:** *[delete if not applicable]*

N/A



### Board of Governors Submission

#### Routing to the Board of Governors:

<u>Reviewed</u>	<u>Recommended</u>	<u>By</u>	<u>Date</u>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	John Kearsey	April 20, 2012
<input type="checkbox"/>	<input type="checkbox"/>	David Barnard	April 20, 2012
<input type="checkbox"/>	<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>		

Office of the Vice-President (External)

Submission prepared by:

Submission approved by:

*This must be the President, a Vice-President, or the University Secretary.*

#### Attachments

*Please list any related material attached. Ideally attachments for any given submission will not exceed ten (10) pages.*

- Letter from Jane Watkinson, Dean, Faculty of Kinesiology and Recreation Management
- Letter from John Daman, President and Shawn Ward, Vice President, Students Association for Health Physical Education and Recreation Studies



UNIVERSITY  
OF MANITOBA

Faculty of Kinesiology  
and Recreation Management

102 Frank Kennedy Centre  
Winnipeg, Manitoba  
Canada R3T 2N2

February 17, 2012

Dr. David Barnard  
President and Vice-Chancellor  
University of Manitoba

Dear Dr. Barnard:

I am pleased to inform you that the students in the Faculty of Kinesiology and Recreation Management have once again voted to continue making contributions to the faculty through their student referendum.

Attached you will find the letter I received from John Daman, Senior Stick/President and Shawn Ward, Vice President, Recreation Management with the Students Association for Health Physical Education and Recreation Studies. The letter details how proper notice was provided to the students about the referendum initiative, including the disbursement and the vote date, through presentations made by the members of the student council.

As John and Shawn explain, the students wish to contribute \$4.00 per credit hour for a three year term, to the Faculty of Kinesiology and Recreation Management Endowment Fund and the Student Initiative Fund. This will result in a contribution of \$165,960 (pending enrolment) to the university. This contribution is to be collected from each student in each term when fees are paid, beginning in the fall of the 2012/2013 fiscal year.

I would appreciate it if you would present these results to the Board of Governors for approval.

Sincerely,



Jane Watkinson  
Dean

Enclosure

cc: Annual Giving Program, Philanthropy

February 15, 2012

Dr. Jane Watkinson  
Dean, Faculty of Kinesiology and Recreation Management  
104 Frank Kennedy  
University of Manitoba,  
Winnipeg, MB R3T 2N2

Dear Dr. Watkinson,

On Tuesday, February 7 and Wednesday, February 8, the Students Association for Health Physical Education and Recreation Studies held a referendum to support the Faculty of Kinesiology and Recreation Management Endowment Fund and the Student Initiative Fund through a donation from each student. Each student was proposed with making a donation of \$4.00 per credit hour for a three year term, beginning in the fall of 2012. This amounts to an approximate donation of \$120 per student per year. We are pleased to inform you that the vote was successful and as such, the students will be contributing \$165,960 (pending student enrolment) to the Faculty of Kinesiology and Recreation Management over the next three years. The ballot read as follows:

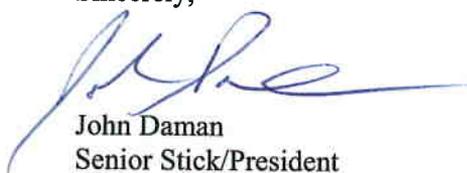
<p style="text-align: center;"><b>KINESIOLOGY &amp; RECREATION MANAGEMENT 2011/2012 REFERENDUM BALLOT</b></p> <p style="text-align: center;">I agree to make a tax-deductible contribution of \$4.00 per credit hour ( \$120 per year for 30 credit hours ) to be paid at the time of registration.</p> <p style="text-align: center;">This contribution will be directed to the Faculty of Kinesiology &amp; Recreation Management as follows:</p> <p style="text-align: center;">62% Endowment Fund 38% Student Initiative Fund</p> <p style="text-align: center;">The term for this agreement is to be 3 years</p> <p style="text-align: center;"><input checked="" type="checkbox"/> <b>Yes</b>                      <input type="checkbox"/> <b>No</b></p>
---

There was a 27% participation rate with 126 of the 461 eligible student voters casting a ballot. Of those votes, 97 were "yes" votes, 29 were "no" votes and there were no spoiled ballots.

Prior to the referendum vote, we conducted classroom presentations to ensure all students were made aware of information detailing the referendum process, the proposed donation amount and disbursements and the need to give back.

SAHPER supports the results of this referendum and asks that the university take the necessary steps to implement the contributions. We request that you forward this information to Dr. David Barnard, President of The University of Manitoba, who will present it to the Board of Governors for ratification. If you require any additional information or have any questions, please feel free to contact us as soon as possible.

Sincerely,

  
John Daman  
Senior Stick/President

  
Shawn Ward  
Vice President, Recreation Management

cc: Sana Mahboob, Philanthropy



**AGENDA ITEM:** *Student Referendum, Faculty of Science*

**RECOMMENDED RESOLUTION:**

*That a \$7.00 per credit hour contribution be assessed against the students in the Faculty of Science for a three year term commencing in the fall of 2012 as outlined in the letter from Mark Whitmore, Dean, Faculty of Science, dated March 31, 2012.*

**Action Requested:**



Approval



Discussion/Advice



Information

**CONTEXT AND BACKGROUND:**

*On Wednesday, March 28 and Thursday, March 29, the Science Student Association held a referendum to support the Faculty of Science with a contribution of \$7.00 per credit hour. This amounts to an approximate donation of \$210 per student per year. The students voted to contribute \$7.00 per credit hour for a three year term beginning in the fall of 2012, resulting in a total contribution of approximately \$1,864,170 (pending student enrolment); 35% Science Equipment Fund, 34% Science Endowment Fund and 31% Science Student Initiative Fund. There was a total of 9% participation rate with 271 of the 2,959 eligible student voters casting a ballot. Of those votes 242 were "yes" votes, 27 were "no" votes and there were 2 spoiled ballots.*

**RESOURCE REQUIREMENTS:**

N/A

**IMPLICATIONS:**

N/A

**ALTERNATIVES:**

[N/A]

**CONSULTATION:** *[delete if not applicable]*

N/A



### Board of Governors Submission

**Routing to the Board of Governors:**

<u>Reviewed</u>	<u>Recommended</u>	<u>By</u>	<u>Date</u>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	John Kearsey <i>[Signature]</i>	April 20, 2012
<input type="checkbox"/>	<input type="checkbox"/>	David Barnard <i>[Signature]</i>	Feb 20, 2012
<input type="checkbox"/>	<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>		

**Office of the Vice-President (External)**

**Submission prepared by:**

**Submission approved by:**

*This must be the President, a Vice-President, or the University Secretary.*

**Attachments**

*Please list any related material attached. Ideally attachments for any given submission will not exceed ten (10) pages.*

- Letter from Mark Whitmore, Dean, Faculty of Science
- Letter from Shawn Dhalla, Vice President, Science Student Association

Office of the Dean  
239 Machray Hall  
Winnipeg, Manitoba  
Canada R3T 2N2  
Telephone (204) 474-9348  
Fax (204) 474-7618

UNIVERSITY  
OF MANITOBA

Faculty of Science

March 31, 2012

Dr. David Barnard  
President and Vice-Chancellor  
University of Manitoba

Dear Dr. Barnard:

I am pleased to inform you that the students in the Faculty of Science have once again voted to continue making contributions to the faculty through their student referendum.

Attached you will find the letter I received from Shawn Dhalla, Vice President of the Science Student Association. The letter details how proper notice was provided to the students about the referendum initiative, including the disbursement and the vote date through presentations made, emails sent and through social media on behalf of the members of the student council.

As Shawn explains, the students wish to contribute \$7.00 per credit hour for a three year term, to the Faculty of Science Equipment Fund, the Faculty of Science Endowment Fund and the Faculty of Science Student Initiative Fund. This will result in a contribution of \$1,864,170 (pending enrolment) to the university. This contribution is to be collected from each student in each term when fees are paid, beginning in the fall of the 2012/2013 fiscal year.

I would appreciate it if you would present these results to the Board of Governors for approval.

Sincerely,



Mark Whitmore  
Dean

cc: Annual Giving Program, Philanthropy



March 31, 2012

Dr. Mark Whitmore  
Dean, Faculty of Science  
251 Machray Hall  
University of Manitoba,  
Winnipeg, MB R3T 2N2

Dear Dr. Whitmore,

On Wednesday, March 28 and Thursday, March 29, the Science Student Association held a referendum to support the Faculty of Science Endowment Fund, the Faculty of Science Equipment Fund and the Faculty of Science Student Initiative Fund. Each student was proposed with making a donation of \$7.00 per credit hour for a three year term, beginning in the fall of 2012. This amounts to an approximate donation of \$210 per student per year. I am pleased to inform you that the vote was successful and as such, the students will be contributing \$1,864,170 (pending student enrolment) to the Faculty of Science over the next three years. The ballot read as follows:

**FACULTY OF SCIENCE  
2011/2012 REFERENDUM BALLOT**

I agree to make a tax-deductible contribution of \$7.00 per credit hour  
(\$210 per year for 30 credit hours)  
to be paid at the time of registration.

This contribution will be directed to the Faculty of Science as follows:

35% Science Equipment Fund  
34% Science Endowment Fund  
31% Science Student Initiative Fund

The term for this agreement is to be 3 years

**Yes**                       **No**

There was a 9% participation rate with 271 of the 2,959 eligible student voters casting a ballot. Of those votes, 242 were "yes" and 27 were "no" votes and there were 2 spoiled ballots.

Prior to the referendum vote, student representatives conducted presentations to their classes to ensure all students were made aware of information detailing the referendum process, the proposed donation amount and disbursement and the need to give back. Students were also made aware of this information via social media and posters put up across our faculty.

The Science Student Association supports the results of this referendum and asks that the university take the necessary steps to implement the contributions. We are requesting that you forward this information to Dr. David Barnard, President of the University of Manitoba, who will present it to the Board of Governors for ratification. If you require any additional information or have any questions, please feel free to contact us.

Sincerely,



Shawn Dhalla  
Vice President  
Science Students Association

cc: Sana Mahboob, Philanthropy



**AGENDA ITEM:** *Student Referendum, Faculty of Dentistry*

**RECOMMENDED RESOLUTION:**

*That a \$5.83 per credit hour contribution be assessed against the students in the Faculty of Dentistry for a three year term commencing in the fall of 2012 as outlined in the letter from Anthony Iacopino, Dean, Faculty of Dentistry, dated March 1, 2012.*

**Action Requested:**  Approval  Discussion/Advice  Information

**CONTEXT AND BACKGROUND:**

*On the week of February 21, the Manitoba Dental Students Association held a referendum to support the Faculty of Dentistry with a contribution of \$5.83 per credit hour. This amounts to an approximate donation of \$175 per student per year. The students voted to contribute \$5.83 per credit hour for a three year term beginning in the fall of 2012, resulting in a total contribution of approximately \$67,200 (pending student enrolment); 100% Student Lounge Fund. There was a total of 60% participation rate with 77 of the 128 eligible student voters casting a ballot. Of those votes 74 were "yes" votes, 0 were "no" votes and 3 spoiled ballots.*

**RESOURCE REQUIREMENTS:**

N/A

**IMPLICATIONS:**

N/A

**ALTERNATIVES:**

[N/A]

**CONSULTATION:** *[delete if not applicable]*

N/A



### Board of Governors Submission

**Routing to the Board of Governors:**

<u>Reviewed</u>	<u>Recommended</u>	<u>By</u>	<u>Date</u>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	John Kearsey	April 20, 2012
<input type="checkbox"/>	<input type="checkbox"/>	David Barnard	April 20, 2012
<input type="checkbox"/>	<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>		

**Office of the Vice-President (External)**

**Submission prepared by:**

**Submission approved by:** *This must be the President, a Vice-President, or the University Secretary.*

**Attachments**

*Please list any related material attached. Ideally attachments for any given submission will not exceed ten (10) pages.*

- Letter from Anthony Iacopino, Dean, Faculty of Dentistry
- Letter from William Yeung, Senior Stick, Manitoba Dental Students Association



UNIVERSITY  
OF MANITOBA

Faculty of Dentistry

Dean's Office  
D113-780 Bannatyne Avenue  
Winnipeg, Manitoba  
Canada R3E 0W2  
Telephone (204) 789-3631  
Fax (204) 789-3912  
info\_dent@umanitoba.ca

March 1, 2012

Dr. David Barnard  
President and Vice-Chancellor  
University of Manitoba

Dear Dr. Barnard:

I am pleased to inform you that the students in the Faculty of Dentistry have once again voted to continue making contributions to the faculty through their student referendum.

Attached you will find the letter I received from William Yeung, Senior Stick of the Manitoba Dental Students Association. The letter details how proper notice was provided to the students about the referendum initiative, including the disbursement and the vote date, through a presentation made on behalf of the student council.

As William explains, the students wish to contribute \$5.83 per credit hour for a three year term, to the Faculty of Dentistry Student Lounge. This will result in a contribution of \$67,200 (pending enrolment) to the university. This contribution is to be collected from each student in each term when fees are paid, beginning in the fall of the 2012/2013 fiscal year.

I would appreciate it if you would present these results to the Board of Governors for approval.

Sincerely,

Anthony M. Iacopino DMD PhD  
Dean, Faculty of Dentistry

enclosure

cc: Sana Mahboob, Philanthropy



February 28, 2012

Dr. Anthony Iacopino  
Dean, Faculty of Dentistry  
D113 Dental Building  
University of Manitoba,  
Winnipeg, MB R3E 0W2

Dear Dr. Iacopino,

On the week of February 21, 2012 the Manitoba Dental Students Association held a referendum to support the Faculty of Dentistry Student Lounge. Each student was proposed with making a donation of \$5.83 per credit hour for a three year term, beginning in the fall of 2012. This amounts to an approximate donation of \$175 per student per year. I am pleased to inform you that the vote was successful and as such, the students will be contributing \$67,200 (pending student enrolment) to the Faculty of Dentistry over the next three years. The ballot read as follows:

**FACULTY OF DENTISTRY  
2011/2012 REFERENDUM BALLOT**

I agree to make a tax-deductible contribution of \$5.83 per credit hour  
(\$175 per year for 30 credit hours)  
to be paid at the time of registration.

This contribution will be directed to the  
Faculty of Dentistry as follows:

100% Student Lounge Fund

The term for this agreement is to be 3 years

**Yes**                       **No**

There was a 60% participation rate with 77 of the 128 eligible student voters casting a ballot. Of those votes, 74 were "yes" votes, 0 were "no" votes and there were 3 spoiled ballots.

Prior to the referendum vote, an open forum was held to ensure all students were made aware of information detailing the referendum process, the proposed donation amount and disbursement and the need to give back.

The Manitoba Dental Student's Association supports the results of this referendum and asks that the university take the necessary steps to implement the contributions. I am requesting that you forward this information to Dr. David Barnard, President of the University of Manitoba, who will present it to the Board of Governors for ratification. If you require any additional information or have any questions, please feel free to contact me.

Sincerely,



William Yeung  
Senior Stick

cc: Sana Mahboob, Philanthropy



**AGENDA ITEM:** Institutional Costs of Research: Recovery and Distribution

**RECOMMENDED RESOLUTION:**

- i) That the Board of Governors approve the new policy on Institutional Costs of Research: Recovery and Distribution (the "Policy")*
- ii) That the Board of Governors rescind the Recovery of Indirect Costs Policy*
- iii) That the Board of Governors rescind the Distribution of Indirect Cost Income Policy*

**Action Requested:**       Approval     Discussion/Advice     Information

**CONTEXT AND BACKGROUND:**

*Both the Recovery of Indirect Costs and the Distribution of Indirect Cost policies were last updated in 1993. These policies needed to be reviewed and updated to reflect current costs of research. The two policies have now been combined into one Policy. This Policy is now clearer and reflects the true costs of research to the University. In addition, the distribution of revenue from the institutional costs recovered is now clearly set out. The revenue will be distributed between the academic unit, University administration, and the Vice-President (Research and International).*

**RESOURCE REQUIREMENTS:**

*None*

**CONNECTION TO THE UNIVERSITY PLANNING FRAMEWORK:**

*Academic enhancement – The attached Policy recognizes that all research conducted at the University has institutional costs. Clearly established institutional cost rates allow the University to recover these costs. This recovered revenue can then be distributed among the academic unit, University administration, and the Vice-President (Research and International), thus supporting and promoting research.*

**IMPLICATIONS:**

*Without the recovery of institutional costs, the University would have to cover these costs from other revenue sources. This would impact other operations within the University.*

**ALTERNATIVES:**

None

**CONSULTATION:** *[delete if not applicable]*

*The Associate Deans Research and Research Liaison Officers were initially consulted when the policy was being drafted. The Director of the Office of Research Services and Legal Counsel were consulted and involved in the drafting of the policy. The Executive Director of Human Resources reviewed the document. Based on the review, it was determined that it is not necessary for the policy to be forwarded to UMFA for review and input.*



### Board of Governors Submission

**Routing to the Board of Governors:**

<u>Reviewed</u>	<u>Recommended</u>	<u>By</u>	<u>Date</u>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		April 23/2012
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Shuman for Board	April 23/2012
<input type="checkbox"/>	<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>		

**Submission prepared by:** Digvir Jayas

**Submission approved by:** *This must be the President, a Vice-President, or the University Secretary.*

**Attachments**

*Please list any related material attached. Ideally attachments for any given submission will not exceed ten (10) pages.*



## UNIVERSITY OF MANITOBA POLICY

No. \_\_\_\_\_ Effective Date: \_\_\_\_\_

Title: Institutional Costs of Review Date: \_\_\_\_\_  
Research: Recovery and Distribution

Approving Body:  Board of Governors  Senate  
Administration (specify): \_\_\_\_\_

Authority  *University of Manitoba Act* Section # \_\_\_\_\_  
 Other Legislation [name and section #] \_\_\_\_\_  
 Bylaw [name and section #] \_\_\_\_\_  
 Regulation \_\_\_\_\_

Implementation: President delegated to Vice-President (Research & International)

Contact: Director, Office of Research Services

Applies to:  Board of Governors members  External Parties Research Sponsors  
 Senate members [Specify applicable external parties]  
 Faculty/School Councils  Employees  
 Students [Specify applicable employee organizations and employment groups]  
faculty, adjunct appointments, nil-salaried appointments, GFTs, Department Heads, Deans and Directors

### 1.0 Reasons for Policy

- 1.1 To acknowledge that Institutional Costs are attributable to all research conducted at the University; and to provide a mechanism for the University to recover these Institutional Costs through clearly established Institutional Cost rates.
- 1.2 To establish a consistent distribution of Institutional Costs revenue in a manner that reflects an appropriate recognition of the costs of conducting research.

## 2.0 **Policy Statement**

### 2.1 Introduction and Scope

The cost of conducting research at the University includes not only the Direct Costs of the research project, but also the Institutional Costs, which indirectly support the research of University researchers, but are often not included in the budgeting process. Institutional Costs cannot be attributed directly or easily to a research project, but are real costs incurred by the University to provide the infrastructure and support required for the conduct of research. If Institutional Costs are not recovered in research project funding, the University must cover these costs from other revenue sources, which can negatively affect other University operations. It is therefore important when requesting research funding from sponsors to include Institutional Costs in the Budget.

This Policy applies to all Agreements (as defined in subsection 2.2.2) except:

- 2.1.1 Research Grants from the Tri-Councils (Canadian Institutes of Health Research, Natural Sciences and Engineering Research Council, Social Sciences and Humanities Research Council); and
- 2.1.2 the associated annual allocations provided by the Tri-Councils towards the indirect costs of research because of its unique eligibility and reporting requirements.

### 2.2 Definitions

- 2.2.1 “Academic Unit” means any University faculty or school, with the exception of schools within a faculty; such schools shall be treated as a department for the purposes of this Policy.
- 2.2.2 “Agreement” means, collectively, a Clinical Trial Agreement, Research Contract, Research Grant and Technical Services Agreement.
- 2.2.3 “Budget” means the detailed statement submitted to the sponsor outlining the estimated research project costs to support sponsored research.
- 2.2.4 “Clinical Trial Agreement” means an agreement between the University and a sponsor to perform, on behalf of the sponsor and in exchange for payment of costs, prospective biomedical assessments on human subjects, predominantly patients, designed to answer specific questions about biomedical or behavioral interventions.
- 2.2.5 “Direct Costs” means the budgeted expenditures for carrying out a research project at the University except, normally, for the salary of the researcher(s). Direct Costs may include per diem payments to the researcher, the salaries of research assistants and stipends for graduate students, equipment and supplies costs, travel and publication costs and other costs allowed by the sponsor.

- 2.2.6 "Institutional Costs" means University expenditures incurred to benefit and support research for which it is not possible to provide an exact itemization of the cost attributable to an individual research project. Institutional Costs are real costs to the University, and include, without limitation, building use and depreciation, utilities, maintenance and upgrade of library resources, computer and network support, management and administration of research, financial services (including purchasing and accounting), legal services, human resource services, technology transfer office services, regulatory and research compliance (including research ethics, human ethics, animal ethics, and biohazard certification), hazardous waste disposal, controlled goods, radiation safety, occupational safety, campus security and liability insurance.
- 2.2.7 "Research Contract" means an agreement between the University and a sponsor to perform research and research-related activities on behalf of the sponsor, in exchange for payment of costs. For the purpose of the policy, Research Contracts do not include Clinical Trial Agreements, which are addressed separately.
- 2.2.8 "Research Grant" means funds that are awarded by a sponsor to perform research and research-related activities without contractual terms or obligations.
- 2.2.9 "Technical Service Agreement" (also known as a Fee-for-Service Agreement) means an agreement that does not involve the undertaking of research but rather the use of existing knowledge, skills or expertise to provide a service for a sponsor.

## 2.3 Principles

- 2.3.1 Funding for research projects must cover all of the costs of doing research, except, normally, the salary of the researcher. Since the total cost of research is made up of both Direct Costs and Institutional Costs, researchers must include in the Budget an allowance for Institutional Costs at the rates established by the University in this Policy.
- 2.3.2 Reducing or omitting Institutional Costs in a Budget with the expectation that the University will absorb the costs is inappropriate, since the University does not have the financial resources to subsidize sponsored research.

## 2.4 Institutional Cost Rates

Institutional Costs will be recovered at the following rates:

- |       |                               |     |
|-------|-------------------------------|-----|
| 2.4.1 | Research Contracts:           | 30% |
| 2.4.2 | Clinical Trial Agreements:    | 30% |
| 2.4.3 | Technical Service Agreements: | 40% |
| 2.4.4 | Research Grants:              | 15% |

## 2.5 Calculation of Institutional Costs

Institutional Costs are charged as a percentage of the total Direct Costs.

## 2.6 Exceptions

2.6.1 The University will accept modified Institutional Costs rates from government and non-profit agencies, provided the modified rates are published and there is sufficient evidence that the modified rates are applied to all Canadian universities.

2.6.2 In all other instances, approval of the Vice-President (Research and International) is required for any exception to the Institutional Costs rates set out in this policy. Requests for exceptions must be made in writing to the Director of the Office of Research Services, with support from the Dean or Director of an Academic Unit and from the Department Head for Academic Units with Departments. Requests for exceptions must include an explanation as to why the exception should be considered. The Director of the Office of Research Services will in turn forward the request to the Vice-President (Research and International) for consideration.

## 2.7 Distribution of Institutional Costs Revenue

In order to support and promote sponsored research, and to assist Academic Units and researchers in offsetting Institutional Costs in connection with research, Institutional Costs revenue will be distributed as follows:

2.7.1 The distribution of Institutional Costs recovered from Research Contracts, Clinical Trial Agreements, and Research Grants shall be as follows:

a) Academic Unit: 50% to be distributed as follows:

(i) Researcher: 50% (or 25% of the total)

(ii) Researcher's Dean or Director: 20% (or 10 % of the total)

(iii) Researcher's Department Head: 30% (or 15% of the total).

For Academic Units without departments: 30% (or 15% of the total) shall be distributed to the researcher's Dean or Director so that the total amount distributed to the Dean or Director under this section 2.7.1(a) shall be 50% (or 25% of the total)

b) University Administration: 30%

c) Vice-President (Research and International) to support research development: 20%

2.7.2 The full amount of the Institutional Costs revenue recovered from Technical Service Agreements shall be distributed to the researcher's Dean or Director to be used only for the maintenance, repair and upgrade of the research infrastructure and equipment in the researcher's laboratory.

## 2.8 Use of University Resources for Outside Professional Activities

When University researchers engage in outside professional activities, such as consulting, involving the use of University facilities, supplies or services, the researcher must inform the relevant Department Head, or Dean or Director for Academic Units without departments, and enter into a written agreement to pay the University the costs of the use at prevailing rates set by the University.

## 3.0 Accountability

3.1 The University Secretary is responsible for advising the President that a formal review of the Policy is required.

3.2 The Vice-President (Research and International) will be responsible for the communication, administration and interpretation of this Policy.

## 4.0 Secondary Documents

4.1 The Vice-President (Research & International) may approve Procedures which are secondary to and comply with this Policy.

## 5.0 Review

5.1 Formal Policy reviews will be conducted every ten (10) years. The next scheduled review date for this Policy is \_\_\_\_\_.

5.2 In the interim, this Policy may be revised or rescinded if the Approving Body deems necessary.

5.3 If this Policy is revised or rescinded, all Secondary Documents will be reviewed as soon as reasonably possible in order to ensure that they:

- (a) comply with the revised Policy; or
- (b) are in turn rescinded.

## 6.0 Effect on Previous Statements

6.1 This Policy supersedes the following:

- (a) all previous Board/Senate Policies, Procedures, and resolutions on the subject matter contained herein;
- (b) all previous Administration Policies, Procedures, and directives on the subject matter contained herein;

- (c) Recovery of Indirect Costs Policy; and
- (d) Distribution of Indirect Cost Income Policy.

## 7.0 Cross References

### **Cross References**

Cross referenced to: (1) Research Agreements Policy  
(2) Research Agreements Procedures



**AGENDA ITEM:** Report of the Senate Committee on Awards [dated February 17, 2012]

**RECOMMENDED RESOLUTION:**

THAT the Board of Governors approve four new offers and one amended offer as set out in Appendix A of the Report of the Senate Committee on Awards [dated February 17, 2012].

**Action Requested:**  Approval  Discussion/Advice  Information

**CONTEXT AND BACKGROUND:**

At its meeting of February 17, 2012 the Senate Committee on Awards approved four new offers and one amended offer as set out in Appendix A of the Report of the Senate Committee on Awards [dated February 17, 2012].

**RESOURCE REQUIREMENTS:**

Awards will be funded from the various sources of funding identified within the Reports.

**CONNECTION TO THE UNIVERSITY PLANNING FRAMEWORK:**

N/A

**IMPLICATIONS:**

N/A

**ALTERNATIVES:**

N/A

**CONSULTATION:**

All of these award decisions meet the published guidelines for awards as approved by Senate and were reported to Senate for information on April 4, 2012.



## **REPORT OF THE SENATE COMMITTEE ON AWARDS**

### **Preamble**

Terms of reference for the Senate Committee on Awards include the following responsibility:

On behalf of Senate, to approve and inform Senate of all new offers and amended offers of awards that meet the published guidelines presented to Senate on November 3, 1999, and as thereafter amended by Senate. Where, in the opinion of the Committee, acceptance is recommended for new offers and amended offers which do not meet the published guidelines or which otherwise appear to be discriminatory under the policy on the *Non-Acceptance of Discriminatory Awards*, such offers shall be submitted to Senate for approval. (Senate, October 7, 2009)

### **Observations**

At its meeting of February 17, 2012 the Senate Committee on Awards approved four new offers and one amended offer as set out in Appendix A of the *Report of the Senate Committee on Awards* (dated February 17, 2012).

### **Recommendations**

On behalf of Senate, the Senate Committee on Awards recommends that the Board of Governors approve four new offers and one amended offer as set out in Appendix A of the *Report of the Senate Committee on Awards* (dated February 17, 2012). These award decisions comply with the published guidelines of November 3, 1999, and are reported to Senate for information.

Respectfully submitted,

Dr. Philip Hultin  
Chair, Senate Committee on Awards

## MEETING OF THE SENATE COMMITTEE ON AWARDS

### Appendix A February 17, 2012

#### 1. NEW OFFERS

##### **Dr. W. John Rempel Memorial Scholarship**

In memory of Dr. W. John Rempel (BA/64, PhD/73), an endowment fund with an initial gift of \$20,000 has been established by his wife Ursula M. Rempel (M.A. /79, BMus/67, Ed.Cert/64). Through equal instalments of \$20,000 over the next five years, the total value of the endowment fund will be \$100,000. Ursula M. Rempel has donated an extra \$1,000 to the scholarship so it may be awarded in the 2012-2013 academic year.

The purpose of this fund is to provide a scholarship to an outstanding graduate student in the Department of English, Film, and Theatre. The available annual interest from the fund will be used to offer one scholarship to a student who is:

- (1) enrolled full-time in the Faculty of Graduate Studies, in any year of study in either the Ph.D. or Master's program offered by the Department of English, Film, and Theatre at the University of Manitoba;
- (2) has achieved a minimum degree grade point average of 3.5 based on the last 60 credit hours of study.

Preference will be given to a student with a special interest in Shakespeare, satire, or humour.

The Dean, Faculty of Graduate Studies (or designate) will ask the Head, English, Film, and Theatre (or designate) to name the selection committee.

The Board of Governors of the University of Manitoba has the right to modify the terms of this award if, because of changed conditions, it becomes necessary to do so. Such modification shall conform as closely as possible to the expressed intention of the donor in establishing the award.

##### **Northern Finance Association Travel Award**

The 2010 Northern Finance Association Conference Co-Chairs have established an endowment fund at the University of Manitoba, with an initial gift of \$21,648 in 2011. The Manitoba Scholarship and Bursary Initiative has made a contribution to the fund. The fund will be used to offer travel awards for students pursuing graduate studies in the area of finance. The available annual interest from the fund will be used to offer one or more travel awards, with a minimum value of \$450 each, to graduate students who:

- (1) are enrolled full-time in the Faculty of Graduate Studies, in the Ph.D. in Management, with a specialization in the area of finance;
- (2) have achieved a minimum degree grade point average of 3.5 (or equivalent) based on courses completed in the Ph.D. in Management;
- (3) will be attending the Northern Finance Association conference or any other reputable conference in North America (including but not limited to Financial Management Association International, Eastern Finance Association, Midwest Financial Association, Administrative Sciences Association of Canada), to present the results of their graduate research (full oral presentation);

- (4) have demonstrated research ability based on the paper submitted and the proposed research results to be presented at the conference.

Candidates will be required to submit an application that includes a copy of their paid registration and of the research paper submitted to the Northern Finance Association Conference or other qualified conference in North America. Applications will be reviewed after the acceptance date for the Northern Finance Association conference as this conference will be given priority for funding. If no students are presenting at the Northern Finance Association conference or no travel award is granted to a student to attend the Northern Finance Association conference, then subsequent calls for award applications for other finance conferences will be made and reviewed following the acceptance dates until all awards for the year are granted.

The selection committee will have the discretion to determine the number and value of awards offered based on the available funds and the strength of the applications received, with the *proviso* that the minimum value will be \$450. Selection of the recipient will be based on the quality of the paper submitted and proposed research to be presented and the potential value of the conference to the student's development. In any year that there are no qualified candidates, the award may not be offered.

To receive a disbursement from the award fund, the award recipient must submit receipts for travel, registration, hotel and/or food expenses (based on current UM *per diem* rates). Expenses will be reimbursed up to the maximum value of the recipient's award. Funding must be used by the recipient prior to graduating.

The Dean of the Faculty of Graduate Studies (or designate) will ask the Dean, I.H. Asper School of Business (or designate) to name the selection committee for this award.

The Board of Governors of the University of Manitoba has the right to modify the terms of this award if, because of changed conditions, it becomes necessary to do so. Such modification shall conform as closely as possible to the expressed intention of the donor in establishing the award.

### **Sharon Greening Memorial Bursary**

A fund has been established in memory of Sharon Greening (B.A./78, P.B. Cert. Ed./92) at The Winnipeg Foundation. The fund has been established by Mr. James Gibbs, family and friends to honour Sharon's passion and support for theatre in Manitoba. Each year, The Winnipeg Foundation will report the available earnings from the fund to Financial Aid and Awards at the University of Manitoba. The available earnings from the fund will be used to offer one bursary to an undergraduate student who:

- (1) is enrolled full-time in the Faculty of Arts, in the third or fourth year of an Advanced Drama Major in Theatre or Film Studies, including any combined Advanced Major program with at least one element being Drama (Theatre) or Film Studies;
- (2) has achieved a minimum degree grade point average of 2.5;
- (3) has demonstrated financial need on the standard University of Manitoba bursary application form.

The selection committee will be named by the Head of the Department of English, Film and Theatre (or designate).

## **University of Manitoba Undergraduate Research Awards**

The Office of the Vice-President (Research and International) at the University of Manitoba offers up to eighty (80) 16-week research awards, valued at \$6,000 each. The awards will initially be offered for the 2012 summer term and may be offered annually thereafter pending the availability of funding. Each year, the Office of the Vice-President (Research and International) will contact Financial Aid and Awards to indicate whether the Awards are available.

The awards will be offered to University of Manitoba undergraduate students who:

- (1) have completed at least one year of full-time study (minimum 24 credit hours) and, at the time of application, are enrolled in any faculty or school;
- (2) have achieved a minimum degree cumulative grade point average of 3.0;
- (3) have, as determined by the selection committee, demonstrated an interest in research through their application for this award and have provided a strong rationale for completing summer research supervised by a University of Manitoba faculty member;
- (4) will be engaged full-time in research, scholarly work or other creative activity during the tenure of the award.

Candidates must submit an application that includes: (a) two written summaries (150 words each) of interviews that they have conducted with two professors at the University to find out more about their areas of research, scholarly work, or creative activity; (b) a written rationale (250 words) indicating which of the two researchers they wish to work with, including a discussion of how the professor's research, scholarly work or creative activity fits with their own long-term career aspirations; (c) a current copy of their transcript (unofficial, printed from Aurora Student); and (d) a completed application form for the Vice-President (Research and International) Undergraduate Research Awards.

Recipients will be required to present the results of their research at the annual Undergraduate Student Research Poster Competition.

The University of Manitoba Undergraduate Research Awards may not be held with the Natural Sciences and Engineering Research Council of Canada Undergraduate Summer Research Award (NSERC USRA) or other similar awards. In the event that no qualified applications are received, the Award may not be offered.

The selection committee will be named by the Vice-President (Research and International), who will also serve as chair.

## **2. AMENDMENTS**

### **Wesley & Lila Goodspeed Bursary in Entrepreneurship**

The following amendments have been made to the terms of reference for the Wesley & Lila Goodspeed Bursary in Entrepreneurship:

- The name of the award has been changed to *Wesley & Lila Goodspeed Bursary*.
- The terms were amended to make this bursary available to a larger pool of students. Instead of being required to declare a major in Entrepreneurship/Small Business, preference will be given to these students. This allows students who are not majoring in this area to also apply for this bursary.



**AGENDA ITEM:** Proposal to establish the Centre for Human Rights Research

**RECOMMENDED RESOLUTION:**

**THAT the Board of Governors approve the establishment of the Centre for Human Rights Research for a period of five years beginning April 1, 2012 [as recommended by Senate April 4, 2012].**

**Action Requested:**  Approval  Discussion/Advice  Information

**CONTEXT AND BACKGROUND:**

The establishment of research centres and institutes at the University is governed by the Policy on Research Centres and Institutes. The policy states that “a research centre/institute is a formally structured organizational unit of the University. It is established under the authority of the Board of Governors, normally on the recommendation of the Senate of the University. The purpose of a research centre/institute is to focus and sustain research in specific areas and to encourage research collaborations among disciplines and between Departments, Faculties and Schools. Research centres/institutes also provide unique training opportunities for students and serve as a valuable information source for the community at large.

Research centres/institutes normally provide for the strengthening, coordination or facilitation of research scholarly activities not readily undertaken within the University’s department structure, building upon the expertise, competence and staff interest existing at the University.”

Senate recently approved and recommended that the Board formally approve the establishment of a Centre for Human Rights Research.

The proposed Centre for Human Rights Research is an inter-faculty initiative of the Faculties of Arts, Law, Education and Social Work which has a mission to, “...bring together people and organizations – both within and outside the University of Manitoba – to enhance interdisciplinary and collaborative research capacity, create richer training opportunities for students, and facilitate the fusion of research-driven knowledge, public policy and intellectual debate on issues related to human rights and justice.”

The Centre has already undertaken a number of high profile activities, including: organizing several seminars in the Critical Conversations seminar series; assisting with the preparation of the University’s bid to house the Truth and Reconciliation Commission archives in a new National Aboriginal Research Centre at the University; negotiating an MOU between the University and the Canadian Museum for Human Rights to advance human rights education and research; co-sponsoring the Strangers in New Homelands Conference (November 2011); hosting a national research roundtable on assisted human reproduction (February 2012); and developing a research consortium on clean drinking water and sanitation as a human right, in collaboration with the Assembly of Manitoba Chiefs and Manitoba Keewatinowi Okimakanak.

**RESOURCE REQUIREMENTS:**

Resources would be required for salaries and benefits for a full-time manager, a 0.5 time office assistant, student research assistants, to cover the director's teaching release (3 credit hours), and for travel and operating expenses. The Centre's budget for the current fiscal year is \$159,747. In the fifth year, the Centre would require approximately \$250,000 to operate, taking into account incremental costs.

The Centre has received seed money from the Academic Enhancement Fund to create infrastructure, support planning, and begin programming. The Faculty of Law has also provided in kind funding.

Resources will be sought or derived from the following sources:

- in each year (for the period 2012-2017), the deans of the Faculties of Arts, Law, Education and Social Work will jointly request funds available through the Strategic Resource Planning process, which is available to support collaborative research initiatives in the social sciences (law, arts, business) and in the area of human development (social work, education, extended education). The faculties will submit an annual request for \$161,148 prior to the establishment of an endowment fund (see below) and \$99,000 annually once the endowment fund is in place.
- in the event that the funding requests are not granted or are granted only in part, the participating faculties have indicated a willingness to reconsider their own budgets to attempt to make up any shortfalls;
- research grants;
- annual revenue of \$100,000 from an endowment fund (\$2 M) to be identified as a fund-raising priority by each of the four faculties for the next capital campaign;
- the Faculty of Law would continue to provide in kind funding (including, office and meeting space, office supplies and equipment, accounting, technical, graphic design support), for at least three additional years.

#### **CONNECTION TO THE UNIVERSITY PLANNING FRAMEWORK:**

The mission and objectives of the proposed Centre for Human Rights Research are directly relevant to the University priority for academic enhancement in the area of human rights, as identified in the Strategic Planning Framework approved by Senate, June 24, 2009.

#### **IMPLICATIONS:**

The Centre would support the more than 175 researchers at the University engaged in human rights research by providing opportunities to engage in a collaborative and interdisciplinary research network that would increase the University's reputation and visibility in this area generally and in several focus areas identified for the Centre: truth and reconciliation on Indian residential schools, water as a human right, reproductive and sexual rights, documenting human rights, immigration and international human rights, and rights in sentencing and incarceration.

#### **ALTERNATIVES:**

N/A

#### **CONSULTATION:**

This proposal is forwarded to the Board of Governors by Senate following consideration by the Senate Committee on University Research, the Senate Planning and Priorities Committee, and the Senate Executive.



## Board of Governors Submission

### Routing to the Board of Governors:

<u>Reviewed</u>	<u>Recommended</u>	<u>By</u>	<u>Date</u>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Senate Committee on University Research	December 15, 2011
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Senate Planning and Priorities Committee	January 23, 2012
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Senate Executive	March 21, 2012
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Senate	April 4, 2012
<input type="checkbox"/>	<input type="checkbox"/>		

**Submission prepared by:** Senate

**Submission approved by:** University Secretary

### Attachments

- Proposal to Establish the Centre for Human Rights Research
- Report of the Senate Committee on University Research: Centre for Human Rights Research [dated December 15, 2011]
- Report of the Senate Committee on Planning and Priorities on a Proposal to Establish the Centre for Human Rights Research [dated January 23, 2012]

PROPOSAL TO ESTABLISH A  
**CENTRE FOR HUMAN RIGHTS RESEARCH  
AT U OF M**



Centre for Human Rights Research Initiative

*For presentation to Senate Committee on University Research, September 2011*

**UM Strategic Priority #1:** “Enhancing academic offerings by focusing on issues relevant to our world today, such as food safety, public health and human rights, while remaining true to our core teaching and research strengths.”

Human rights are one of six strategic foci identified by the university, building on existing areas of excellence and offering all departments and faculties the opportunity to participate. “With national and international attention focused on the development of the Canadian Museum for Human Rights in Winnipeg, the University of Manitoba is well-placed to encourage debate and discussion around the understanding of human rights, peace and justice and respect for others.”

**New Centre’s Mission:** The Centre for Human Rights Research will bring together people and organizations – both within and outside the University of Manitoba – to enhance interdisciplinary and collaborative research capacity, create richer training opportunities for students, and facilitate the fusion of research-driven knowledge, public policy and intellectual debate on issues related to human rights and social justice.

**Values:** Integrity, rigor, accessibility.

**Objective:** CHRR members will be recognized for excellence and leadership in human rights and social justice research nationally and internationally and the CHRR will help the U of M to achieve its strategic objective of being the institution of choice for scholars and students interested in human rights. More specific objectives are detailed in the chart below.

**Background:** Prof. Karen Busby (Law) was asked by the University’s human rights steering committee in 2009 to lead efforts to implement the university’s strategic objectives related to human rights, including possible development of a human rights centre. Members of that committee included VPs Joanne Keselman and Digvir Jayas, along with President Barnard’s executive assistant and four deans. The inter-faculty Centre for Human Rights Research Initiative supported by the deans of Arts, Law, Social Work and Education has received seed money from the Academic Enhancement Fund to create infrastructure, support planning and begin programming.

### Major startup activities:

- Website creation: [chrr.info](http://chrr.info)
- Seminar series Sept. 2010-March 2011: Critical Conversations on Indian Residential Schools, Truth and Reconciliation (13 seminars, plus student research paper presentations).
- Assisted Truth and Reconciliation Commission with Winnipeg public gathering June 16-19, 2010, including hosting a Prairie Perspectives conference at The Forks, attended by about 300 people, and staffing a legal question-and-answer table where Aboriginal law fact sheets were distributed.
- Pulled together team to prepare U of M's bid to house TRC archives in a new National Aboriginal Research Centre (NRC) on the Fort Garry campus.
- Research daylong roundtable May 2010: Unfinished Business: Human Rights issues in the 21<sup>st</sup> Century. (17 presenters, including chief knowledge officer of Canadian Museum for Human Rights.)
- Organizational and communications support for symposium on Aboriginal sentencing.
- Collaborated on public opinion poll with Trudeau Foundation and assisted with the foundation's Equality in Dignity conference.
- Negotiated memorandum of understanding between U of M and the Canadian Museum for Human Rights "to advance human rights education and research, and to empower people to change thought and take action for human rights." (Signed May 30, 2011, by President Barnard)

### Activities this fiscal year:

- Seminar series Critical Conversations: The Idea of a Human Rights Museum during academic year 2011-12, with input from Canadian Museum for Human Rights (CMHR). (Thirty people are presenting: five CMHR staff, 16 Arts faculty and nine faculty from other units, including the dean of Architecture and the director of the School of Art. See our website for the schedule.)
- Co-sponsored Strangers in New Homelands conference on positioning the rights of immigrants and refugees into the human rights agenda around the world. (November 2011)
- Developed research consortium on clean drinking water and sanitation as a human right, in collaboration with the Assembly of Manitoba Chiefs and Manitoba Keewatinowi Okimakanak. Three teams involving more than two dozen professors are planning research on health, water quality, economic, legal and advocacy issues. Funding applications for a total of \$275,000 were submitted to SSHRC, CIHR and Health Canada in fall 2011. (With matching funds from various sources, the total value of these projects is up to \$683,000.)
- Supporting the TRC/NRC ad hoc bid committee. Submission due Feb. 16, 2012.
- Hosting a national research roundtable on assisted human reproduction in Feb. 2012.
- Launched human rights speaker's bureau. Two dozen students have volunteered and we have almost 20 requests from high schools for presentations. (Brochure available on our website.)
- One of our research assistants was recently selected for a national award based on her work on CHRR-related projects.

### **Scope of future activities:**

- Organize or co-sponsor academic seminars, symposiums, workshops, summer institutes and conferences related to human rights, with local, national and international participation by scholars, governments, non-government organizations and the public.
- Facilitate interdisciplinary collaborative research projects on human rights, led by U of M researchers from a wide range of faculties. These will provide research assistant opportunities for both graduate and undergraduate students.
- Co-ordinate communication among human rights researchers across all U of M campuses through a website and email list.
- Training for graduate and law students in research skills through an interdisciplinary course on a human rights theme. The theme will change each year.
- Develop partnerships with non-governmental organizations devoted to human rights, including Winnipeg's new Canadian Museum for Human Rights and the Truth and Reconciliation Commission of Canada.
- Facilitate internships for U of M students with human rights organizations.
- Sponsor visiting human rights scholars.
- Organize a speakers' bureau to provide U of M students with the opportunity to educate high-school students on human rights issues.
- Provide administrative support for other U of M human rights projects.
- Match human rights media requests to U of M experts with the appropriate expertise.
- Produce reports and books on human rights research.
- Sponsor awards and small grants for human rights research, depending on funding availability.

### **Research Benefits:**

U of M already has more than 175 researchers engaged in work related to human rights. Most of them didn't know each other or what potential there might be for collaboration. The CHRR has begun bringing researchers from different faculties together through symposia, brainstorming sessions, a website and an email list, generating ideas for joint projects, including on water as a human right and Indian Residential Schools Truth and Reconciliation.

We offer administrative support for larger-scale research projects involving multiple faculties and community partners that individual departments do not have the resources to manage.

At the initiation of the CHRR, researchers from nine diverse faculties, including Medicine and Agriculture, started meeting in June 2011 to form a research consortium related to water as a human right. Potential funders include CIHR, SSHRC, Health Canada and perhaps NSERC and a private foundation. The work has expanded to include about 35 researchers from four universities, First Nations, advocacy and philanthropic groups.

The CHRR will offer support and build collaborative networks to help university researchers collectively attract more research grants of higher dollar values and greater public impact than they could on their own.

As a journalist, our manager has the Knowledge Translation expertise to increase the public profile of the university's human rights researchers. This will help improve the university's reputation in this field – just as Winnipeg becomes internationally famous for human rights with the opening of the museum – and attract the best graduate students, who will generate further

creative research ideas. Increased visibility should also attract the support of private foundations with an interest in funding human rights research.

### **Research Focus and Plans:**

The CHRR has identified preliminary focus areas for large-scale, inter-disciplinary human rights research, reflecting the expertise of the director, other U of M researchers and community partners, as well as the university's priorities. They are:

- Truth and reconciliation on Indian residential schools: We continue to co-ordinate the bid committee preparing to submit a proposal to house Truth and Reconciliation Commission archives and build a related National Research Centre. Where resources permit, we are supporting other TRC-related research, including a workshop proposal by Dr. Andrew Woolford on colonial genocide in North America.
- Water as a human right: In fall 2012, we submitted the first three grant funding applications related to our water rights research consortium. It is focusing primarily on drinking water and sewage issues in Manitoba First Nations. Dr. Katherine Starzyk (psychology) applied for a three-year Partnership Development Grant for a multidisciplinary project that includes U of M professors of economics, film, law, marketing, psychology, sociology and social work. Dr. Brenda Elias (community health) applied to CIHR to hold a symposium in May 2012 on health aspects of the issue. Dr. Annemieke Farenhorst (soil science) applied to Health Canada to train students in Sapotaweyak Cree Nation to test drinking water for contaminants. The northern chiefs have passed a resolution in support of this research partnership.
- Reproductive and sexual rights: The CHRR and *Canadian Journal of Women and the Law* are hosting a national research roundtable in on assisted human reproduction law in February 2012. We believe this area of research has the potential to expand to larger inter-disciplinary research projects. Sexual and reproductive rights are among the academic director's areas of expertise.
- Documenting human rights: Through the Critical Conversations series on *The Idea of a Human Rights Museum*, we have started to connect researchers who want to work on how to convey messages about human rights abuses. This area also had the potential to expand into other projects such as research about documentary film practices, archives acquisition and maintenance, creative writing, and art exhibits.
- Immigration and international human rights: we co-sponsored with Social Work the November 2011 Strangers in New Homelands conference to highlight the work of U of M and international scholars. We are compiling a report on feedback from conference participants on how the annual conference could be improved in future years.
- Rights in sentencing and incarceration: We are supporting the work of Prof. Debra Parkes (Law) in relation to Aboriginal sentencing and helped organize a November 2012 visiting lecture on alternatives to prison expansion.

In our first year, we laid the groundwork for TRC/IRS work and this year our focus has been on water rights. We are exploring our focus in the other three areas. For example, once the new CRC in Human Rights and Social Justice is announced, we will determine whether the CHRR can assist the appointee with his or her research agenda. While researchers are increasingly approaching us to support or endorse their projects, we do not have the resources to accommodate all such requests. We will give preference to research related to our focus areas and we will expect grant applications to include partial cost recovery for our staff time.

The focus areas will be reviewed over the years to remain current.

## Founding Academic Director

Prof. Busby joined the Faculty of Law in 1988 after practicing law for a few years and then receiving her Master of Law degree at Columbia University. She has been a full professor since 2000.

Busby researches laws related to sex, sexuality and violence. Her current research is on human rights laws affecting lesbian, gay, bi-sexual and trans-identified (LGBT) people; surrogacy contracts; and child protection laws. She was an active participant in law reform efforts directed at recognition of same-sex relationships and has worked on challenges to laws on bawdy houses/indecency, age of consent and gender identity. She has worked on numerous research projects on gendered violence, including sexual assault, girls involved in prostitution, sexual expression, and the implementation of civil domestic violence legislation. Prof. Busby appeared as counsel in the Supreme Court of Canada in the Little Sisters case about the discriminatory treatment of LGBT bookstores by Canada Customs. She teaches constitutional law, administrative law and gender and the law and is a frequently quoted media commentator.

Prof. Busby was a member the Women's Legal Education and Action Fund (LEAF) national legal committee from 1992-1997 and she remains on the LEAF Assisted Human Reproduction sub-committee. She was on the board of Egale Canada (2003-08), a national organization representing LGBT folks. Prof. Busby has been on the review panel established under Manitoba's Vulnerable Persons Living With Mental Disabilities Act since 2001. She served on the board of governors of the Winnipeg Art Gallery from 2000-2009.

Prof. Busby has received numerous awards recognizing her human rights work, including a YWCA Women of Distinction award, and awards from the Manitoba and Canadian bar associations. In July 2011, she was inducted into the Canadian Q (Queer) Hall of Fame.

Her research within the last five years has been funded by the Manitoba Law Reform Commission, the U of M's Legal Research Institute and a U of M major outreach award. Within the last five years, she has published two journal articles, two book chapters, a legal report and the *Manitoba Queen's Bench Rules Annotated* (softcover version in 2008 and 2010 and three supplements each year for the loose-leaf version.)

Prof. Busby was a long-time member of RESOLVE's board and steering committee and worked on two of RESOLVE's multi-million-dollar research grants, on child prostitution and domestic violence.

Objectives/Goals	Startup activities April 10-Mar 11	Current year activities 2011-2012	Year 3 activities 2012-2013	Year 4-5 activities 2013-2015
<p><b>Raise the profile of U of M human rights and social justice researchers and encourage public engagement through events and website.</b></p>	<ul style="list-style-type: none"> <li>*Unfinished Business conference (May 2010)</li> <li>*Prairie Perspectives conference (June 2010)</li> <li>*Website operational (August 2010)</li> <li>*Trudeau Foundation conference (Nov 2010)</li> <li>*Critical Conversations on Truth and Reconciliation and Indian Residential Schools [CC on TRC] (Sep-Mar 2011)</li> <li>* Implementing <i>Gladue</i> Aboriginal sentencing conference (March 2011)</li> </ul>	<ul style="list-style-type: none"> <li>*Website review</li> <li>*Critical Conversations: The Idea of a Human Rights Museum, including podcasts [museum CC]</li> <li>*Strangers in new Homelands conference</li> <li>*Supporting workshops on Aboriginal sentencing</li> <li>*Hosting assisted human reproduction roundtable. (Feb. 2012)</li> <li>*Research and media work by centre director on sexual assault law and sexual minorities</li> <li>*Visited FNs re water rights.</li> </ul>	<ul style="list-style-type: none"> <li>*Indigenous Bar Association annual meeting, on water rights? (Oct. 2012)</li> <li>*CC on water rights.</li> </ul>	<ul style="list-style-type: none"> <li>*Host Intl Assn Genocide scholars conference July 2013?</li> </ul>
<p><b>Develop community partnerships (e.g., Canadian Museum for Human Rights, Truth and Reconciliation Commission of Canada, Trudeau Foundation).</b></p>	<ul style="list-style-type: none"> <li>*Prairie Perspectives conference</li> <li>*Q&amp;A table at TRC event</li> <li>*Aboriginal Law fact sheets and video</li> <li>*Unfinished Business conference, with CMHR staff</li> <li>*Trudeau oak conference</li> </ul>	<ul style="list-style-type: none"> <li>*Professional affiliate agreements with CMHR research staff</li> <li>*MOU with museum (May 2011)</li> <li>*Working with AMC/MKO on water rights.</li> <li>*Working on TRC internships</li> </ul>	<ul style="list-style-type: none"> <li>*Support the NRC launch (if bid successful)</li> <li>*Water Rights</li> </ul>	<ul style="list-style-type: none"> <li>*Support the NRC launch</li> </ul>

<b>Foster conditions for the development of internal, inter-disciplinary relationships.</b>	*Email list to announce events *CC on TRC	*Museum CC *Researcher of the Week on website *Regular contact with grants facilitators	*CC on water rights *Researcher of the Week	
<b>Facilitate collaborative research projects.</b>	*Admin support for the Canadian Journal of Human Rights. *Miscarriages of justice project.	*Water rights consortium. *Support the NRC bid committee *Workshop on working with First Nations research partners.	*Support TRC research projects *Water rights.	
<b>Sponsor visiting scholars.</b>	*Penelope Andrews, Jennifer Llewellyn, Joanna Quinn, Nadine Changefoot (CC on TRC series) *Contribution to Architecture conference for CC-related speaker.	*Speakers related to museum CC		
<b>Develop programs and opportunities that enhance students' academic experience (e.g., research positions, speakers' bureau, internships).</b>	*Hired a research assistant for 6 weeks—website, Aboriginal Law fact sheets and videos; PP conference support. *First CC cohort students finished.	*Preliminary work on internships *research assistants summer 2011 (Gladue and TRC) *Museum CC cohort development *Support for national political science student conference. *Set up speakers' bureau	*Support grant applications that include research assistants	

<p><b>Develop and execute a fundraising plan.</b></p>	<p>*Academic Enhancement Fund 2010-12 major outreach grant. *Faculty of Law support *Major outreach award for fact sheets and videos *Arts contributed to Prairie Perspectives conf.</p>	<p>*Bridge funding from VP Academic. *Seek commitments from faculty clusters. *Start discussions with U of M development office on new endowment fund. *Applications to SSHRC, CIHR and Health Canada for water project funding.</p>	<p>*Assist with endowment fundraising</p>	<p>*Assist with endowment fundraising.</p>
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## CONSTITUTION

The Centre for Human Rights Research is an inter-faculty research centre within the University of Manitoba. The academic director reports to an Associate Vice-President (Research).

### Staff:

**Academic Director:** this position is held by a full-time faculty member with an established reputation in the field of human rights research. The director is appointed by a Vice-President (Research), with advice from the CHRR advisory board and from CHRR members. The term of the director is normally five years, renewable.

The responsibilities of the director include but are not limited to:

- ensuring the general objectives of the centre are met;
- conducting and facilitating research in the field of human rights;
- generating research proposals;
- providing assistance to other faculty in the generation of research proposals;
- ensuring the organization of conferences, seminars, lectures, etc.;
- liaising with university departments and centres;
- supervising CHRR staff and research assistants; and
- administering and allocating centre funds.

**Manager:** former *Free Press* journalist Helen Fallding (first contract expires Feb. 2012). The duties of the manager (outreach and research) include but are not limited to:

- assisting the academic director in meeting the objectives of the centre;
- developing and maintaining relationships with community partners and funders;
- identifying research funding opportunities and assisting with grant applications and reporting;
- public relations, including website maintenance and writing annual report;

- organization of conferences and other events;
- editing CHRR publications;
- supervision of office assistant; and
- developing speaker's bureau and student internships.

Office Assistant: (half time)

The duties of the office assistant include but are not limited to:

- event planning, including registration, catering, room reservations, brochure printing and travel arrangements for speakers;
- website updates;
- general administrative duties.

### **Advisory board:**

The board advises the director on matters relating to policy for the centre.

The deans of Arts, Law, Social Work and Education each appoint two members.

The associate VP Research and the academic director of the CHRR are also members.

Community members and representatives of other faculties will be brought onto the board as specific projects warrant or as the centre expands. (We are waiting until we have official centre status to expand beyond our four founding faculties.) New faculty representatives will be appointed by the appropriate dean and community members will be appointed by the Associate VP Research, on the advice of the director and in consultation with the board. The board currently has nine members and will have a maximum of 18.

The term of tenure for individual board members is three years, for no more than two consecutive terms. Responsibilities of the board include, but are not limited to:

- providing ongoing advice and guidance to the director;
- liaison with individual faculties and the community;
- making recommendations concerning research focus;
- providing advice on centre memberships; and
- establishing working committees as required.

Current advisory board members:

Academic director Prof. Karen Busby (Law)

Dr. Janice Ristock, Assoc. VP Research

Dr. Lorna Turnbull (Dean of Law)

Dr. Rob Hoppa (Arts Assoc. Dean of Research)

Dr. Jennifer Schulz (Law Assoc. Dean of Research)

Dr. Liz Millward (Women's and Gender Studies Co-ordinator)

Dr. Jerome Cranston (Education)

Dr. Nathalie Piquemal (Education)

Dr. Maria Cheung (Social Work)

Dr. Eveline Milliken (Social Work)

### **Members:**

CHRR members will be individuals with a solid reputation in human rights research and PhD or equivalent qualifications who desire a formal affiliation with the Centre for Human Rights Research to facilitate collaborative research or the centre's other activities. Centre membership is also open to members of the advisory board. There is no salary associated with the

appointment. Two categories will be recognized:

- Research affiliates are individuals with full-time academic appointments at the University of Manitoba whose research is primarily focused on human rights, or individuals with a national or international reputation for human rights research who hold appointments at other universities.
- Professional affiliates are primarily employed by a non-university organization devoted to human rights. They will engage in collaborative research with CHRR and/or assist with CHRR events.

Decisions on accepting members will be made by the director, with advice from the advisory committee.

Members will have access to administrative and communications assistance from CHRR staff, as resources permit. They are expected to contribute to research and outreach activities supported by the CHRR, including:

- performing multidisciplinary and collaborative human rights research of benefit to the community or for peer-reviewed publication;
- participating in seminar series, consultations, roundtables and other research dissemination events;
- fostering links with other human rights researchers within and outside U of M; and
- supervising graduate and undergraduate students participating in CHRR research.

Members are expected to name the CHRR in work that benefited from the CHRR's resources and to build into grant applications, wherever possible, a financial contribution toward CHRR's operations.

While the Centre was started by four faculties, we are already drawing in researchers from five other faculties. The proposed **founding members** are actively involved in CHRR's water rights and other projects. *Curriculum vitae are attached as an appendix.*

Faculty of Arts: (research affiliates)

- **Dr. Janice Ristock** is associate vice-president (research) and professor of women's and gender studies. Her scholarly work reflects an overarching focus on community mental health and social justice. Her research is in three intersecting areas: gender and sexuality; interpersonal violence; and HIV/AIDS and stigma. She has gained international recognition for her research on violence in same-sex relationships and on community-based research methodologies.
- Sociologist **Dr. Andrew Woolford** has an ongoing interest in genocide studies, including in relation to Canadian Aboriginal Peoples. He also works on restorative justice and conflict resolution. Woolford's most recent SSHRC-sponsored project examines the extent to which the neoliberal policy shift has affected the rights and social justice opportunities of marginalized inner-city residents.
- Psychologist **Dr. Katherine Starzyk**'s research focuses on determining the factors that lead people to support reparations for historical intergroup harms. She has recently submitted a SSHRC funding application for a multidisciplinary project on water as a human right, to be administered by CHRR.
- Political scientist **Dr. Kiera Ladner** holds the Canada Research Chair in Indigenous politics and governance. Her research project on constitutional reconciliation examines the potential for political reconciliation between Indigenous nations and the settler state, given the long history of injustice, discrimination, oppression, domination, regime

replacement and the (attempted) destruction of nations. Dr. Ladner is also developing Mamawipawin – a space for community-based research with Indigenous Peoples.

- **Dr. Adam Muller** is preoccupied with rights issues arising from the sometime violent collisions of moral, aesthetic, political, and strategic discourses in works of art, especially works of narrative fiction and film. His work seeks to generate insights into the moral and other indignities accompanying suffering, spectatorial ethics (i.e. with the moral dimension of witnessing atrocity), historical truth claims, and representations of the defence and diminishment of human freedom. His scholarship is deeply implicated in a wider set of juridical, historical, moral-philosophical, and popular conversations about the universality of human rights.
- Sociologist **Dr. Chris Powell** focuses his research program on three intertwined threads of inquiry. The first is a historical sociology of genocide, focusing on the ways in which genocide has resulted from the expansion of Western civilization, overtly through imperialist conquest, and latently through the non-intentional structural consequences of state-formation. The second is a meta-theoretical examination of concepts of social structure, especially complex system theory and relational sociology. The third is a critical sociology of knowledge that traces out how truths are socially established through power struggles.
- The head of Native Studies, **Dr. Renate Eigenbrod**, studies Aboriginal literatures in Canada. She is also a member of the Manitoba Aboriginal Justice and Equality Coalition.
- **Dr. Myroslav Shkandrij** researches cultural politics in the former Soviet Union, in particular the situation of Ukrainians and Jews. He has focused on government policies toward Ukrainians and Jews, and the depiction of these two peoples in literature and the arts. Dr. Shkandrij has also worked on the issues raised by the Famine of 1932-33, also called the Holodomor.
- **Dr. Catherine Chatterley** is a modern European intellectual historian with a specialization in the Holocaust and anti-Semitism. She teaches courses in these subjects for the University of Manitoba and directs the Canadian Institute for the Study of Antisemitism, which she founded in 2010. Chatterley has just completed a two-year SSHRC postdoctoral fellowship and is completing a manuscript of her second book, *A History of the Antisemitic Imagination*.
- **Dr. Stephan Jaeger**, acting head of German and Slavic Studies, studies representations of war, especially historiographical representations in German and European literature, film, historiography and museums. He asks how moral/legal definitions of war and human rights relate to war's representation.
- Economist **Dr. Umut Oguzoglu**'s research interests are in labour economics, health economics, Aboriginal economic development, economic growth, panel data econometrics and applied econometrics. He is currently working on a research project that examines income determinants in First Nations Reserves. He has published papers on the financial protection of health insurance, disability, income support stigma and retirement incentives.

Faculty of Law: (research affiliates)

- **Dr. Donn Short**'s primary research interest is safe schools, with a focus on homophobic and transphobic bullying. He is the recipient of a research fellowship from the Law Foundation of British Columbia, as well as a Social Sciences and Humanities Research Council standard research grant. Dr. Short is committed to the rights of artists and is a member of PEN Canada and the Playwrights Guild of Canada. At Robson Hall, he founded the group Outlaws and he is the founding editor of the *Canadian Journal of*

*Human Rights.*

- **Prof. Mary Shariff** is an assistant professor of law and also teaches at the University of Manitoba's Natural Resources Institute. Her diverse research interests include bioethics and law; law of contracts; natural resources law; biogerontology, aging and the law; and assisted death and palliative care. Prof. Shariff is leading a research group for CHRR on legal issues related to water as a human right.
- **Dr. Gerald Heckman's** research interests include administrative and constitutional law, human rights law and refugee law. His recent publications have focused on the influence of international human rights norms on states' domestic legal systems. His dissertation focused on the gap between procedural rights guaranteed to refugee claimants by international human rights treaties and the domestic procedural protections provided claimants under the Canadian, American and Australian systems for refugee protection decision making.
- **Prof. Darcy MacPherson's** research is diverse, covering areas such as corporate law, corporate criminality and disability rights. His service work is largely in the area of human rights, particularly as they relate to disability issues. He is both the president and chair of the board of the Canadian Centre on Disability Studies and chair of the steering committee of Inclusive Education for Children with Disabilities in Ukraine, a five-year, \$4.7-million project whose major funder is the Canadian International Development Agency.
- Associate Law dean **Lisa Fainstein** is past president of the Women's Legal Education and Action Fund (Manitoba) and chaired the children's rights committee of the Manitoba Association of Rights and Liberties. She teaches family law and property law and has published on equality issues in family law. She has also served on the boards of organizations devoted to environmental issues and women's safety.
- **Dr. Jennifer Schulz** is associate dean of research and graduate studies and an associate professor in the Faculty of Law. Her teaching and research interests include negotiation and mediation; law and film; and torts. Dr. Schulz is also the executive director of the Legal Research Institute and a member of CHRR's advisory board.

Faculty of Medicine: (research affiliates)

- **Dr. Brenda Elias** is co-director of the Manitoba First Nations Centre for Aboriginal Health Research and a CIHR New Investigator. Her research interests include gender health, social determinants, health info-structures, knowledge translation, Aboriginal health and research ethics. Dr. Elias is leading a working group for the CHRR on health issues related to water as a human right.
- **Dr. Linda Larcombe's** research is focused on the study of genetic, socio-cultural and environmental factors contributing to infectious disease susceptibility and resistance in Canadian Aboriginal populations. Trained in anthropology, her approach to infectious disease research is multidisciplinary and draws from medical anthropology, immunogenetics, immunology, ancient DNA, geographic information systems, land use studies, history and archaeology to gain new perspectives regarding disease susceptibility and resistance.
- **Dr. Pamela Orr** is a physician, teacher, administrator and researcher with expertise in Aboriginal and circumpolar health. Her current research focuses on the epidemiology of infectious diseases in Aboriginal populations, the social determinants of health, and health-care delivery. Dr. Orr is a consultant with the J.A. Hildes Northern Medical Unit and scientific editor of the *International Journal of Circumpolar Health*.

Faculty of Education: (research affiliates)

- **Dr. Jerome Cranston** uses critical perspectives to explore organizational structures and behaviours that act as blinders to social injustice in the education system.
- **Dr. Nathalie Piquemal's** research and teaching examine education from a human rights perspective. Her specialty is intercultural and international education, with a focus on issues of cultural discontinuities as experienced by minority students. Dr. Piquemal's areas of interest also include research ethics; immigration, language and culture; cultural and linguistic discontinuities; and Aboriginal education.
- **Dr. Marlene Atleo** co-ordinates the University of Manitoba's adult and post-secondary education program and teaches Aboriginal and cross-cultural education to teacher candidates. Her current focus is on understanding the development and delivery of diverse and non-traditional community programs for credentials in post-secondary education. She also works on human rights to socio-historical integrity in education, on capacity development and on heritage language rights for educational success of Aboriginal people.

Faculty of Social Work: (research affiliate)

- **Prof. Colin Bonnycastle** is director of the northern social work program in Thompson. His work addresses criminal and restoration justice; social policy and poverty. He has done or is involved in research in areas of homelessness, gendered violence, childcare, and women and economic restructuring in the North. He has also written in the areas of social work ethics, charity, religion and social welfare, and social justice.
- **Dr. Eveline Milliken** works in the inner-city Social Work ACCESS Program and the Aboriginal Child Welfare Initiative. Prof. Milliken's areas of interest include women's issues/feminist perspectives; cross-cultural communication; and cultural safety.

Faculty of Agricultural and Food Sciences: (research affiliate)

- **Dr. Annemieke Farenhorst** is the Prairie region NSERC Chair for Women in Science and Engineering, and is creating new opportunities for women to grow, develop, and provide leadership in the fields of science and engineering. Among these opportunities will be outreach programs for Aboriginal Peoples created in collaboration with the leaders of northern communities, including educational programs on water as a human right. Her research expertise includes strengthening policies, community-based programs and performance measures that help protect land and water resources in Canada and Central America.

Faculty of Nursing: (research affiliate)

- **Dr. Benita Cohen's** research program is focused on building public health capacity to address and reduce inequities in health using a social justice lens. Current research activities include developing indicators for public health organizational capacity for social justice and equity work.

Faculty of Environment, Earth and Resources: (research affiliate)

- **Dr. Shirley Thompson** is an associate professor at the Natural Resources Institute. Her research interests lie with food, housing and water security in northern Manitoba Aboriginal communities, where the sustainability and health disadvantage is most evident.

She has specialized in applied research, which included government, industry and Indigenous organizations. Recently, she and her students have produced participatory video documentaries, including *Harvesting Hope*, about food sovereignty in northern Manitoba.

Faculty of Graduate Studies: (research affiliate)

- **Dr. Nancy Hansen** is director of the interdisciplinary master's program in disability studies. Her University of Glasgow PhD thesis examined the impact of education and social policy on the employment experiences of women with physical disabilities and her post-doctoral research examined the access of women with disabilities to primary health care. Dr. Hansen's research interests include disability history (eugenics and bioethics), geography of disability, disabled women's issues, disabled people's access to primary health care and disability and the media.

Canadian Museum for Human Rights: (professional affiliate)

- **Tricia Logan** is museum researcher/curator and a PhD candidate at the Royal Holloway University of London. Her dissertation topic focuses on memory and history of residential schools in Canada. Logan also holds an MA in Native studies from the University of Manitoba. She has published articles on Métis health, Métis experiences at residential school, Métis scholarship and Truth and Reconciliation in Canada. She also sits on an advisory team developing ethical guidelines for Métis research.

### **Physical resources:**

The Faculty of Law has provided CHRR with startup assistance, including an office for the manager and a desk in the general law office for the office assistant. The faculty has also provided office supplies, telephones, computers, meeting rooms and accounting, technical and graphic design support. Law dean Lorna Turnbull confirms in her support letter that the faculty is prepared to continue this excellent level of support for at least the next three years.

Minor weaknesses: the CHRR director, manager and administrative assistant are in the same building, but their offices are not together in a cluster on the same floor. Filing and bookshelf space is limited.

We will explore the possibility of expanding into adjacent offices in the Faculty of Law's top floor that are officially designated for research but are currently serving other functions. This would consolidate our physical presence as a centre, with appropriate signage and display space.

**Current-year budget** (to March 31, 2012)

- Not including in-kind services

Salaries:

Manager (EMAPS Level 9)	\$85,000	
Office assistant (half time OA3)	\$18,824	
Director's teaching release (3 credit hours)	\$4,500	
Student research assistants (literature search, maintain website, podcast events, speaker's bureau, grant prep.)	\$8,500	
Benefits/levy	\$23,365	
Total salary and benefits		\$140,189
Travel (visitors and staff)		\$10,000
Printing, materials, advertising		\$9,558
Total expenses		\$159,747

Funding:

VP Academic	\$153,747
Arts	\$2,000*
Education	\$2,000*
Law endowment fund	\$2,000*
Total	\$159,747

\*for research assistants

**Proposed core 2012-13 budget** (adjusted for inflation, adding in-kind services)

- See attached spreadsheet for details

Salaries (as above, plus director stipend \$3,436):	\$149,148
Travel (visiting speakers, director's and manager's travel to human rights conferences and research centres)	\$10,000
Operating expenses	\$14,744
Grants to UM human rights researchers (small awards to facilitate early development of new collaborative ideas)	\$15,000
Total	\$188,892

Proposed funding sources:

Joint Application by Law, Arts, Social Work & Education through SRP process	\$161,158
In-kind from Faculty of Law	\$7,744
Estimated Tri-council and other research grant contributions to admin	\$20,000
Total	\$188,892

\*The deans of Arts, Education, IH Asper School of Business, Law, Social Work and the Rector of St. Paul's College have committed to make a joint application through the upcoming Strategic Resource Planning process for CHRR funding and, in the event of a shortfall after those allocations are made, to review their own faculty allocations for ways to support the CHRR. (See November 2011 letter from Law Dean Lorna Turnbull.) In the meantime, VP Academic Joanne Keselman has provided CHRR bridge funding of \$107,000 to ensure the manager's salary continues to be paid while funding proposals proceed.

2013-2014 budget: \$197,042 (adjusted for inflation and salary increments)

2014-2015 budget: \$205,752 (adjusted for inflation and salary increments)

### Sources of funds for specific research projects:

Our Water Rights Research Consortium has submitted applications to CIHR, SSHRC and Health Canada and may submit to NSERC and Justice Canada. If the SSHRC application is successful, that grant would cover about 6 per cent of CHRR staffing costs, but we expect the work to require about 20 per cent of staff time over three years.

The *Canadian Journal of Women and the Law* is co-sponsoring the assisted human reproduction roundtable.

We are also developing relationships with charitable foundations interested in human rights research and in our water project, and will make funding applications wherever possible.

### Long-term funding

We have already received one unsolicited call from a donor inquiring about how to make bequests to support the CHRR's work. CHRR and our four founding deans will approach the university development office to include fundraising for a \$2-million CHRR endowment fund in the next U of M capital campaign.

By the time that money is raised, we expect to have on our board representatives from more than the current four faculties.

Annual budget after endowment fund in place:

Through University SRP process supported by Multiple faculties.	\$99,000
In-kind	\$7,000
Estimated grant funds	\$24,000
Endowment interest:	\$100,000
TOTAL (adjusted for inflation)	\$230,000

Background: similar U of M research centres (RESOLVE Manitoba, the Centre on Aging and the Institute for the Humanities) collectively get about half their funding from the university budget and half from endowment funds.

**SENATE PLANNING AND PRIORITY COMMITTEE  
NEW PROGRAM APPROVAL PROCESS**

**FACULTIES: Arts, Education, IH Asper, Law, Social Work and St Paul's**

**PROGRAM: Centre for Human Rights Research**

	Funding Request	Funding Request	Funding Request	Total
<b>PROGRAM COSTS:</b>	<b>Year 1</b>	<b>Year 2</b>	<b>Year 3</b>	
<b>Direct Program Costs</b>				
Number of new academic positions (FTE)	0	0	0	0
Number of new administrative positions (FTE)	2	0	0	2
Academic Salaries (incl bpl)	9523	9751	9984	29258
Administrative (incl bpl)	130955	137909	145397	414262
Capital / One Time Expenses	0	0	0	0
<b>Subtotal Direct Program Costs</b>	<b>140478</b>	<b>147660</b>	<b>155381</b>	<b>443520</b>
<b>Indirect Program Costs</b>				
Operating (Appendix A)	39744	40539	41350	121633
Graduate/Undergraduate Support (Appendix B)	8670	8843	9020	26534
Admin Overhead (10% of Total Direct Program Cost)	14048	14766	15538	44352
<b>Subtotal Indirect Program Costs</b>	<b>62462</b>	<b>64148</b>	<b>65908</b>	<b>192518</b>
<b>Total Program Costs (Direct &amp; Indirect)</b>	<b>202940</b>	<b>211808</b>	<b>221290</b>	<b>636038</b>
<b>CURRENT RESOURCES:</b>				
<b>Program Revenue</b>				
Incremental Enrollment (headcount)	0	0	0	0
Tuition Fees - University % in Dollars	0	0	0	
Tuition Fees - Faculty % in Dollars	0	0	0	0
Other Revenue	20000	20400	20808	61208
<b>Subtotal Direct Program Revenue</b>	<b>20000</b>	<b>20400</b>	<b>20808</b>	<b>61208</b>
<b>Faculty In Kind</b>				
Academic Salaries				0
Administrative Salaries				0
Capital				0
Indirect Costs	21792	22228	22672	66692
<b>Subtotal Faculty In Kind Funding</b>	<b>21792</b>	<b>22228</b>	<b>22672</b>	<b>66692</b>
<b>Total Current Resources (Program Revenue &amp; Faculty In Kind)</b>	<b>41792</b>	<b>42628</b>	<b>43480</b>	<b>127900</b>
<b>New Program Funding Request:</b>				
Funding Request (Total Program Costs - Total Current Resources)	<b>161148</b>	<b>169180</b>	<b>177809</b>	<b>508138</b>

**SENATE PLANNING AND PRIORITY COMMITTEE  
NEW PROGRAM APPROVAL PROCESS**

**NOTES:**

- The source for all program funds requested on this form must be shown clearly in the program proposal document including the requirements to confirm funding prior to program approval.
  
- Where implementation of an approved program exceeds three years add additional pages to show subsequent annual funding changes until the steady-state funding year. Indicate that annual steady-state value as the final year.
  
- Funding request represents additional funding required for each year of the program implementation. Funding requests are incremental (show only the change in funding and full- time equivalent staff on an annual basis) and total annual (continuing).
  
- Please leave any areas in the budget sheet not applicable to your new program funding request blank. Fields with formulas are locked and cannot be altered.

**Program Costs:**

- Direct program costs (instructional and research) include salaries and fringe benefits of faculty, instructional support staff (e.g. laboratory assistants/technicians, etc.), administrative support staff and capital equipment. One time expenses should be accounted for in year 1.
  
- Indirect program costs include the operating expenses (complete Appendix A), Graduate and undergraduate support costs (Appendix B) will be calculated by the appropriate office to take into account the required funds to maintain the per capita support for awards and scholarships that exists prior to the implementation of the program. Administrative Overhead will automatically be calculated base on Direct Program Costs. See Appendix A tab for examples of indirect operating costs.

**Current Resources:**

- Program Revenue is determined based on the faculties tuition %. Please contact the University Budget Officer @ 474-8189 to determine the appropriate % for your faculty. The University tuition % is not included in the total direct program revenue.
  
- Not all new programs will result in additional tuition revenue. Tuition revenue should ONLY be included when the departmen/faculty is reasonably assured that there will be new students.
  
- Current faculty program funding is to be identified in Faculty in Kind. If the faculty does not require the 10% overhead automatically calculated in program costs enter the same amount in this section to zero it out.

APPENDIX A

SENATE PLANNING AND PRIORITY COMMITTEE  
NEW PROGRAM APPROVAL PROCESS

Indirect Program Costs

<u>Operating Expense Type</u>		<u>Year 1</u>	<u>Year 2</u>	<u>Year 3</u>
7001	Travel Academic	2000	2040	2081
7002	Travel Administration	2000	2040	2081
7003	Relocation		0	0
7004	Staff Recruitment		0	0
7040	Printing	5300	5406	5514
7041	Copying	750	765	780
7060	Office Supplies	600	612	624
7061	Lab Supplies		0	0
7062	Audio Visual Supplies	200	204	208
7066	Books and Subscriptions	200	204	208
7067	Other Supplies		0	0
7068	Computing Supplies	300	306	312
7082	Physical Plant Postage		0	0
7085	Departmental Communications	759	774	790
7100	Affiliated Personnel Costs		0	0
7101	Professional Development	1000	1020	1040
7102	Professional Memberships		0	0
7104	Other Services		0	0
7107	Advertising and Promotion		0	0
7109	Software Maintenance	3000	3060	3121
7180	Professional Fees		0	0
7261	Computer/Electronic Equipment	1800	1836	1873
7263	Capital Leases		0	0
7264	Other Equipment and Furnishings		0	0
7400	Equipment Repairs & Maintenance		0	0
7401	Building Repairs & Maintenance		0	0
7402	Equipment Rental		0	0
Other (Please Specify)			0	0
7103	Conferences/events	5000	5100	5202
7104	Other services	1835	1872	1909
	Grants and awards	15000	15300	15606
<b>Subtotal Operating</b>		<b>39744</b>	<b>40539</b>	<b>41350</b>

For more information on expense types:

[http://www.umanitoba.ca/computing/renewal/fm/media/Account\\_Definitions.htm](http://www.umanitoba.ca/computing/renewal/fm/media/Account_Definitions.htm)

**SENATE PLANNING AND PRIORITY COMMITTEE  
NEW PROGRAM APPROVAL PROCESS**

**Indirect Program Costs**

<u>Graduate / Undergraduate Support Expense</u>	<u>Year 1</u>	<u>Year 2</u>	<u>Year 3</u>
7700      Scholarships			
7710      Bursaries			
7720      Awards	8670	8843	9020
<b>Subtotal Operating</b>	<b>8670</b>	<b>8843</b>	<b>9020</b>

For more information on expense types:

[http://www.umanitoba.ca/computing/renewal/fm/media/Account\\_Definitions.htm](http://www.umanitoba.ca/computing/renewal/fm/media/Account_Definitions.htm)

Estimated In-Kind Contribution from The Faculty of Law

Estimated Amt 2011-2012	Operating Expense Type	
\$ 250.00	7041	Copying
\$ 100.00	7060	Office Supplies
\$ 759.00	7085	Departmental Communications
\$ 1,835.00	7104	Other Services
\$ 3,000.00	7109	Software Maintenance
\$ 1,800.00	7261	Computer/Electronic Equipment
<b>\$ 7,744.00</b>		
\$ 14,047.85	overhead	
\$ 21,791.85	TOTAL	

**Notes**

based on 5 cents per copy, however if they had to rent their own copier, a basic sorting, stapling, scanning copier costs about \$2400 per year plus toner.

estim for file folders, pens, hi-liters, p-clips, tape, staples post-its, correction tape etc

2 Telephones x monthly charges

Acctg support (\$650) and Communications support(\$1185)  
based on hours spent and their hourly rate



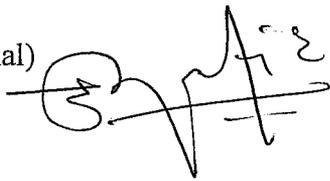
UNIVERSITY  
OF MANITOBA

Office of the Vice-President  
(Research and International)

207 Administration Building  
Winnipeg, MB  
Canada R3T 2N2  
Phone +204-474-6915  
Fax +204-474-7568

MEMORANDUM

TO: Mr. Jeff Leclerc, University Secretary

FROM: Digvir S. Jayas, Vice-President (Research and International)  
and Chair, Senate Committee on University Research 

DATE: December 15, 2011

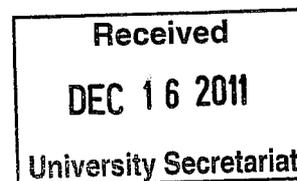
SUBJECT: Establishment of Research Centres and Institutes: Centre for Human Rights  
Research

Attached is the report on the review of the Centre for Human Rights Research conducted by the Senate Committee on University Research, according to the Policy on *Research Centres, Institutes, and Groups*.

Please include this report and recommendation on the next Senate agenda. Please feel free to contact me should you require any further information.

Thank you.

DSJ/nis  
Encl.



THE SENATE COMMITTEE ON UNIVERSITY RESEARCH  
REPORT ON THE REVIEW OF THE  
CENTRE FOR HUMAN RIGHTS RESEARCH

**Preamble:**

1. The Policy for *Research Centres, Institutes and Groups*, stipulates that all new research centres/institutes are to be reviewed by the Senate Committee on University Research (SCUR) prior to being transmitted to the Senate Planning and Priorities Committee and to Senate, in order to be established by the Board of Governors.
2. The Senate Committee on University Research established a review sub-committee for each new centre /institute proposed. In accordance with the Policy, the task of each sub-committee was to review the proposal to determine if the Centre/Institute should be established. The Committee was further charged with recommending to SCUR the establishment of the centre/institute.

**Observations:**

1. The review process followed section 2.1.2 Contents of proposals as stipulated in the Policy. The following was noted:
  - “The Centre for Human Rights Research will bring together people and organizations – both within and outside the University of Manitoba – to enhance interdisciplinary and collaborative research capacity, create richer training opportunities for students, and facilitate the fusion of research-driven knowledge, public policy and intellectual debate on issues related to human rights and social justice.”
  - A wide range of activities will be engaged in including:
    - i. Hosting academic seminars, symposiums, workshops, summer institutes and conferences
    - ii. Training for graduate and law students
    - iii. Developing partnerships with non-governmental organizations including Winnipeg’s new Canadian Museum for Human Rights and the Truth and Reconciliation Commission of Canada
    - iv. Producing reports and books
    - v. Sponsoring awards and grants
  - Research areas include:
    - i. Truth and reconciliation on Indian residential schools
    - ii. Water as a human right
    - iii. Reproductive and sexual rights
    - iv. Documenting human rights
    - v. Immigration and international human rights
    - vi. Rights in sentencing and incarceration
  - Management of Centre:
    - i. Academic director who reports to the Office of the Vice-President (Research and International) through the Association Vice-President (Research). Director is a full-time academic appointed by the Vice-President (Research and International) for a five-year renewable term.
    - ii. Advisory board – will be comprised of members appointed by the Deans of Arts, Law, Social Work, and Education. As well an Associate Vice-

President (Research) and the academic director are also members. There will be a minimum of 9 members and a maximum of 18 members. Community members may be appointed by the Associate Vice-President (Research) as projects warrant of the centre expands. Term for Board members is three years, for no more than two consecutive terms.

- Membership:
  - i. The Director will make decisions regarding members being accepted, with advise from the advisory committee.
  - ii. Research affiliates – full-time academics at the University of Manitoba or individuals with a national or international reputation for human rights research that hold appointments at other universities.
  - iii. Professional affiliates – employed by a non-university organization devoted to human rights.
- 2. The membership of the sub-committee was as follows:
  - Dr. Janice Ristock, Associate Vice-President (Research and International), Chair
  - Dr. Mostafa Fayek, Professor, Faculty of Environment, Earth and Resources
  - Dr. Gordon Fitzell, Associate Professor, Faculty of Music
- 3. The assessment of the sub-committee was as follows:
  - The Committee is very supportive of the establishment of the Centre and recognizes that the mission aligns strongly with the University's strategic planning framework.
  - Substantial number of significant initiatives have already been undertaken, and there is the potential to develop new research synergies in the area of human rights.
  - There was a letter from Dean Lorna Turnbull confirming Deans of Law, Education, Arts, and Social Work, and the Rector of St. Paul's College will make a joint application in the upcoming Strategic Resources Planning Process for funding for the Centre. Furthermore, in the event of a shortfall, the Deans have indicated they will provide support from their own budgets as needed.

**Recommendation:**

The Senate Committee on University Research recommends to Senate that the University establish the *Centre for Human Rights Research*, for a 5- year period.

## **Report of the Senate Planning and Priorities Committee on a proposal to establish the Centre for Human Rights Research**

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### **Preamble:**

1. The terms of reference of the Senate Planning and Priorities Committee (SPPC) are found on the website at [http://umanitoba.ca/admin/governance/governing\\_documents/governance/sen\\_committees/510.html](http://umanitoba.ca/admin/governance/governing_documents/governance/sen_committees/510.html) wherein SPPC is charged with making recommendations to Senate regarding any such studies, proposals or reports that it may initiate within itself, have referred to it by Senate, other Councils, Committees or Bodies, formal or otherwise.
2. The Senate Committee on University Research (SCUR) has the responsibility to consider proposals to establish research centres/institutes for recommendation to Senate and to conduct periodic reviews of these centres/institutes, reporting to Senate as appropriate.
3. The SCUR recommends that Senate establish the Centre for Human Rights Research for a five-year period beginning in 2012 and ending June 30, 2017.

### **Observations:**

1. The SPPC observed that the mission and objectives of the proposed Centre for Human Rights Research are directly relevant to the University priority for academic enhancement in the area of human rights, as identified in the Strategic Planning Framework approved by Senate, June 24, 2009.
2. The proposed Centre for Human Rights Research is an inter-faculty initiative of the Faculties of Arts, Law, Education and Social Work which has a mission to, "...bring together people and organizations – both within and outside the University of Manitoba – to enhance interdisciplinary and collaborative research capacity, create richer training opportunities for students, and facilitate the fusion of research-driven knowledge, public policy and intellectual debate on issues related to human rights and justice."
3. The Centre would support the more than 175 researchers at the University engaged in human rights research by providing opportunities to engage in a collaborative and interdisciplinary research network that would increase the University's reputation and visibility in this area generally and in a number of preliminary focus areas identified for the Centre: truth and reconciliation on Indian residential schools, water as a human right, reproductive and sexual rights, documenting human rights, immigration and international human rights, and rights in sentencing and incarceration.
4. The Centre has already undertaken a number of high profile activities, including but not limited to: organizing several seminars in the Critical Conversations seminar series; assisting with the preparation of the University's bid to house the Truth and Reconciliation Commission archives in a new National Aboriginal Research Centre at the University; negotiating an MOU between the University and the Canadian Museum

for Human Rights to advance human rights education and research; co-sponsoring the Strangers in New Homelands Conference (November 2011); hosting a national research roundtable on assisted human reproduction (February 2012); and developing a research consortium on clean drinking water and sanitation as a human right, in collaboration with the Assembly of Manitoba Chiefs and Manitoba Keewatinowi Okimakanak.

5. The Centre has received seed money from the Academic Enhancement Fund to create infrastructure, to support planning, and to begin programming. In addition, the Faculty of Law has also provided in kind funding. The Centre's budget for the current fiscal year is \$159,747. The Committee noted that, in the fifth year, the Centre would require approximately \$250,000 to operate, taking into account incremental costs.
6. Resources will be sought or derived from a number of sources:
  - in each year (for the period 2012-2017), the deans of the Faculties of Arts, Law, Education and Social Work will jointly request funds available through the Strategic Resource Planning process, which is available to support collaborative research initiatives in the social sciences (law, arts, business) and in the area of human development (social work, education, extended education). The faculties will submit an annual request for \$161,148 prior to the establishment of an endowment fund (see below) and \$99,000 annually once the endowment fund is in place.
  - the SPPC was advised that, in the event that the funding requests are not granted or are granted only in part, the participating faculties have indicated a willingness to reconsider their own budgets to attempt to make up any shortfalls;
  - research grants;
  - annual revenue of \$100,000 from an endowment fund (\$2 M) to be identified as a fund-raising priority by each of the four faculties for the next capital campaign;
  - the Faculty of Law would continue to provide in kind funding (including, office and meeting space, office supplies and equipment, accounting, technical, graphic design support), for at least three additional years.
7. Resources would be required for salaries and benefits for a full-time manager, a 0.5 time office assistant, and student research assistants, and to cover the director's teaching release (3 credit hours). The SPPC was informed that, given the level and types of responsibilities that the manager has (including assisting researchers from across the University with developing grant applications, and organizing educational and outreach activities), the Centre will recommend that the position be established at the level of EMAPS 9. Resources will also be required for travel and operating expenses.

### **Recommendation**

The Senate Planning and Priorities Committee recommends THAT:

**Senate approve and recommend to the Board of Governors that it approve the establishment of the Centre for Human Rights Research, for a five-year period ending June 30, 2017. The Senate Committee on Planning and Priorities recommends that the Vice-President (Research and International) not implement the proposal to establish this Centre until satisfied that there would be sufficient**

**existing space and sufficient existing resources as outlined in the proposal appended to the Report of the Senate Committee on University Research RE: Establishment of Research Centres and Institutes: Centre for Human Rights Research [December 15, 2011].**

Respectfully submitted,

Ada Ducas, Chair  
Senate Planning and Priorities Committee